Edgar Filing: Isaac Jon - Form 4

Isaac Jon

| Form 4 | 010 | | | | | | | | | | |
|--|--|-------|---|--|--------------|---------------------------|--|--|-----------------|-----------|--|
| August 20, 2 | | | | | | | | | OMB AF | PROVAL | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | OMB Number: | 3235-0287 | |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | Expires: January 31, 2005 Estimated average burden hours per response 0.5 | | | |
| (Print or Type I | Responses) | | | | | | | | | | |
| Isaac Jon Syn | | | Symbol | Name and | | | - | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | | of Earliest Transaction /Day/Year) /2018 | | | | (Check all applicable) <u>X</u> Director <u>X</u> Officer (give title below) CEO and President | | | |
| (Street) 4. If Amendment, Date C Filed(Month/Day/Year) LAS VEGAS, NV 89119 | | | | Applicable Line) _X_ Form filed by (| | | oint/Group Filing(Check One Reporting Person Aore than One Reporting | | | | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | le of 2. Transaction Date 2A. Deemed rity (Month/Day/Year) Execution Date, if | | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or | | | cquired d of (D) 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | | |
| Common Stock | 08/17/2018 | | | Code V P | Amount 1,200 | (D) A | Price \$ 10.33 | | D | | |
| Common Stock | 08/17/2018 | | | Р | 500 | А | \$ 10.29 | 1,512,476 <u>(1)</u> | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Amou Unde Secur | le and unt of rlying tities (. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|--|---|---------------------|--------------------|-----------------------|---|---|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|-------------------|-------|--|--|
| hepoting of the random and | Director | 10% Owner | Officer | Other | | |
| Isaac Jon C/O LIVE VENTURES, INC. 325 EAST WARM SPRINGS ROAD, STE. 102 LAS VEGAS, NV 89119 | Х | Х | CEO and President | | | |
| Signatures | | | | | | |

<u>**</u>Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 158,333 shares of Series B Preferred Convertible Stock and warrants for the purchase of up to 118,029 shares of Series B
 Preferred Convertible Stock owner of record by Isaac Capital Group, LLC. The Series B Preferred Convertible Stock and the underlying shares of common stock (791,666 and 590,146 warrant shares) are subject to a lock-up agreement with the issuer that expires on December 31, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.