Edgar Filing: TRIARC COMPANIES INC - Form 3/A

TRIARC COMPANIES INC

Form 3/A

November 13, 2007

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

A Garrett Thomas A

ARBY'S RESTAURANT

GROUP, INC., 1155

(Last)

(First)

PERIMETER CENTER WEST

(Street)

(Middle)

Statement

(Month/Day/Year)

09/01/2007

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

TRIARC COMPANIES INC [TRY;TRY.B]

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

09/10/2007

(Check all applicable)

EVP & Chief Operating Officer

Director _X__ Officer

10% Owner Other

(give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

4. Nature of Indirect Beneficial

Form filed by More than One Reporting Person

ATLANTA. GAÂ 30338

1. Title of Security

(Instr. 4)

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned

(Instr. 4)

3. Ownership

Form: Direct (D)

Ownership (Instr. 5)

or Indirect (I)

(Instr. 5)

Class B Common Stock, Series 1

566,106 (1)

D

Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. 5. Conversion or Exercise

6. Nature of Indirect Ownership Beneficial Ownership Form of (Instr. 5)

Price of Derivative Derivative

(Instr. 4)

(Instr. 4)

Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy) (2)	(3)	07/25/2015	Class B Common Stock, Series 1	334,331	\$ 7.1164	D	Â
Employee Stock Option (right to buy) (2)	(4)	04/28/2016	Class B Common Stock, Series 1	100,000	\$ 16.22	D	Â
Employee Stock Option (right to buy) (2)	(6)	05/23/2017	Class B Common Stock, Series 1	50,000	\$ 15.84	D	Â
Employee Stock Option (right to buy) (2)	(3)	07/25/2015	Class B Common Stock, Series 1	203,328	\$ 4.0397	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Garrett Thomas A ARBY'S RESTAURANT GROUP, INC. 1155 PERIMETER CENTER WEST ATLANTA, GA 30338	Â	Â	EVP & Chief Operating Officer	Â		

Signatures

/s/ Garrett,
Thomas A.

**Signature of Reporting Person

11/13/2007

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- In connection with the acquisition of RTM Restaurant Group, Inc. (RTM) and certain affiliated entites (the RTM Acquisition) by Triarc Companies, Inc. (Triarc), the reporting person received as consideration 551,106 shares of Triarc's Class B Common Stock, Series 1. Of the 551,106 shares issued to the reporting person in the acquisition, 29,508 shares are being held in escrow to secure the reporting person's indemnification obligations under the definitive agreements related to the RTM Acquisition.
- (2) With tandem net exercise and tax withholding rights.
- (3) The options were fully vested as of October 19, 2006.
- (4) The option vests in three equal installments. One-third of the options vested on April 28, 2007. One-third of the options will vest on each of April 28, 2008 and 2009.

Reporting Owners 2

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- This Form 3/A is filed to correct a typographical error in the reporting person's original Form 3. The original Form 3 incorrectly noted the number of shares underlying this employee stock option as 10,000. The number of shares underlying this employee stock option held by the reporting person is actually 100,000. All other Table I and Table II securities reported on the reporting person's original Form 3 were accurately reported.
- (6) The option vests in three equal installments on May 23, 2008, 2009 and 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.