Green Anthony C Form 5 January 05, 2018

#### January 05, 2018 **OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES 1.0 response... may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Green Anthony C Symbol ANNALY CAPITAL (Check all applicable) MANAGEMENT INC [NLY] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2017 Chief Legal Officer C/O ANNALY CAPITAL MANAGEMENT, INC., Â 1211 **AVENUE OF THE AMERICAS**

NEW YORK, NYÂ 10036

(Instr. 3)

(Street)

\_X\_ Form Filed by One Reporting Person \_\_\_ Form Filed by More than One Reporting Person

(D) or

Indirect (I)

6. Individual or Joint/Group Reporting

(check applicable line)

(State) (City) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Acquired (A) or Securities Form: Direct Indirect

Disposed of (D)

(Instr. 3, 4 and 5)

(A) of Issuer's (Instr. 4)
Fiscal Year
(Instr. 3 and 4)

Amount (D) Price (Inst. 3 and 4)

Beneficially

Owned at end

Common  $\hat{A}$   $\hat{A}$   $\hat{A}$   $\hat{A}$   $\hat{A}$   $\hat{A}$   $\hat{A}$   $\hat{A}$   $\hat{A}$   $\hat{A}$  77,750 D  $\hat{A}$ 

Code

(Instr. 8)

(Month/Day/Year)

4. If Amendment, Date Original

Filed(Month/Day/Year)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Beneficial

Ownership

(Instr. 4)

### Edgar Filing: Green Anthony C - Form 5

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)		4. Transaction Code	5. Number of	6. Date Exerc Expiration Do (Month/Day/	ate	7. Title Amou Under	nt of	8. Price of Derivative Security	
(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Securi	, ,	(Instr. 5)		
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

of D

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### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
Green Anthony C						
C/O ANNALY CAPITAL MANAGEMENT, INC. 1211 AVENUE OF THE AMERICAS	Â	Â	Chief Legal Officer	Â		
NEW YORK, NY 10036						

### **Signatures**

/s/ Anthony C.
Green

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. er">

#### SHARED DISPOSITIVE POWER

0

Reporting Owners 2

9.
AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 725,730
10.
CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)  o
11.
PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9) 5.12%
12.
TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)  IA

**CUSIP:** 929236107 Page 3

Item 1(a). Name of Issuer:

WD-40 Company

Item 1(b). Address of Issuer's Principal Executive Offices:

1061 Cudahy Place, San Diego, CA 92110

Item 2(a). Name of Person Filing:

William Blair Investment Management, LLC

Item 2(b). Address of Principal Business Office or, if none, Residence:

222 W. Adams St., Chicago, IL 60606

Item Citizenship:

2(c).

USA

Item 2(d). Title of Class of Securities:

Common Stock

Item CUSIP Number:

2(e).

929236107

# Item 3. If this statement is filed pursuant to §§ 240.13d-1(b), or 240.13d-2(b) or (c), check whether the person filing is a:

- (a) oBroker or dealer registered under Section 15 of the Act (15 U.S.C. 780);
- (b) o Bank as defined in Section 3(a)(6) of the Act (15 U.S.C. 78c);
- (c) o Insurance company as defined in Section 3(a)(19) of the Act (15 U.S.C. 78c);
- (d) o Investment company registered under Section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
- (e) x An investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E);
- (f) o An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
- (g) o A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
- (h) o A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i) o A church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment Company Act (15 U.S.C. 80a-3);
- (i) o A non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);
- (k) o Group, in accordance with § 240.13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J), please specify the type of institution:

\_\_\_\_<del>.</del>

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#### Item 4. Ownership:

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item

1.

- (a) Amount beneficially owned: 725,730
- (b) Percent of class: 5.12%
- (c) Number of shares as to which the person has:
  - (i) Sole power to vote or to direct the vote: 653,778
  - (ii) Shared power to vote or to direct the vote: 0
  - (iii) Sole power to dispose or to direct the disposition of: 725,730
  - (iv) Shared power to dispose or to direct the disposition of: 0

#### Item 5. Ownership of Five Percent or Less of a Class:

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than 5 percent of the class of securities, check the following. o

Item 6. Ownership of More Than Five Percent on Behalf of Another

Person:

N/A

**Identification and Classification of the Subsidiary Which** 

Item 7. Acquired the Security Being Reported on By the Parent Holding Company:

N/A

Item 8. Identification and Classification of Members of the Group:

N/A

**Item 9. Notice of Dissolution of Group:** 

N/A

**Item 10. Certifications:** 

N/A

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#### **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 14, 2017

By: /s/ Michelle Seitz

Name: Michelle Seitz

Title: Partner

The original statement shall be signed by each person on whose behalf the statement is filed or his authorized representative. If the statement is signed on behalf of a person by his authorized representative other than an executive officer or general partner of the filing person, evidence of the representative's authority to sign on behalf of such person shall be filed with the statement, *Provided, however*, that a power of attorney for this purpose which is already on file with the Commission may be incorporated by reference. The name and any title of each person who signs the statement shall be typed or printed beneath his signature.

Attention: Intentional misstatements or omissions of fact constitute Federal criminal violations

(see 18 U.S.C. 1001).