### Edgar Filing: Baines Creek Capital, LLC - Form 4/A

| Baines Creek<br>Form 4/A<br>June 18, 2018                                | -  |              |  |   |                       |                |                                |  |                  |                     |  |  |
|--|--|--------------|--|---|-----------------------|----------------|--------------------------------|--|------------------|---------------------|--|--|
| FORM   |  |              |  | OMB APPROVAL  |                       |                |                                |  |                  |                     |  |  |
|  | ITIES AND EXCHANGE COMMISSION<br>hington, D.C. 20549 |              |  |   |                       | OMB<br>Number: | 3235-0287                      |  |                  |                     |  |  |
| Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or |  |              |  |   |                       |                |                                |  | Expires:         | January 31,<br>2005 |  |  |
|  |  |              |  |   |                       | CIAI           | LOW.                           | NERSHIP OF   | Estimated a      |                     |  |  |
|  |  |              |  | SECUR   | IIIES                 |                |                                |  | burden hou       | •                   |  |  |
| Form 5   | Filed p  | ursuant to S | Section 10                             | 6(a) of the   | Securiti              | response       | 0.5                            |  |                  |                     |  |  |
| obligation<br>may contin<br><i>See</i> Instruct<br>1(b).                 | s Section 1  | 7(a) of the  | Public Ut                              |   | ing Com               | pany           | Act of                         | f 1935 or Section  | n                |                     |  |  |
| (Print or Type R   | esponses)  |              |  |   |                       |                |                                |  |                  |                     |  |  |
| Baines Creek Capital, LLC Symbol   |  |              |  | r Name <b>and</b> Ticker or Trading CY RESERVES LP [LGCY] |                       |                |                                | 5. Relationship of Reporting Person(s) to Issuer                     |                  |                     |  |  |
|  |  |              |  |   | [LU                   | CIJ            | (Check all applicable)         |  |                  |                     |  |  |
| (Month/Da<br>11940 JOLLYVILLE, SUITE 210-S 06/15/20                      |  |              |  | of Earliest Transaction<br>/Day/Year)                     |                       |                |                                | DirectorX 10% Owner  |                  |                     |  |  |
|  |  |              |  | -   |                       |                |                                | Officer (give below)   | er (specify      |                     |  |  |
|  |  |              |  | ndment, Dat   | e Original            |                |                                | 6. Individual or Joint/Group Filing(Check                            |                  |                     |  |  |
|  |  |              |  | -   |                       |                |                                | Applicable Line)<br>_X_ Form filed by One Reporting Person           |                  |                     |  |  |
|  |  |              |  | 510   |                       |                |                                | Form filed by More than One Reporting<br>Person                      |                  |                     |  |  |
| (City)   | (State)  | (Zip)        | Table                                  | e I - Non-De  | erivative S           | ecurit         | ies Acq                        | uired, Disposed of   | f, or Beneficial | ly Owned            |  |  |
| 1.Title of<br>Security<br>(Instr. 3)                                     | ecurity (Month/Day/Year) Execution Date, if          |              | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securiti<br>on(A) or Dis<br>(D)<br>(Instr. 3, 4        | sposed                | of             | SecuritiesIBeneficially(OwnedI | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |                  |                     |  |  |
| <b>C</b> ommune (1)  |  |              |  | Code V  | Amount                | or<br>(D)      | Price                          | (Instr. 3 and 4)   |                  |                     |  |  |
| Common (1)<br>(2)  | 06/15/2018   |              |  | Х   | 11,500<br>( <u>3)</u> | А              | \$<br>2.5                      | 14,156,263<br>(4)  | D                |                     |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)             | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | tionof Expir<br>Derivative (Mor |      | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |        |                                    |
|---|---|---|---|---------------------------------------|---------------------------------|------|--|---------------------|---|--------|------------------------------------|
|   |   |   |   | Code V                                | (A                              | A) ( | (D)  | Date<br>Exercisable | Expiration<br>Date  | Title  | Amount<br>or<br>Number<br>of Share |
| OPTION<br>CONTRACT<br>(RIGHT TO<br>BUY) ( <u>1)</u> ( <u>2)</u> | \$ 2.5  | 06/15/2018                              |   | Х                                     |                                 |      | 115<br>( <u>3)</u>   | 12/28/2018          | 06/15/2018  | Common | 11,500                             |

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# **Reporting Owners**

| Reporting Owner Name / Addr  | Relationships |           |         |       |  |  |  |  |
|--|---------------|-----------|---------|-------|--|--|--|--|
| hepotening o wher runne / runne  | Director      | 10% Owner | Officer | Other |  |  |  |  |
| Baines Creek Capital, LLC<br>11940 JOLLYVILLE<br>SUITE 210-S<br>AUSTIN, TX 78759 |               | Х         |         |       |  |  |  |  |
| Signatures   |               |           |         |       |  |  |  |  |
| /S/ Brian<br>Williams  | 06/18/2018    |           |         |       |  |  |  |  |
| **Signature of<br>Reporting Person   | Date          |           |         |       |  |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This Form 4 is being jointly filed by Baines Creek Partners, L.P., a Delaware limited partnership (BCP), Baines Creek Special Purpose Partners, L.P., a Delaware limited partnership (BCSPP), Kevin Tracy, a United States citizen (KT), Jeremy Carter a United States citizen (JC), James Schumacher, a United States citizen (JS), and Brian Williams a United States citizen (BW). The foregoing persons are hereinafter sometimes collectively referred to as the Reporting Persons.

Baines Creek GP the general partner of BCP and BCSPP has the power to direct the affairs of BCP and BCSPP, including the voting and disposition of shares. As the discretionary investment manager of these Funds and certain other accounts, Baines Creek Capital has power to direct the voting and disposition of shares held by these Funds and such accounts. Messrs. Tracy, Carter, Schumacher and Williams are affiliated persons of Baines Creek Capital and in this capacity direct the operations of BCP and BCSPP and the voting and disposition of

- (2) animated persons of Dames Creek Capital and in this capacity direct the operations of Def and Debi 1 and the voting and disposition of shares held by these Funds and separate client accounts managed by Baines Creek Capital. Baines Creek Capital and Messrs. Tracy, Carter, Schumacher and Williams may also be deemed to beneficially own indirect pecuniary interest as the result of performance-based fees and profit allocations. Each of Baines Creek Capital and Messrs. Tracy, Carter, Schumacher and Williams disclaims such beneficial ownership except to the extent ultimately realized.
- (3) The aggregate number of option contracts (right to buy) exercised on June 15, 2018 was 115 contracts and such contracts were exercised by BCP to purchase 11,500 common shares at \$2.50 per share.

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14,156,263 shares of common stock is the aggregate number of shares of common stock owned by the Reporting Persons and is owned as

(4) follows: BCP = 7,132,487 shares directly owned by it; BCSPP = 6,396,891 shares directly owned by it; KT = 6,510 shares directly owned by him; JC = 142,317 shares directly owned by him; JS = 4,686 shares directly owned by him, and BW = 473,372 shares directly owned by him.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.