

EASTMAN KODAK CO
Form 4
March 02, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
LEWIS DELANO E

(Last) (First) (Middle)

EASTMAN KODAK
COMPANY, 343 STATE STREET

(Street)

ROCHESTER, NY 14650

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
EASTMAN KODAK CO [EK]

3. Date of Earliest Transaction
(Month/Day/Year)
02/28/2011

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock ⁽¹⁾	02/28/2011		A	V Amount (D) Price 19,337 (2) A \$ 3.4	44,877	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (right to buy)	\$ 43.99							<u>(3)</u>	07/24/2011	Common Stock	2,000
Option (right to buy)	\$ 29.1							<u>(3)</u>	01/01/2012	Common Stock	2,000
Option (right to buy)	\$ 36.66							<u>(3)</u>	11/21/2012	Common Stock	2,000
Option (right to buy)	\$ 24.75							<u>(3)</u>	12/06/2012	Common Stock	1,500
Option (right to buy)	\$ 24.49							<u>(3)</u>	11/18/2013	Common Stock	2,000
Option (right to buy)	\$ 25.88							<u>(3)</u>	12/11/2013	Common Stock	1,500
Option (right to buy)	\$ 31.71							<u>(3)</u>	12/09/2014	Common Stock	1,500
Option (right to buy)	\$ 23.28							<u>(3)</u>	12/10/2014	Common Stock	9,620
Option (right to buy)	\$ 7.41							<u>(3)</u>	12/08/2015	Common Stock	18,180
Option (right to buy)	\$ 4.52							<u>(3)</u>	12/06/2016	Common Stock	18,180
Option (right to buy) <u>(4)</u>	\$ 3.4	02/28/2011		A		34,146		<u>(3)</u>	02/27/2018	Common Stock	34,146
Phantom Stock	<u>(5)</u>							<u>(6)</u>	<u>(6)</u>	Common Stock	9,680.71

Units

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
LEWIS DELANO E EASTMAN KODAK COMPANY 343 STATE STREET ROCHESTER, NY 14650	X

Signatures

Patrick M. Sheller, as attorney-in-fact for Delano E.
Lewis

03/02/2011

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Annual restricted stock equity grant.
- (2) These shares are restricted.
- (3) These options vest one year after the date of grant
- (4) Annual Stock Option Equity Grant
- (5) This award converts to common stock on a 1-for-1 basis.
- (6) Phantom stock units do not have exercise dates or expiration dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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