

REGENERON PHARMACEUTICALS INC

Form 4

December 04, 2015

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See* Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
YANCOPOULOS GEORGE

(Last) (First) (Middle)

777 OLD SAW MILL RIVER  
ROAD

(Street)

TARRYTOWN, NY 10591

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
REGENERON  
PHARMACEUTICALS INC  
[REGN]

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/02/2015

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
President Regeneron Laboratori

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	12/02/2015		S <sup>(1)</sup>		1,098	D	\$ 542.72 <u>(2)</u>	542,250	D
Common Stock	12/02/2015		S <sup>(1)</sup>		602	D	\$ 543.57 <u>(3)</u>	541,648	D
Common Stock	12/02/2015		S <sup>(1)</sup>		4,290	D	\$ 544.52 (4)	537,358	D

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Common Stock	12/02/2015	<u>S(1)</u>	5,611	D	\$ <u>545.51</u> (5)	531,747	D	
Common Stock	12/02/2015	<u>S(1)</u>	3,038	D	\$ <u>546.36</u> (6)	528,709	D	
Common Stock	12/02/2015	<u>S(1)</u>	914	D	\$ <u>547.45</u> (7)	527,795	D	
Common Stock	12/02/2015	<u>S(1)</u>	3,780	D	\$ <u>548.49</u> (8)	524,015	D	
Common Stock	12/02/2015	<u>S(1)</u>	4,407	D	\$ <u>549.57</u> (9)	519,608	D	
Common Stock	12/02/2015	<u>S(1)</u>	6,390	D	\$ <u>550.47</u> (10)	513,218	D	
Common Stock	12/02/2015	<u>S(1)</u>	4,992	D	\$ <u>551.43</u> (11)	508,226	D	
Common Stock	12/02/2015	<u>S(1)</u>	3,625	D	\$ <u>552.54</u> (12)	504,601	D	
Common Stock	12/02/2015	<u>S(1)</u>	1,600	D	\$ <u>553.32</u> (13)	503,001	D	
Common Stock	12/02/2015	<u>S(1)</u>	1,101	D	\$ <u>554.58</u> (14)	501,900	D	
Common Stock	12/02/2015	<u>S(1)</u>	1,900	D	\$ <u>555.26</u> (15)	500,000	D	
Common Stock	11/16/2015	G V	1,612	D	\$ 0	567,976	I	by Trust
Common Stock						5,654	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
YANCOPOULOS GEORGE 777 OLD SAW MILL RIVER ROAD TARRYTOWN, NY 10591	X		President Regeneron Laboratori	

## Signatures

/s/\*\*George D.  
Yancopoulos 12/03/2015

\_\_Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Disposition/acquisition made pursuant to a plan intended to comply with Rule 10b5-1(c).

Represents volume-weighted average price of sales of 1,098 shares of Company stock on December 2, 2015 at prices ranging from \$542.23 to \$542.91. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on December 2, 2015 at each separate price.

Represents volume-weighted average price of sales of 602 shares of Company stock on December 2, 2015 at prices ranging from \$543.51 to \$543.88. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on December 2, 2015 at each separate price.

Represents volume-weighted average price of sales of 4,290 shares of Company stock on December 2, 2015 at prices ranging from \$544.02 to \$544.95. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on December 2, 2015 at each separate price.

Represents volume-weighted average price of sales of 5,611 shares of Company stock on December 2, 2015 at prices ranging from \$545.01 to \$545.95. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on December 2, 2015 at each separate price.

(6)

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Represents volume-weighted average price of sales of 3,038 shares of Company stock on December 2, 2015 at prices ranging from \$546.00 to \$546.90. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on December 2, 2015 at each separate price.

- (7) Represents volume-weighted average price of sales of 914 shares of Company stock on December 2, 2015 at prices ranging from \$547.32 to \$547.97. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on December 2, 2015 at each separate price.

- (8) Represents volume-weighted average price of sales of 3,780 shares of Company stock on December 2, 2015 at prices ranging from \$548.00 to \$548.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on December 2, 2015 at each separate price.

- (9) Represents volume-weighted average price of sales of 4,407 shares of Company stock on December 2, 2015 at prices ranging from \$549.00 to \$549.96. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on December 2, 2015 at each separate price.

- (10) Represents volume-weighted average price of sales of 6,390 shares of Company stock on December 2, 2015 at prices ranging from \$550.00 to \$550.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on December 2, 2015 at each separate price.

- (11) Represents volume-weighted average price of sales of 4,992 shares of Company stock on December 2, 2015 at prices ranging from \$551.00 to \$551.95. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on December 2, 2015 at each separate price.

- (12) Represents volume-weighted average price of sales of 3,625 shares of Company stock on December 2, 2015 at prices ranging from \$552.06 to \$552.86. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on December 2, 2015 at each separate price.

- (13) Represents volume-weighted average price of sales of 1,600 shares of Company stock on December 2, 2015 at prices ranging from \$553.05 to \$553.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on December 2, 2015 at each separate price.

- (14) Represents volume-weighted average price of sales of 1,101 shares of Company stock on December 2, 2015 at prices ranging from \$554.09 to \$554.98. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on December 2, 2015 at each separate price.

- (15) Represents volume-weighted average price of sales of 1,900 shares of Company stock on December 2, 2015 at prices ranging from \$555.00 to \$555.70. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on December 2, 2015 at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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