

AMGEN INC
Form 11-K
June 11, 2014

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington D.C. 20549
FORM 11-K
(Mark One)

ANNUAL REPORT PURSUANT TO SECTION 15(d) OF THE SECURITIES EXCHANGE ACT
OF 1934

For the fiscal year ended December 31, 2013
OR

TRANSITION REPORT PURSUANT TO SECTION 15(d) OF THE SECURITIES EXCHANGE
ACT OF 1934

Commission file number 000-12477
AMGEN RETIREMENT AND SAVINGS PLAN
(Full title of the plan)
AMGEN INC.
(Name of issuer of the securities held)

One Amgen Center Drive,
Thousand Oaks, California
(Address of principal executive offices)

91320-1799
(Zip Code)

Amgen Retirement and Savings Plan
Audited Financial Statements
and Supplemental Schedules

Years Ended December 31, 2013 and 2012

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Report of Independent Registered Public Accounting Firm
Amgen Inc., as Named Fiduciary, and the Plan Participants of the
Amgen Retirement and Savings Plan

We have audited the accompanying statements of net assets available for benefits of the Amgen Retirement and Savings Plan (the Plan) as of December 31, 2013 and 2012, and the related statements of changes in net assets available for benefits for the years then ended. These financial statements are the responsibility of the Plan's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with the standards of the Public Company Accounting Oversight Board (United States). Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. We were not engaged to perform an audit of the Plan's internal control over financial reporting. Our audits included consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control over financial reporting. Accordingly, we express no such opinion. An audit also includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management, and evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the net assets available for benefits of the Plan at December 31, 2013 and 2012, and the changes in its net assets available for benefits for the years then ended, in conformity with U.S. generally accepted accounting principles.

Our audits were conducted for the purpose of forming an opinion on the financial statements taken as a whole. The accompanying supplemental schedules of assets (held at end of year) and loans or fixed income obligations in default or classified as uncollectible as of December 31, 2013, and assets (acquired and disposed of within year) for the year then ended, are presented for purposes of additional analysis and are not a required part of the financial statements but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Such information has been subjected to the auditing procedures applied in our audits of the financial statements and, in our opinion, is fairly stated in all material respects in relation to the financial statements taken as a whole.

/s/ ERNST & YOUNG LLP

Los Angeles, California

June 11, 2014

Amgen Retirement and Savings Plan
 Statements of Net Assets Available for Benefits

| | December 31 | |
|---|-----------------|-----------------|
| | 2013 | 2012 |
| Assets | | |
| Investments at fair value | \$3,884,239,811 | \$3,093,390,877 |
| Notes receivable from participants | 43,082,571 | 42,523,718 |
| Other, including due from broker | 6,416,714 | 1,071,309 |
| Total assets | 3,933,739,096 | 3,136,985,904 |
| Liabilities | | |
| Other, including due to broker | 5,217,083 | 2,490,209 |
| Total liabilities | 5,217,083 | 2,490,209 |
| Net assets reflecting investments at fair value | 3,928,522,013 | 3,134,495,695 |
| Adjustment from fair value to contract value for interests in fully benefit-responsive investment contracts | (1,891,753 |) (9,277,446 |
| Net assets available for benefits | \$3,926,630,260 | \$3,125,218,249 |
| See accompanying notes. | | |

Amgen Retirement and Savings Plan
 Statements of Changes in Net Assets Available for Benefits

| | Year Ended December 31 | |
|--|------------------------|------------------|
| | 2013 | 2012 |
| Additions to (deductions from) net assets: | | |
| Employer contributions | \$ 159,024,379 | \$ 155,218,207 |
| Participant contributions | 138,170,411 | 131,980,807 |
| Rollover contributions | 14,298,798 | 11,686,616 |
| Interest and dividend income | 40,042,545 | 40,671,569 |
| Net realized/unrealized gains | 680,294,916 | 381,079,241 |
| Interest income on notes receivable from participants | 1,780,035 | 1,830,249 |
| Benefits paid | (223,992,574 |) (200,062,951 |
| Investment and administrative fees | (8,206,499 |) (6,501,149 |
| Net increase | 801,412,011 | 515,902,589 |
| Net assets available for benefits at beginning of year | 3,125,218,249 | 2,609,315,660 |
| Net assets available for benefits at end of year | \$ 3,926,630,260 | \$ 3,125,218,249 |
| See accompanying notes. | | |

Amgen Retirement and Savings Plan

Notes to Financial Statements

December 31, 2013

1. Description of the Plan

The following description of the Amgen Retirement and Savings Plan (the Plan) provides only general information. Participants should refer to the Plan document for a more complete description of the Plan's provisions.

General

The Plan was established effective April 1, 1985 and was most recently amended and restated effective January 1, 2012 and subsequently amended, with the most recent amendment adopted on October 18, 2013. The Plan is a defined contribution plan covering substantially all domestic employees of Amgen Inc. (the Company or Amgen) and participating subsidiaries. The Plan, as amended, is intended to qualify under sections 401(a) and 401(k) of the Internal Revenue Code of 1986 (the Code) (see Note 5, Income Tax Status) and section 407(d)(3)(A) of the Employee Retirement Income Security Act of 1974 (ERISA).

Contributions

Subject to certain limitations (as defined in the Plan), participants may elect to contribute up to 30% of their eligible compensation in pre-tax contributions, Roth contributions (in accordance with the Code), after-tax contributions or a combination of these types of contributions. A participant's combined pre-tax contributions and Roth contributions (exclusive of catch-up contributions discussed below) are subject to Internal Revenue Service (IRS) and Plan limits and could not exceed a maximum of \$17,500 in 2013 and \$17,000 in 2012. Participant after-tax contributions are subject to IRS and Plan limitations and could not exceed a maximum of \$8,000 in 2013 and 2012. Unless an employee has voluntarily enrolled in the Plan or has declined to participate in the Plan within the first 30 days of employment, all newly eligible participants are automatically enrolled in the Plan and contributions equal to 5% of their eligible compensation are withheld and contributed to the Plan as pre-tax contributions; such contributions are automatically increased by 1% per year until their contributions reach 10% of their eligible compensation. Participants may elect to adjust, cease or resume their contributions at any time.

Participants who are at least age 50 by the close of the Plan year may also elect to make certain additional contributions, referred to as catch-up contributions, that are subject to IRS and Plan limitations and could not exceed \$5,500 in 2013 and 2012. Catch-up contributions may be made as pre-tax contributions, Roth contributions or a combination of these types of contributions. Participants may also contribute pre-tax, Roth and after-tax amounts representing distributions from certain other retirement plans qualified in the United States or certain individual retirement accounts (IRAs), referred to as rollover contributions (as defined in the Plan).

Amgen Retirement and Savings Plan
Notes to Financial Statements (continued)

1. Description of the Plan (continued)

Contributions (continued)

Each pay period, the Company makes a non-elective contribution for all eligible participants, whether or not they have elected to make contributions to the Plan, equal to 5% of each participant's eligible compensation (Core Contributions). In addition, the Company makes a contribution equal to amounts contributed by the participant as pre-tax contributions or Roth contributions, including such contributions designated as catch-up contributions, of up to 5% of eligible compensation (Matching Contributions).

Also, the Company can, in its discretion, make a special non-elective contribution on behalf of a participant who is in his or her initial year of employment with the Company and who could not make the maximum participant contribution permitted under the Plan because in the same Plan year he or she previously made pre-tax salary deferrals under a prior unrelated employer's qualified plan.

Participants select the investments in which their contributions, including their Core Contributions and Matching Contributions (collectively, Company Contributions), are to be invested, electing among various alternatives, including Amgen Inc. common stock (Amgen stock). Participants may direct a maximum of 20% of contributions to be invested in Amgen stock. In addition, participants may transfer amounts among the investment options at any time, subject to certain limitations. Notwithstanding the foregoing, if 20% or more of the value of a participant's Plan account is invested in Amgen stock, no transfers from other investment options can be made to invest in Amgen stock. The accounts of participants who had never made an investment election are allocated to investments under a qualified default investment alternative which is intended to be compliant with ERISA regulations. At any time participants may elect to alter the investments in their accounts made under a qualified default investment alternative.

Vesting

Participants are immediately vested with respect to their contributions, Company Contributions, and earnings and losses (hereafter referred to as "earnings") thereon.

Participant Accounts

Each participant's account is credited with: (a) the participant's contributions, (b) an allocation of Company Contributions and (c) earnings. The benefit to which a participant is entitled is the benefit that can be provided from the participant's account.

Amgen Retirement and Savings Plan
Notes to Financial Statements (continued)

1. Description of the Plan (continued)

Plan Investments

Participants can invest in any of 16 different asset classes (14 asset classes prior to July 1, 2013) as well as Amgen stock or may actively manage their account under a self-directed brokerage arrangement in which a wider array of investment options are available. The value of an investment in an asset class is determined by its underlying investment vehicles which may include one or more of the following: mutual funds, collective trust funds and portfolios which are separately managed exclusively for the benefit of Plan participants and their beneficiaries (separately managed portfolios). The separately managed portfolios invest in various types of assets, including publicly traded common and preferred stocks, fixed income securities, collective trust funds and investment contracts. The asset classes are designed to provide participants with choices among a variety of investment objectives.

Payments of Benefits

Subject to Plan limitations, upon termination of employment, including termination due to disability (as defined in the Plan) or retirement, participants may elect to receive an amount equal to the value of their account balance in (a) a single payment in cash, (b) a single distribution in full shares of Amgen stock (with any fractional shares paid in cash), (c) a single distribution paid in a combination of cash and full shares of Amgen stock, (d) cash installments, or (e) a rollover distribution to an eligible retirement plan. If a participant dies before receiving the value of his or her account balance, the participant's beneficiary may elect to receive the distribution of remaining funds from among the alternatives described above, subject to certain Plan limitations.

Subsequent to termination of employment, participants may also elect to maintain their vested account balance in the Plan, provided that their account balance is greater than \$1,000.

Certain restrictions apply to withdrawals from the Plan while a participant continues to be employed by the Company.

Amgen Stock Dividends

Participants that invest in Amgen stock may elect to receive distributions of cash with respect to dividends the Company pays on Amgen stock or reinvest such dividends to acquire additional shares of Amgen stock.

Amgen Retirement and Savings Plan
Notes to Financial Statements (continued)

1. Description of the Plan (continued)

Notes Receivable from Participants

Subject to certain restrictions, a participant can have up to two loans outstanding at any one time from his or her Plan account with a combined maximum loan amount which may not exceed the lesser of (a) 50% of the participant's account balance (exclusive of amounts related to Roth contributions and earnings thereon) or (b) \$50,000 less certain adjustments, as applicable (as defined in the Plan). A participant's loan is secured by his or her Plan account balance. Loans made prior to July 1, 2003 bear interest at fixed rates based on the average borrowing rates of certain major banks. Loans made on or after July 1, 2003 bear interest at fixed rates which, until changed by the Company, are based on the prime rate plus one percentage point as published in The Wall Street Journal determined as of the last day of the calendar quarter preceding origination or such other rate as may be required by law. Loans are generally payable in installments over periods of up to five years, unless the loan is used to acquire a principal residence for which the term of the loan may be up to 20 years. Principal and interest payments are allocated to the participant's account.

Plan Termination

Although it has not expressed any intent to do so, the Company has the right under the Plan to terminate the Plan subject to the provisions of ERISA. Upon termination, participants would receive distributions of their account balances.

Trustees

Bank of America, N.A. and The Northern Trust Company are the Plan's co-trustees.

2. Summary of Significant Accounting Policies

Basis of Accounting

The accompanying financial statements are prepared on the accrual basis of accounting.

Fair Value Measurement

The investments of the Plan are reported at fair value. Fair value is generally defined as the price that would be received to sell an asset or paid to transfer a liability (the exit price) in an orderly transaction between market participants at the measurement date (see Note 3, Fair Value Measurements).

Amgen Retirement and Savings Plan
Notes to Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Investment Income and Losses

Dividend income is recognized on the ex-dividend date and interest income is recorded on an accrual basis.

Unrealized gains and losses on investments are measured by the change in the difference between the fair value and cost of the securities held at the beginning of the year (or date purchased if acquired during the Plan year) and the end of the year. Realized gains and losses from security transactions are recorded based on the weighted-average cost of securities sold.

Fully Benefit-Responsive Investment Contracts

The Plan holds units of collective trust funds that have investments in fully benefit-responsive investment contracts. In addition, the Plan directly invests in such contracts in the form of security-backed contracts, discussed below. Such contracts held directly or indirectly by a defined contribution plan are required to be reported at fair value. However, contract value is the relevant measurement attribute for that portion of the net assets available for benefits of a defined contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants would receive if they were to initiate qualified transactions related to these investments. The Statements of Net Assets Available for Benefits present these contracts at fair value with an adjustment to contract value. The Statements of Changes in Net Assets Available for Benefits are prepared on a contract value basis.

As of December 31, 2013 and 2012, the Plan had three security-backed contracts which are fully benefit-responsive investment contracts, including wrapper contracts and an insurance separate account contract. These contracts are backed by the Plan's ownership interests in collective trust funds that invest in fixed income securities and the separate accounts of the insurance company that issued the contract which are comprised of fixed income securities. As of December 31, 2013, the issuers of the security-backed contracts all had minimum credit ratings of A- or equivalent by Standard & Poor's, Moody's Investor Services, Inc. and A.M. Best Company. The issuers of the Plan's security-backed contracts credit the Plan with stated rates of interest, and the issuers guarantee that all qualified participant withdrawals related to the contracts will be at contract value, except as discussed below. The crediting rates provide for realized and unrealized gains and losses on the underlying assets to be amortized over the expected duration of the underlying investments through adjustments to the future interest crediting rates rather than being reflected immediately in the net assets of the Plan. The interest crediting rates of the security-backed contracts are primarily based on the current yield to maturity of the underlying investments plus or minus amortization of the difference between the contract value and fair value of the underlying investments over the duration of such investments. Accordingly, future crediting rates are impacted by changes in the yield to maturity of underlying investments, the duration of the assets underlying the contract and the difference between the contract value and fair value of the underlying investments. The crediting rates are reset quarterly and are reduced by fees paid to the contract issuers. In no event are the crediting rates less than 0%.

Amgen Retirement and Savings Plan
Notes to Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Fully Benefit-Responsive Investment Contracts (continued)

To the extent that the underlying investments of security-backed contracts have unrealized and/or realized losses, a positive adjustment is made to the adjustment from fair value to contract value in the Statements of Net Assets Available for Benefits. As a result, the future crediting rate may be lower over time than the then-current market rates. Conversely, if the underlying investments generate unrealized and/or realized gains, a negative adjustment is made to the adjustment from fair value to contract value, and the future crediting rate may be higher over time than the then-current market rates.

The security-backed contracts provide for withdrawals at other than contract value associated with certain events which are not in the ordinary course of Plan operations. These withdrawals are made at contract value modified by a market value adjustment as defined in the contract. Circumstances which may trigger a market value adjustment are generally defined as any event which, in the reasonable determination of the issuer, has or will have a material adverse effect on the issuer's interest under the contract. Such events may include, but are not limited to: (i) material amendments to the Plan's structure or administration; (ii) changes in or the creation of competing investment options; (iii) complete or partial termination of the Plan; (iv) removal of a specifically identifiable group of employees from coverage under the Plan; (v) a change in law, regulation, ruling, administrative position, or accounting standard applicable to the Plan; or (vi) communication to Plan participants designed to influence a participant not to invest in the asset class that contains these contracts. The Company does not believe that the occurrence of any such event, which would limit the Plan's ability to transact at contract value with participants, is probable.

These security-backed contracts are evergreen contracts with no maturity dates but do contain termination provisions. The issuer is obligated to pay the excess contract value when the fair value of the underlying investments equals zero. In addition, if the Plan defaults in its obligations under the security-backed contract and such default is not corrected within the time permitted by the contract, then the contract may be terminated by the issuer and the Plan will receive the fair value of the underlying investments as of the date of termination.

The yields earned by the Plan as of December 31, 2013 and 2012 were 1.5% and 1.0%, respectively, which represents the annualized earnings of all security-backed contracts divided by the fair value of all security-backed contracts in the Plan as of December 31, 2013 and 2012, respectively. The yields earned by the Plan with an adjustment to reflect the actual interest rates credited to participants were 1.5% and 2.4% as of December 31, 2013 and 2012, respectively, which represents the annualized earnings credited to participants divided by the fair value of all security-backed contracts as of December 31, 2013 and 2012, respectively.

Amgen Retirement and Savings Plan
Notes to Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Notes Receivable from Participants

Notes receivable from participants are carried at their unpaid balance plus accrued but unpaid interest, as applicable.

Due from/to Brokers

Purchases and sales of investments are recorded on a trade-date basis. Amounts due from brokers and due to brokers arise from unsettled sale and purchase transactions as of December 31, 2013 and 2012.

Use of Estimates

The preparation of financial statements in conformity with U.S. generally accepted accounting principles requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Actual results could differ from those estimates.

Amgen Retirement and Savings Plan
Notes to Financial Statements (continued)

3. Fair Value Measurements

The Plan uses various valuation approaches in determining the fair value of investments within a hierarchy that maximizes the use of observable inputs and minimizes the use of unobservable inputs by requiring that observable inputs be used when available. Observable inputs are inputs that market participants would use in pricing the investment based on market data obtained from independent sources. Unobservable inputs are inputs that reflect assumptions about the inputs that market participants would use in pricing the investment and are developed based on the best information available in the circumstances. The fair value hierarchy is divided into three levels based on the source of inputs as follows:

Level 1 – Valuations based on unadjusted quoted prices in active markets for identical investments that the Plan has the ability to access;

Level 2 – Valuations for which all significant inputs are observable, either directly or indirectly, other than level 1 inputs;

Level 3 – Valuations based on inputs that are unobservable and significant to the overall fair value measurement. The availability of observable inputs can vary among the various types of investments. To the extent that the valuation is based on models or inputs that are less observable or unobservable in the market, the determination of fair value requires more judgment. In certain cases, the inputs used for measuring fair value may fall into different levels of the fair value hierarchy. In such cases, for financial statement disclosure purposes, the level in the fair value hierarchy within which the fair value measurement is categorized is based on the lowest level of input used that is significant to the overall fair value measurement.

Amgen Retirement and Savings Plan
Notes to Financial Statements (continued)

3. Fair Value Measurements (continued)

The following fair value hierarchy table presents information about each major class/category of the Plan's investments measured at fair value:

| | Fair value measurements at December 31, 2013 using | | | Total |
|--|--|---|---|---------------|
| | Quoted prices in active markets for identical assets (Level 1) | Significant other observable inputs (Level 2) | Significant unobservable inputs (Level 3) | |
| Amgen stock | \$235,164,976 | \$— | \$— | \$235,164,976 |
| Cash and cash equivalents | 35,752,823 | — | — | 35,752,823 |
| Common and preferred stocks: | | | | |
| Large cap growth | 365,454,861 | — | — | 365,454,861 |
| Large cap value | 183,804,249 | — | — | 183,804,249 |
| Small-mid cap growth | 64,832,135 | — | — | 64,832,135 |
| Small-mid cap value | 331,561,439 | — | — | 331,561,439 |
| Other | 198,175,723 | — | — | 198,175,723 |
| Debt securities: | | | | |
| U.S. Treasury securities | 22,441,702 | — | — | 22,441,702 |
| U.S. government agency securities | — | 39,432,230 | — | 39,432,230 |
| Corporate debt securities | — | 56,742,593 | — | 56,742,593 |
| Mortgage and asset backed securities | — | 21,959,027 | — | 21,959,027 |
| Mutual funds: | | | | |
| Emerging markets equity | 29,590,116 | — | — | 29,590,116 |
| Fixed income | — | 100,711,349 | — | 100,711,349 |
| High yield debt | 40,069,890 | — | — | 40,069,890 |
| International growth | 83,050,138 | — | — | 83,050,138 |
| International value | 135,879,636 | — | — | 135,879,636 |
| Real estate investment trust index | 83,398,699 | — | — | 83,398,699 |
| Other | 38,516,522 | — | — | 38,516,522 |
| Collective trust funds: | | | | |
| Capital preservation | — | 258,521,672 | — | 258,521,672 |
| Emerging markets equity | — | 66,232,369 | — | 66,232,369 |
| Fixed income | — | 16,644,981 | — | 16,644,981 |
| Fixed income index | — | 78,879,233 | — | 78,879,233 |
| Inflation indexed debt | — | 52,264,331 | — | 52,264,331 |
| International growth | — | 96,415,410 | — | 96,415,410 |
| International index | — | 32,206,905 | — | 32,206,905 |
| International value | — | 102,702,666 | — | 102,702,666 |
| Large cap growth | — | 28,976,044 | — | 28,976,044 |
| Large cap index | — | 661,941,029 | — | 661,941,029 |
| Large cap value | — | 10,217,037 | — | 10,217,037 |
| Short term investments | — | 65,789,976 | — | 65,789,976 |
| Small-mid cap growth | — | 6,067,659 | — | 6,067,659 |
| Small-mid cap index | — | 264,853,839 | — | 264,853,839 |
| Small-mid cap value | — | 20,664,470 | — | 20,664,470 |
| Insurance separate account investment contract | — | 55,077,818 | — | 55,077,818 |
| Other | 28,060 | 218,204 | — | 246,264 |

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\$1,847,720,969 \$2,036,518,842 \$— \$3,884,239,811

Amgen Retirement and Savings Plan
Notes to Financial Statements (continued)

3. Fair Value Measurements (continued)

| | Fair value measurements at December 31, 2012 using | | | Total |
|--|--|---|---|-----------------|
| | Quoted prices in active markets for identical assets (Level 1) | Significant other observable inputs (Level 2) | Significant unobservable inputs (Level 3) | |
| Amgen stock | \$184,496,548 | \$— | \$— | \$184,496,548 |
| Cash and cash equivalents | 30,739,498 | — | — | 30,739,498 |
| Common and preferred stocks: | | | | |
| Large cap growth | 284,581,646 | — | — | 284,581,646 |
| Large cap value | 107,810,209 | — | — | 107,810,209 |
| Small-mid cap growth | 47,123,024 | — | — | 47,123,024 |
| Small-mid cap value | 263,613,306 | 156,304 | — | 263,769,610 |
| Other | 139,643,360 | — | — | 139,643,360 |
| Debt securities: | | | | |
| U.S. Treasury securities | 53,860,263 | — | — | 53,860,263 |
| U.S. government agency securities | — | 85,174,932 | — | 85,174,932 |
| Corporate debt securities | — | 37,162,921 | — | 37,162,921 |
| Mortgage and asset backed securities | — | 26,697,958 | — | 26,697,958 |
| Mutual funds: | | | | |
| High yield debt | 68,442,311 | — | — | 68,442,311 |
| Inflation indexed debt | 49,117,121 | — | — | 49,117,121 |
| International growth | 117,588,989 | — | — | 117,588,989 |
| International value | 199,377,358 | — | — | 199,377,358 |
| Real estate investment trust index | 75,805,226 | — | — | 75,805,226 |
| Other | 32,577,082 | — | — | 32,577,082 |
| Collective trust funds: | | | | |
| Capital preservation | — | 249,101,804 | — | 249,101,804 |
| Emerging markets equity | — | 106,801,562 | — | 106,801,562 |
| Fixed income | — | 22,579,485 | — | 22,579,485 |
| Inflation indexed debt | — | 2,747,985 | — | 2,747,985 |
| International growth | — | 52,241,069 | — | 52,241,069 |
| International value | — | 7,235,472 | — | 7,235,472 |
| Large cap growth | — | 18,058,984 | — | 18,058,984 |
| Large cap index | — | 530,046,061 | — | 530,046,061 |
| Large cap value | — | 5,909,161 | — | 5,909,161 |
| Short term investments | — | 53,674,169 | — | 53,674,169 |
| Small-mid cap growth | — | 3,261,024 | — | 3,261,024 |
| Small-mid cap index | — | 164,916,882 | — | 164,916,882 |
| Small-mid cap value | — | 16,895,408 | — | 16,895,408 |
| Insurance separate account investment contract | — | 55,532,074 | — | 55,532,074 |
| Other | 13,466 | 408,215 | — | 421,681 |
| | \$1,654,789,407 | \$1,438,601,470 | \$— | \$3,093,390,877 |

Amgen Retirement and Savings Plan
Notes to Financial Statements (continued)

3. Fair Value Measurements (continued)

The fair value of common stocks (including Amgen stock), preferred stocks, publicly traded mutual funds and U.S. treasury securities are valued using quoted market prices in active markets with no valuation adjustment.

Debt securities other than U.S. treasury securities are valued taking into consideration valuations obtained from third-party pricing services. The pricing services utilize industry standard valuation models, including both income and market based approaches, for which all significant inputs are observable, either directly or indirectly, to estimate fair value. These inputs include reported trades of and broker/dealer quotes on the same or similar securities, issuer credit spreads, benchmark securities and, when applicable, prepayment/default projections based on historical data and other observable inputs.

The fair values of mutual funds that are not publicly traded are determined by reference to the net asset value per unit provided by the fund managers based on the fair values of the funds' underlying assets, which are invested in fixed income securities.

Collective trust funds represent interests in pooled investment vehicles designed typically for collective investment of employee benefit trusts. The fair values of these investments are determined by reference to the net asset value per unit provided by the fund managers. The unit values are based on the fair values of the trusts' underlying assets, which are principally equity and fixed income securities and short-term investments. The investment strategies of the Plan's collective trust funds vary generally based on the investment objectives of the asset class of which they are a part. Such investment strategies include investments in fixed income securities and investments in equity securities in domestic and international markets for growth and value objectives as well as to replicate market indexes and to invest in emerging markets. The only redemption restriction with respect to these investments is on the Wells Fargo Stable Return Fund G (fair value of \$89,220,661 at December 31, 2013) which requires a one-year notice to be given in the event of complete liquidation.

The fair value of the insurance separate account investment contract was determined by reference to the fair values of securities in the separate accounts underlying the contract.

Amgen Retirement and Savings Plan
Notes to Financial Statements (continued)

4. Investments

The fair values of individual investments that represent 5% or more of the Plan's net assets as of December 31, 2013 and 2012 are as follows:

| | December 31 2013 | 2012 |
|---|---------------------|-------------|
| Northern Trust Collective S&P 500 Index Fund – Non Lending - Collective trust fund | \$661,941,029 | * |
| Northern Trust Collective Extended Equity Market Index Fund - Non Lending - Collective trust fund | 264,853,839 | * |
| Amgen stock | 235,164,976 | 184,496,548 |
| Blackrock Equity Index Fund F – Collective trust fund | * | 497,201,610 |
| Dodge & Cox International Fund – Mutual fund | * | 199,377,358 |
| Blackrock Extended Equity Market Fund F – Collective trust fund | * | 157,513,979 |

* Investment balance was less than 5% of the Plan's net assets.

During the years ended December 31, 2013 and 2012, net realized and unrealized gains/(losses) on the Plan's investments were as follows:

| | Year Ended December 31 | |
|-----------------------------|------------------------|---------------|
| | 2013 | 2012 |
| Amgen stock | \$59,102,899 | \$49,564,698 |
| Common and preferred stocks | 300,185,251 | 125,069,426 |
| Debt securities | (10,693,199 |) 1,926,382 |
| Mutual funds | 45,547,605 | 64,631,385 |
| Collective trust funds | 285,585,545 | 139,990,203 |
| Other | 566,815 | (102,853 |
| | \$680,294,916 | \$381,079,241 |

5. Income Tax Status

The Plan received a determination letter from the IRS dated September 18, 2013, stating that the Plan is qualified, in form, under Section 401(a) of the Code and, therefore, the related trust is exempt from taxation. Subsequent to this determination by the IRS, the Plan was amended. Once qualified, the Plan is required to operate in conformity with the Code to maintain its qualification. The Company believes the Plan is currently being operated in compliance with the applicable requirements of the Code and, therefore, believes that the Plan is qualified and the related trust is tax exempt.

Amgen Retirement and Savings Plan
Notes to Financial Statements (continued)

5. Income Tax Status (continued)

Accounting principles generally accepted in the United States require the Company to evaluate uncertain tax positions taken by the Plan. The financial statement effects of a tax position are recognized when the position is more likely than not, based on the technical merits, to be sustained upon examination. As of December 31, 2013, no uncertain tax positions have been taken or are expected to be taken, and no amounts related to uncertain tax positions have been recorded in the Plan's financial statements. The Plan is subject to audits by the IRS, however there are currently no audits for any periods in progress. The Company believes the Plan is no longer subject to IRS examinations with respect to annual reports for years prior to 2010.

6. Services Provided by the Company

During 2013 and 2012, the Company paid trustee fees and certain other administrative costs on behalf of the Plan.

7. Reconciliation of Financial Statements to Form 5500

The reconciliation of net assets available for benefits per the financial statements to Form 5500 as of December 31, 2013 and 2012 consisted of the following:

| | December 31 | |
|--|-----------------|-----------------|
| | 2013 | 2012 |
| Net assets available for benefits per the financial statements | \$3,926,630,260 | \$3,125,218,249 |
| Adjustment from fair value to contract value for fully benefit-responsive investment contracts | 1,891,753 | 9,277,446 |
| Amounts allocated to withdrawing participants | (250,785 |) (1,179,116 |
| Deemed loans | (466,682 |) (394,362 |
| Net assets per the Form 5500 | \$3,927,804,546 | \$3,132,922,217 |

For the year ended December 31, 2013, the following is a reconciliation of the net investment income per the financial statements to the Form 5500:

| | Year Ended December 31 2013 |
|---|-----------------------------------|
| Interest and dividend income | \$40,042,545 |
| Net realized/unrealized gains | 680,294,916 |
| Total net investment income per the financial statements | 720,337,461 |
| Adjustment from fair value to contract value for fully benefit-responsive investment contracts: | |
| Less prior year adjustment | (9,277,446 |
| Add current year adjustment | 1,891,753 |
| Total net investment income per the Form 5500 | \$712,951,768 |

Amgen Retirement and Savings Plan
Notes to Financial Statements (continued)

7. Reconciliation of Financial Statements to Form 5500 (continued)

For the year ended December 31, 2013, the following is a reconciliation of distributions per the financial statements to the Form 5500:

| | Year Ended December 31 2013 | |
|---|-----------------------------------|---|
| Benefits paid | \$(223,992,574 |) |
| Investment and administrative fees | (8,206,499 |) |
| Total distributions per the financial statements | (232,199,073 |) |
| Add prior year amounts allocated to withdrawing participants | 1,179,116 | |
| Less current year amounts allocated to withdrawing participants | (250,785 |) |
| Add prior year deemed loan balance | 394,362 | |
| Less current year deemed loan balance | (466,682 |) |
| Total distributions per the Form 5500 | \$(231,343,062 |) |

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Supplemental Schedule
 Amgen Retirement and Savings Plan
 EIN: 95-3540776 Plan: #001
 December 31, 2013

Schedule H, line 4i – Schedule of Assets (Held at End of Year)

| Identity of Issue | Description of Investment | Current Value |
|--|--|---------------|
| Amgen stock* | Employer Securities 2,061,404 shares | \$235,164,976 |
| Capital Preservation Asset Class: | | |
| Wells Fargo Fixed Income Fund D* | Collective trust fund 7,431,718 units | \$93,169,960 |
| Wells Fargo Stable Return Fund G* | Collective trust fund 1,739,187 units | 89,220,661 |
| Wells Fargo Fixed Income Fund B* | Collective trust fund 3,402,054 units | 71,141,704 |
| Metropolitan Life Insurance Company* | Insurance separate account investment contract 542,893 units | 55,077,818 |
| NT Collective Short Term Investment Fund* | Collective trust fund 21,274,361 units | 21,274,361 |
| Wells Fargo Fixed Income Fund F* | Collective trust fund 375,102 units | 4,989,347 |
| Monumental Life Insurance Company* | Wrapper Contract | 117,559 |
| American General Life Insurance Company* | Wrapper Contract | — |
| Total Capital Preservation Asset Class | | 334,991,410 |
| Emerging Markets Equity Asset Class: | | |
| JP Morgan Emerging Markets Equity Focus Fund* | Collective trust fund 1,875,013 units | 32,362,733 |
| Artisan Emerging Markets/Ins | Mutual Fund 2,352,156 shares | 29,590,116 |
| Blackrock FTSE RAFI Emerging Index Non Lendable Fund F* | Collective trust fund 2,702,721 units | 28,855,603 |
| NT Collective Emerging Markets Fund - Non Lending* | Collective trust fund 32,842 units | 5,014,033 |
| Total Emerging Markets Equity Asset Class | | 95,822,485 |
| Fixed Income Asset Class: | | |
| Pimco PAPS Mortgage Portfolio | Mutual Fund 2,662,355 shares | 27,954,726 |
| NT Collective Aggregate Bond Index Fund - Non Lending* | Collective trust fund 134,201 units | 16,644,981 |
| Pimco PAPS U.S. Government Sector Portfolio | Mutual Fund 1,812,364 shares | 15,785,691 |
| Pimco PAPS Investment Grade Corporate Portfolio | Mutual Fund 1,463,083 shares | 15,347,741 |
| Pimco PAPS Real Return Portfolio #488 | Mutual Fund 1,495,221 shares | 13,217,758 |
| Pimco PAPS Short-Term Floating NAV Portfolio | Mutual Fund 889,726 shares | 8,906,153 |
| Pimco PAPS International Portfolio | Mutual Fund 1,858,952 shares | 8,458,233 |
| United States Treasury SEC Stripped Interest Payments Due 02/15/2021 | Government Bond 6,895,000 units | 5,731,840 |

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| | | |
|---|--|-----------|
| Pimco PAPS Emerging Markets Portfolio | Mutual Fund 323,589 shares | 3,216,472 |
| United States Treasury SEC Stripped Interest Payment Due 05/15/2018 | Government Bond 3,125,000 units | 2,920,712 |
| Pimco PAPS Asset-Backed Securities Portfolio #460 | Mutual Fund 203,510 shares | 2,438,045 |
| Pimco PAPS Short-Term Portfolio | Mutual Fund 230,267 shares | 2,194,445 |
| Pimco PAPS High Yield Portfolio | Mutual Fund 277,499 shares | 2,128,417 |
| NT Collective Short Term Investment Fund* | Collective trust fund 1,485,979 units | 1,485,979 |
| United States Treasury SEC Stripped Interest Payment 0% Due 08/15/2021 | Government Bond 1,505,000 units | 1,222,327 |
| United States Treasury SEC Stripped Interest Payment Due 11/15/2021 | Government Bond 1,475,000 units | 1,184,816 |
| United States Treasury Notes 2.25% Due 01/31/2015 | Government Bond 1,080,000 units | 1,104,046 |
| United States Treasury SEC Stripped Interest Payment Due 08/15/2020 | Government Bond 1,250,000 units | 1,066,242 |
| Pimco PAPS Municipal Sector Portfolio | Mutual Fund 136,019 shares | 1,063,667 |
| United States Treasury Notes 3% Due 02/28/17 | Government Bond 990,000 units | 1,054,968 |
| Fannie Mae Pool #466430 3.37% Due 11/01/2020 | Government Mortgage Backed Security 1,000,000 units | 1,033,101 |
| United States Treasury SEC Stripped Interest Payment 00517 Due 02/15/2029 | Government Bond 1,820,000 units | 1,019,712 |
| Fannie Mae Pool #MA0214 5% Due 10/01/2029 | Government Mortgage Backed Security 805,809 units | 893,570 |
| United States Treasury SEC Stripped Interest Payments Due 05/15/2021 | Government Bond 1,000,000 units | 822,139 |
| Fannie Mae Pool #AT5915 4% Due 06/01/2043 | Government Mortgage Backed Security 746,737 units | 761,786 |
| Structured Asset Mortgage Investments II Inc. Series 2004-AR1 Class A1 Floating Rate Due 03/19/2034 | Collateralized Mortgage Obligation 769,782 units | 755,456 |
| United States Treasury Notes 1.375% Due 12/31/2018 | Government Bond 750,000 units | 737,519 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|---|---|---------------|
| Ginnie Mae 5.5% Due 06/20/2035 | Government Mortgage Backed Security 650,000 units | 718,517 |
| Federal Home Loan Mortgage Corporation Gold Pool U9-0291 4% Due 10/01/2042 | Government Mortgage Backed Security 699,845 units | 713,345 |
| United States Treasury SEC Stripped Interest Payments Due 11/15/2028 | Government Bond 1,250,000 units | 708,753 |
| Fannie Mae Pool #AM2501 1.77% Due 02/01/2020 | Government Mortgage Backed Security 718,281 units | 700,850 |
| Fannie Mae Pool #AM2674 2.61% Due 03/01/2023 | Government Mortgage Backed Security 745,000 units | 691,714 |
| Federal Home Loan Mortgage Corporation Multiclass Series 3677 4.5% Due 05/15/2040 | Government Mortgage Backed Security 645,000 units | 682,071 |
| Fannie Mae Pool #MA1462 3.5% Due 06/01/2043 | Government Mortgage Backed Security 689,381 units | 679,186 |
| United States Treasury SEC Stripped Interest Payment Due 02/15/2026 | Government Bond 1,000,000 units | 648,818 |
| Fannie Mae Pool #AQ1534 3.5% Due 10/01/2032 | Government Mortgage Backed Security 626,149 units | 628,187 |
| United States Treasury SEC Stripped Interest Payment Due 02/15/2027 | Government Bond 1,000,000 units | 617,496 |
| United States Treasury SEC Stripped Interest Payment Due 11/15/2027 | Government Bond 1,000,000 units | 594,373 |
| Fannie Mae Pool #Am1762 2.39% Due 12/01/2022 | Government Mortgage Backed Security 630,000 units | 591,414 |
| Federal Home Loan Mortgage Corporation Gold Pool U89009 3.5% Due 09/01/2032 | Government Mortgage Backed Security 586,092 units | 589,032 |
| Ginnie Mae 2007-035 6% Due 06/20/2037 | Government Mortgage Backed Security 500,000 units | 558,463 |
| Federal Home Loan Mortgage Corporation Pool #A12293 4.374% Due 06/01/2021 | Government Mortgage Backed Security 507,259 units | 550,673 |
| Ginnie Mae 6% Due 11/16/2032 | Government Mortgage Backed Security 489,142 units | 545,448 |
| United States Treasury Notes 2.625% Due 12/31/2014 | Government Bond 526,000 units | 538,780 |
| Fannie Mae Pool #AQ1607 3.5% Due 11/01/2032 | Government Mortgage Backed Security 535,965 units | 537,709 |
| Federal Home Loan Mortgage Corporation Series 2899 4% Due 12/15/2019 | Government Mortgage Backed Security 500,000 units | 537,208 |
| United States Treasury 0% Due 02/15/2030 | Government Bond 1,000,000 units | 534,002 |
| United States Treasury SEC Stripped Interest Payments 6.5% Due 11/15/26 | Government Bond 850,000 units | 531,433 |
| Ginnie Mae 5.25% Due 03/20/2038 | Government Mortgage Backed Security 482,000 units | 523,614 |
| Federal National Mortgage Association Pool #A10561 3.738% Due 06/01/2018 | Government Mortgage Backed Security 480,819 units | 520,758 |
| Fannie Mae Series 2002-86 6% Due 09/25/2032 | Government Mortgage Backed Security 465,000 units | 520,421 |
| Fannie Mae Pool #AR7961 3.5% Due 03/01/2033 | Government Mortgage Backed Security 517,669 units | 519,360 |

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| | | |
|--|---|---------|
| Federal Home Loan Mortgage Corporation Pool #S0/6297 3.5% Due 09/15/2042 | Government Mortgage Backed Security 518,022 units | 518,562 |
| Fannie Mae Pool #AM2711 2.57% Due 03/01/2023 | Government Mortgage Backed Security 545,000 units | 515,772 |
| Fannie Mae Pool #AM1990 2.33% Due 01/01/2023 | Government Mortgage Backed Security 550,000 units | 513,551 |
| Fannie Mae Pool #468559 4.01% Due 08/01/2021 | Government Mortgage Backed Security 483,305 units | 510,949 |
| Fannie Mae Series 2009-W1 6% Due 12/25/2049 | Government Mortgage Backed Security 447,683 units | 509,869 |
| Ginnie Mae 5% Due 03/16/2034 | Government Mortgage Backed Security 460,000 units | 502,819 |
| Federal National Mortgage Association Pool #A12965 2.667% Due 12/01/2022 | Government Mortgage Backed Security 521,332 units | 499,561 |
| Federal Home Loan Mortgage Corporation Multiclass Series 4168 Class JA 3.5% Due 02/15/2043 | Government Mortgage Backed Security 479,475 units | 496,985 |
| Fannie Mae Preassign 00015 5.9% Due 07/25/2042 | Government Mortgage Backed Security 442,195 units | 487,764 |
| Fannie Mae Pool #AM3307 2.85% Due 05/01/2023 | Government Mortgage Backed Security 490,529 units | 471,834 |
| Ginnie Mae Pool 2013-H05 Remic Passthrough Class FB Floating Rate 02/20/2062 | Government Mortgage Backed Security 470,863 units | 467,979 |
| Federal Home Loan Mortgage Corporation Multiclass Series 3895 4.5% Due 07/15/2041 | Government Mortgage Backed Security 450,000 units | 458,093 |
| Fannie Mae Pool #471834 1.4% Due 07/01/2017 | Government Mortgage Backed Security 455,000 units | 456,988 |
| Federal Home Loan Mortgage Corporation Multiclass Series 3662 5% Due 04/15/2040 | Government Mortgage Backed Security 420,000 units | 444,732 |
| National Credit Union Association Guaranteed Trust 2010-R3 Due 12/06/2020 | Government Mortgage Backed Security 436,928 units | 439,550 |
| Fannie Mae Pool #467025 3.38% Due 01/01/2018 | Government Mortgage Backed Security 410,000 units | 435,602 |
| Fannie Mae 3.726% Due 06/25/2021 | Government Mortgage Backed Security 410,000 units | 427,653 |
| Federal Home Loan Mortgage Corporation Series 2595 5% Due 04/15/2023 | Government Mortgage Backed Security 390,000 units | 423,760 |
| Fannie Mae Pool #AM3301 2.35% Due 05/01/2023 | Government Mortgage Backed Security 455,021 units | 422,724 |
| Macmo Master Asset Securitization Trust 5.5% Due 12/25/2033 | Collateralized Mortgage Obligation 401,957 units | 420,199 |
| Fannie Mae Pool #465973 3.59% Due 10/01/2020 | Government Mortgage Backed Security 399,011 units | 417,701 |
| Fannie Mae Remic Trust 2001-81 6.5% Due 01/25/2032 | Government Mortgage Backed Security 376,973 units | 417,583 |
| Fannie Mae 1.80057001114% Due 12/25/2019 | Government Mortgage Backed Security 425,000 units | 417,017 |
| United States Treasury Bonds 8.125% Due 02/15/2021 | Government Bond 300,000 units | 415,289 |
| Fannie Mae Series 2012-M8 1.52042% Due 04/25/2018 | Government Mortgage Backed Security 414,141 units | 412,773 |
| | | 410,875 |

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| | | |
|---|---|---------|
| Federal Home Loan Mortgage Corporation Multiclass Series 2901 4.5% Due 12/15/2019 | Government Mortgage Backed Security 380,000 units | |
| Fannie Mae Series 2013-M7 Class A2 2.28% Due 12/25/2022 | Government Mortgage Backed Security 441,000 units | 402,548 |
| Fannie Mae Remic Trust 2004-W12 6.5% Due 07/25/2044 | Government Mortgage Backed Security 358,349 units | 401,910 |
| Federal Home Loan Mortgage Corporation Series 3507 5% Due 03/15/2036 | Government Mortgage Backed Security 375,067 units | 401,598 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|---|---|---------------|
| Fannie Mae Pool #467059 3.81% Due 01/01/2019 | Government Mortgage Backed Security 368,823 units | 389,436 |
| Fannie Mae Remic Series 2004-T1 6% Due 01/25/2044 | Government Mortgage Backed Security 366,018 units | 380,702 |
| Fannie Mae Preassign 00653 5.5% Due 03/25/2023 | Government Mortgage Backed Security 345,000 units | 378,271 |
| Fannie Mae Remic Series 2008-75 4.5% Due 09/25/2023 | Government Mortgage Backed Security 353,214 units | 374,866 |
| Fannie Mae Remic Series 2013-6 Class GI 09/25/2032 | Government Mortgage Backed Security 2,335,096 units | 374,538 |
| Fannie Mae 2011-M2 3.645% Due 07/25/2021 | Government Mortgage Backed Security 355,000 units | 372,171 |
| Fannie Mae Pool #468564 4.06% Due 07/01/2021 | Government Mortgage Backed Security 340,000 units | 360,758 |
| Fannie Mae 2006-114 5.5% Due 10/25/2035 | Government Mortgage Backed Security 341,558 units | 355,390 |
| Fannie Mae 3.5% Due 09/25/2038 | Government Agency Bond 345,707 units | 351,423 |
| Ginnie Mae 2004-30 5.5% Due 02/20/2034 | Government Mortgage Backed Security 321,708 units | 350,912 |
| JP Morgan Chase Commercial Mortgage Securities Trust 2006-A4 Due 05/12/2045* | Commercial Mortgage Backed Security 321,104 units | 348,793 |
| Federal Home Loan Mortgage Corporation Multiclass Series 2072 6.5% Due 07/15/2028 | Government Mortgage Backed Security 304,899 units | 342,001 |
| Fannie Mae Preassign 00166 6.5% Due 08/25/2036 | Government Mortgage Backed Security 303,229 units | 339,806 |
| Fannie Mae Pool #AM4764 3.44% Due 11/01/2023 | Government Mortgage Backed Security 340,000 units | 338,100 |
| Federal Home Loan Mortgage Corporation Multiclass Series 2980 6% Due 05/15/2035 | Government Mortgage Backed Security 295,559 units | 331,257 |
| Banc America Commercial Mortgage Inc. 2006-3 Due 07/10/2044* | Commercial Mortgage Backed Security 298,327 units | 324,537 |
| Federal Home Loan Mortgage Corporation Multiclass Series 3087 5.5% Due 12/15/2025 | Government Mortgage Backed Security 290,721 units | 321,865 |
| A10 Securitization 2013-1 LLC | Commercial Mortgage Backed Security 316,000 units | 314,943 |
| Federal Home Loan Mortgage Corporation Multiclass Series 3005 5% Due 07/15/2025 | Government Mortgage Backed Security 279,761 units | 307,925 |
| Springcastle SPV LLC 3.75% Due 04/05/2021 | Asset Backed Security 307,305 units | 307,882 |
| Ally Auto 0.85% Due 08/15/2016 | Asset Backed Security 305,000 units | 305,827 |
| Federal Home Loan Mortgage Corporation Multiclass Series 3211 6% Due 12/15/2035 | Government Mortgage Backed Security 285,242 units | 302,740 |
| Merrill Lynch Mortgage Investors Inc. Series 2003-5 Class-A1 Due 10/25/2028* | Collateralized Mortgage Obligation 303,573 units | 300,114 |
| Wachovia Bank National Association 6% Due 11/15/2017 | Corporate Bond 260,000 units | 300,014 |
| Fannie Mae Series 417 Class C11 2.5% Due 02/25/2028 | Government Mortgage Backed Security 2,492,793 units | 294,419 |

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|--|--|---------|
| World Omni Auto Receivables Trust Series 2012-A 1.0% Due 02/15/2017 | Asset Backed Security 293,000 units | 293,580 |
| Ginnie Mae Pool 2012-84 Class LI I-O 4% Due 05/16/2042 | Government Mortgage Backed Security 1,589,181 units | 290,167 |
| NorthStar LLC Serice 2013-1 Class A Floating Rate Due 08/25/2029 | Commercial Mortgage Backed Security 289,000 units | 288,983 |
| Flagship Credit Corporation CPS Auto Receivables Trust 2013-C Class A 1.64% Due 04/16/2018 | Asset Backed Security 288,275 units | 287,803 |
| Fannie Mae Remic Trust 2010-9 4.5% Due 10/25/2039 | Asset Backed Security 285,340 units | 285,536 |
| Fannie Mae Pool #466049 2.49% Due 10/01/2017 | Government Mortgage Backed Security 276,922 units | 284,729 |
| Carnow Auto Receivables Trust 2013-1 Class A 1.16% Due 10/16/2017 | Government Mortgage Backed Security 272,785 units | 282,750 |
| VNDO Mortgage Trust 2012-6Ave 2.9950% Due 11/15/2030 | Asset Backed Security 275,948 units | 275,814 |
| Federal Home Loan Mortgage Corporation Series 3542 Class N 0% Due 07/15/2036 | Commercial Mortgage Backed Security 295,237 units | 275,297 |
| Fannie Mae Pool #890231 5% Due 07/01/2025 | Government Mortgage Backed Security 300,527 units | 273,043 |
| JP Morgan Chase Commercial Mortgage-Backed Securities Corporation Series 2006-Ldp6 Variable Rate Due 04/15/2043* | Government Mortgage Backed Security 249,227 units | 272,330 |
| Fannie Mae Pool 00743 3.5% Due 10/25/2037 | Commercial Mortgage Backed Security 250,000 units | 270,896 |
| UBS AG Stamford Branch 3.875% Due 01/15/2015 | Government Mortgage Backed Security 262,816 units | 270,754 |
| Residential Accredited Loans Inc. Pass-Through Series 2004-QS7 5.5% Due 05/25/2034 | Corporate Bond 260,000 units | 268,802 |
| Morgan Stanley Trust Service 2012-IO Remic Pass-Through 1% Due 03/27/2051 | Collateralized Mortgage Obligation 253,783 units | 258,825 |
| Wachovia Bank Commercial Mortgage Pass-Through Due 07/15/45 | Collateralized Mortgage Obligation 258,625 units | 258,610 |
| Toyota Motor Credit Corporation 2% Due 09/15/2016 | Commercial Mortgage Backed Security 240,522 units | 258,317 |
| Ginnie Mae 0% Due 12/20/2040 | Corporate Bond 250,000 units | 257,152 |
| National Bank of Canada 1.65% Due 01/30/2014 | Government Mortgage Backed Security 319,785 units | 254,578 |
| JP Morgan Mortgage Trust Series 2004-A3 Due 07/25/2034* | Corporate Bond 250,000 units | 250,258 |
| Citigroup/Deutsche Bank Commercial 2005-CD1 Variable Rate Due 07/15/2044 | Collateralized Mortgage Obligation 248,850 units | 246,459 |
| Fannie Mae 1.43% Due 03/25/2037 | Commercial Mortgage Backed Security 230,000 units | 246,142 |
| Federal Home Loan Mortgage Corporation Series 3852 Floating Rate Due 05/15/2041 | Government Mortgage Backed Security 1,667,626 units | 245,511 |
| Wachovia Corporation 5.75% Due 02/01/2018 | Government Mortgage Backed Security 231,467 units | 243,025 |
| Stadshypotek AB (publ) 1.875% Due 10/02/2019 | Corporate Bond 210,000 units | 242,146 |
| Merrill Lynch Mortgage Investors Inc. 2004-B Class 1 Due 05/25/2029* | Corporate Bond 250,000 units | 240,950 |
| | Collateralized Mortgage Obligation 247,709 units | 240,179 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|--|---|---------------|
| UBS Commercial Mortgage 2007 C7 Variable Rate Mortgage Pass Thru Class A/3 09/15/2045 | Commercial Mortgage Backed Security 216,110 units | 239,679 |
| Fannie Mae Remic Trust 2005-87 5% Due 12/25/2033 | Government Mortgage Backed Security 231,518 units | 237,347 |
| Exeter Automobile Receivables Trust 2013-1 Class A 0% Due 10/16/2017 | Asset Backed Security 233,760 units | 234,199 |
| Fannie Mae Pool #Ap0645 3.5% Due 07/01/2032 | Government Mortgage Backed Security 232,275 units | 233,036 |
| Federal Home Loan Mortgage Corporation Income Only Multiclass Series 4030 3.50% Due 04/15/2027 | Government Mortgage Backed Security 1,817,345 units | 232,397 |
| Chase Mortgage Financial Trust Series 2007-A1 Pass-Through 2.753% Due 02/25/2037 | Collateralized Mortgage Obligation 232,083 units | 232,293 |
| Banc America Commercial Mortgage Inc. 2005-3 Pass-Through 4.727% Due 07/10/2043* | Commercial Mortgage Backed Security 220,000 units | 228,571 |
| First Horizon Mortgage Pass-Through Trust Series 2004-AR6 4.75% Due 12/25/34 | Collateralized Mortgage Obligation 229,648 units | 225,871 |
| General Electric Capital Corporation 2.25% Due 11/19/2015 | Corporate Bond 219,000 units | 225,704 |
| Sequoia Mortgage Trust Floating Rate Due 11/20/2034 | Collateralized Mortgage Obligation 228,842 units | 225,152 |
| Springleaf Mortgage Loan Trust 2013-1A Class A 1.27% Due 06/25/2058 | Collateralized Mortgage Obligation 225,694 units | 225,128 |
| United States Treasury Bonds 4.24% Due 05/15/2039 | Government Bond 210,000 units | 223,945 |
| Homestar Mortgage Acceptance Corporation Series 2004-4 Class A3 Floating Rate Due 09/25/2034 | Collateralized Mortgage Obligation 224,456 units | 223,383 |
| United States Treasury Bonds 4.5% Due 02/15/2036 | Government Bond 200,000 units | 222,531 |
| Oracle Corporation 3.625% Due 07/15/2023 | Corporate Bond 224,000 units | 222,201 |
| Nordea Bank 1.625% Due 05/15/2018 | Corporate Bond 225,000 units | 219,846 |
| HSBC Bank PLC 4.75% Due 01/19/2021 | Corporate Bond 200,000 units | 217,086 |
| Flagship Credit Auto Trust 2013-2 Class A 1.94% Due 01/15/19 | Asset Backed Security 213,422 units | 213,404 |
| Anadarko Petroleum Corporation 6.45% Due 09/15/2036 | Corporate Bond 190,000 units | 213,358 |
| Goldman Sachs Group Inc. 5.375% Due 03/15/2020 | Corporate Bond 190,000 units | 211,305 |
| Fannie Mae Pool #735070 6.5% Due 10/01/2024 | Government Mortgage Backed Security 190,059 units | 211,224 |
| Fannie Mae Pool #254762 5% Due 05/01/2023 | Government Mortgage Backed Security 192,791 units | 209,234 |
| Master Adjustable Rate Mortgages Trust 2004-8 Due 08/25/2034 | Collateralized Mortgage Obligation 208,571 units | 207,979 |
| AT&T Inc. 3.875% Due 08/15/2021 | Corporate Bond 205,000 units | 207,686 |
| Ford Credit Auto Owner .82% Due 12/15/2017 | Asset Backed Security 201,000 units | 201,730 |
| UBS-Bank of America Merrill Lynch Trust Series 2012-Wrm 3.663% Due 06/10/2030* | Commercial Mortgage Backed Security 211,000 units | 200,462 |
| UBS Commercial Mortgage Income Only Trust 2012-C1 2.561868% Due 05/10/2045 | Commercial Mortgage Backed Security 1,542,491 units | 200,095 |
| United States Treasury Notes 4% Due 08/15/2018 | Government Bond 180,000 units | 199,645 |
| | | 198,691 |

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| | | |
|---|--|---------|
| Fannie Mae Remic Series 2013-13 Class IK 03/25/2028 | Government Mortgage Backed Security 1,700,874 units | |
| UBS-Barclays Commercial Mortgage 2012-C2 3.52500009537% Due 05/10/2063 | Commercial Mortgage Backed Security 197,600 units | 195,320 |
| CWMBS Inc. 5.25% Due 05/25/2034 | Collateralized Mortgage Obligation 185,047 units | 190,073 |
| Structured Asset Securities Corporation 2003-26A Pass-Through Due 09/25/2033 | Collateralized Mortgage Obligation 190,570 units | 186,736 |
| Wells Fargo Resecure Trust 2012-IO Class A 1.75% Due 08/22/2017* | Commercial Mortgage Backed Security 187,763 units | 186,636 |
| HLSS Servicer Advance Receivables Series 2013-T1 Class A2T1 1.4953% Due 01/16/2046 | Asset Backed Security 186,000 units | 185,312 |
| Fannie Mae Pool #256601 6% Due 02/01/2027 | Government Mortgage Backed Security 165,401 units | 184,177 |
| Cobalt CMBS Commercial Mortgage Trust 2006-C1 Pass-Through 5.186% Due 08/01/48 | Commercial Mortgage Backed Security 170,000 units | 183,429 |
| Springleaf Mortgage Loan Trust Series 2012-2 Variable Rate Due 10/25/2057 | Collateralized Mortgage Obligation 179,423 units | 182,783 |
| Fannie Mae Pool #576557 6.5% Due 06/01/2019 | Government Mortgage Backed Security 162,653 units | 180,879 |
| American Credit Acceptance Receivables Series 2013-2 Class A 1.32% Due 02/15/2017 | Asset Backed Security 180,695 units | 180,738 |
| Springleaf Mortgage Loan Trust 2013-2 Class M-/1 Variable Rate Due 12/25/2065 | Collateralized Mortgage Obligation 181,000 units | 177,996 |
| Northstar Mortgage Trust Series 2012-1 Floating Rate Due 04/25/2015 | Commercial Mortgage Backed Security 177,449 units | 177,515 |
| Bayview Commercial Asset Trust Variable Rate Due 11/25/2035 | Commercial Mortgage Backed Security 206,746 units | 177,140 |
| Morgan Stanley Dean Witter Capital I Trust 2003-HYB1 Variable Rate Due 02/25/2033 | Collateralized Mortgage Obligation 175,035 units | 173,185 |
| Springleaf Mortgage Loan Trust 2013-2 Class M-2 Variable Rate 12/25/65 | Collateralized Mortgage Obligation 175,000 units | 172,343 |
| Morgan Stanley 5.75% Due 01/25/2021 | Corporate Bond 150,000 units | 169,690 |
| Federal Home Loan Mortgage Corporation Multiclass Series 3852 Floating Rate Due 05/15/2041 | Government Mortgage Backed Security 161,169 units | 167,975 |
| HLSS Servicer Advance Receivables Trust Series 2013-T4 Class AT4 1.183% Due 08/15/2044 | Asset Backed Security 168,000 units | 167,798 |
| HSBC Holdings PLC 4% Due 03/30/2022 | Corporate Bond 163,000 units | 167,539 |
| Banc of America Funding Corporation Series 2005-E Class 4-A-1 Due 03/20/2035* | Collateralized Mortgage Obligation 166,546 units | 167,323 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|--|---|---------------|
| Fannie Mae Stripped Mortgage-Backed Securities Trust 410 Class 29 4% Due 05/25/2027 | Government Mortgage Backed Security 1,311,303 units | 165,683 |
| AmeriCredit Automobile Receivables Trust 2013-3 Telefonica 6.221% Due 07/03/2017 | Asset Backed Security 164,000 units | 163,711 |
| Trafigura Securitisation Finance PLC Due 10/15/2015 | Corporate Bond 145,000 units | 163,462 |
| Residential Accredited Loans Inc. Pass-Through Series 2003QS7 4.75% Due 04/25/2033 | Asset Backed Security 160,000 units | 161,945 |
| Royal Bank of Canada 1.2% Due 09/19/2017 | Collateralized Mortgage Obligation 158,668 units | 161,564 |
| Structured Asset Securities Corporation Series 2004-6XS Due 03/25/2034 | Corporate Bond 163,000 units | 161,506 |
| United States Treasury Notes 3.25% Due 12/31/2016 | Asset Backed Security 162,563 units | 161,231 |
| General Electric Capital Corporation 5.5% Due 01/08/2020 | Government Bond 150,000 units | 160,887 |
| Conoco Inc. 6.95% Due 04/15/2029 | Corporate Bond 140,000 units | 160,323 |
| VFC 2013-1 LLC & Subsidiaries 144A 3.13% Due 03/20/2026 | Corporate Bond 125,000 units | 158,172 |
| Wells Fargo & Company 4.48% Due 01/16/2024* | Asset Backed Security 157,102 units | 158,032 |
| Hess Corporation LLC 5.29% Due 03/25/2016 | Corporate Bond 158,000 units | 157,280 |
| Fannie Mae Pool #256556 6% Due 01/01/2027 | Asset Backed Security 150,000 units | 156,856 |
| CitiFinancial Mortgage 5.348% Due 08/25/2033 | Government Mortgage Backed Security 141,010 units | 156,781 |
| Thornburg Mortgage Securities Trust 2004-3 Pass-Through Floating Rate Due 11/30/2044 | Asset Backed Security 160,999 units | 156,428 |
| Santander Drive Auto Receivables Trust 0.82999998331% Due 12/15/2016 | Collateralized Mortgage Obligation 162,861 units | 155,871 |
| Spectra Energy Capital 5.65% Due 03/01/2020 | Asset Backed Security 155,000 units | 155,032 |
| Banc America Mortgage Securities Inc. Series 2003-3 5.5% Due 05/25/2033* | Corporate Bond 141,000 units | 153,539 |
| Fannie Mae Pool #889213 5.5% Due 10/01/2020 | Collateralized Mortgage Obligation 148,030 units | 152,242 |
| Wells Fargo Mortgage Backed Securities Due 09/25/2034* | Government Mortgage Backed Security 139,271 units | 150,479 |
| Morgan Stanley Capital I Trust 2011-C3 4.054% Due 07/15/2049 | Collateralized Mortgage Obligation 148,421 units | 149,827 |
| Citigroup Mortgage Loan Trust Series 2010-8 4.5% Due 12/25/2036 | Commercial Mortgage Backed Security 141,000 units | 149,398 |
| Merrill Lynch & Company Inc. 6.875% Due 04/25/2018* | Collateralized Mortgage Obligation 144,472 units | 148,597 |
| Washington Mutual Mortgage Pass-Through 2.67% Due 08/25/2033 | Corporate Bond 125,000 units | 147,796 |
| Wells Fargo Mortgage Backed Securities 2004-EE Trust Variable Rate Due 12/25/2034* | Collateralized Mortgage Obligation 145,582 units | 146,019 |
| PRICOA Global Funding 1.6% Due 05/29/2018 | Collateralized Mortgage Obligation 144,365 units | 146,013 |
| Carfinance Cap Auto Trust 2013-1 Class A 1.65% Due 07/17/2017 | Corporate Bond 150,000 units | 145,402 |
| Barrick North America Finance LLC 4.4% Due 05/30/2021 | Asset Backed Security 144,880 units | 144,560 |
| Nordea Bank 7% Due 11/13/2014 | Corporate Bond 150,000 units | 144,427 |
| | Corporate Bond 140,000 units | 143,891 |

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| CWMBS Inc. Pass-Through 5.25% Due 05/25/2034 | Collateralized Mortgage Obligation 141,197 units | 142,719 |
| Santander Drive Auto Receivables Trust 1.08% Due 04/15/2016 | Asset Backed Security 142,319 units | 142,584 |
| Verizon Communications Inc. 5.5% Due 02/15/2018 | Corporate Bond 125,000 units | 141,220 |
| PHH Mortgage Trust Series 6% Due 07/25/2038 | Collateralized Mortgage Obligation 136,513 units | 141,066 |
| Citigroup, Inc. 8.5% Due 05/22/2019 | Corporate Bond 110,000 units | 140,975 |
| Sequoia Mortgage Trust Variable Rate Due 09/20/2034 | Collateralized Mortgage Obligation 149,135 units | 140,898 |
| JP Morgan Mortgage Trust 2006-A2 3.75% Due 04/25/2036* | Collateralized Mortgage Obligation 138,718 units | 139,609 |
| Time Warner Inc. 4.75% Due 03/29/2021 | Corporate Bond 130,000 units | 138,628 |
| Fannie Mae Series 343 Class 29 5% Due 10/01/2018 | Government Mortgage Backed Security 1,789,920 units | 138,286 |
| Master Alternative Loan Trust 5.5% Pass-Through | Collateralized Mortgage Obligation 131,677 units | 137,830 |
| Comcast Corporation 6.5% Due 01/15/2017 | Corporate Bond 120,000 units | 137,472 |
| DBUBS Mortgage Trust Pass Through Income Only Series 2011-Lc2 Class X-A 144A Due 07/10/2044 | Commercial Mortgage Backed Security 2,414,784 units | 137,350 |
| Keycorp 5.1% Due 03/24/2021 | Corporate Bond 125,000 units | 136,614 |
| JP Morgan Mortgage Trust Series 2004-S1 5.5% Due 09/25/2034* | Collateralized Mortgage Obligation 130,369 units | 135,287 |
| Trans Canada Pipelines Limited 3.8% Due 10/01/2020 | Corporate Bond 130,000 units | 134,567 |
| Barclays Bank 5.2% Due 07/10/2014 | Corporate Bond 130,000 units | 133,246 |
| Huntington Auto Trusts Series 2012-1 0.81% Due 09/15/2016 | Asset Backed Security 132,328 units | 132,654 |
| Master Alternative Loan Trust 2004-3 6.25% Due 04/25/2034 | Collateralized Mortgage Obligation 127,659 units | 132,043 |
| Centex Home Equity 4.68% Due 06/25/2032 | Asset Backed Security 126,023 units | 130,656 |
| Illinois State Taxable Pension 5.1% Due 06/01/2033 | Municipal/Provincial Bond 140,000 units | 130,452 |
| Fannie Mae Remic Trust 2010-49 Variable Rate Due 03/25/2040 | Government Mortgage Backed Security 111,200 units | 130,330 |
| Nabors Industries Limited 4.625% Due 09/15/2021 | Corporate Bond 130,000 units | 130,152 |
| MetLife Global 2.5% Due 09/29/2015 | Corporate Bond 125,000 units | 128,741 |
| Bank of America Funding Corporation 7.375% Due 05/15/2014* | Corporate Bond 125,000 units | 128,111 |
| International Business Machines Corporation 7.625% Due 10/15/2018 | Corporate Bond 100,000 units | 125,086 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|---|---|---------------|
| Credit Agricole SA 8.375% Floating Rate Due 08/15/2029 | Corporate Bond 110,000 units | 124,850 |
| Washington Mutual Mortgage 5.5% Due 04/25/2033 | Collateralized Mortgage Obligation 122,629 units | 124,413 |
| Citigroup Mortgage Loan Trust 2008-AR4 Remic Pass-Through Due 11/25/2038 | Collateralized Mortgage Obligation 123,395 units | 124,370 |
| American Express Credit 5.125% Due 08/25/2014 | Corporate Bond 120,000 units | 123,654 |
| Bank of Montreal 2.55% Due 11/06/2022 | Corporate Bond 135,000 units | 123,420 |
| Kraft Foods Group Inc. 5.375% Due 02/10/2020 | Corporate Bond 109,000 units | 122,974 |
| Goldman Sachs Group Inc. 7.5% Due 02/15/2019 | Corporate Bond 100,000 units | 121,797 |
| Morgan Stanley 7.3% Due 05/13/2019 | Corporate Bond 100,000 units | 121,441 |
| Ginnie Mae 2007-024 Remic Pass-Through Floating Rate Due 05/20/2037 | Government Mortgage Backed Security 924,873 units | 120,611 |
| General Electric Capital Corporation 5.625% Due 05/01/2018 | Corporate Bond 105,000 units | 120,579 |
| Citigroup Commercial Mortgage Trust Series 2005-C3 Due 05/15/2043 | Commercial Mortgage Backed Security 115,000 units | 119,731 |
| United States Treasury Bonds 6.375% Due 08/15/27 | Government Bond 90,000 units | 119,503 |
| Mercedes-Benz Auto Series 2013-1 Class A 3.78% Due 08/15/2017 | Asset Backed Security 119,000 units | 119,228 |
| Ontario Province Canada 4% Due 10/07/2019 | Municipal/Provincial Bond 110,000 units | 119,079 |
| Credit Suisse First Boston Securities Corporation Series 2006-C1 Floating Rate Due 02/15/2039 | Commercial Mortgage Backed Security 110,000 units | 118,514 |
| Ryder System Inc. Trust 00208 3.5% Due 06/01/2017 | Corporate Bond 113,000 units | 118,233 |
| Hydro-Quebec Series 8.4% Due 01/15/2022 | Municipal/Provincial Bond 90,000 units | 117,613 |
| General Electric Capital Corporation 6% Due 08/07/2019 | Corporate Bond 100,000 units | 117,331 |
| Morgan Stanley 6.625% Due 04/01/2018 | Corporate Bond 100,000 units | 117,009 |
| Jefferies Group 8.5% Due 07/15/2019 | Corporate Bond 95,000 units | 115,900 |
| Comcast Corporation 5.7% Due 07/01/2019 | Corporate Bond 100,000 units | 115,577 |
| Laboratory Corporation of America Holdings 2.2% Due 08/23/2017 | Corporate Bond 115,000 units | 115,375 |
| Morgan Stanley Mortgage Loan Trust 2004-2AR Due 09/25/2034 | Collateralized Mortgage Obligation 117,464 units | 115,199 |
| Ameriprise Financial Inc. 4% Due 10/15/2023 | Corporate Bond 115,000 units | 114,659 |
| First Investors Auto Owner Trust 2013-1 Class A-2 2.9% Due 10/15/2018 | Asset Backed Security 114,604 units | 114,656 |
| General Electric Capital Corporation 5.625% Due 09/15/2017 | Corporate Bond 100,000 units | 113,760 |
| Apple Inc. 2.4% Due 05/03/2023 | Corporate Bond 126,000 units | 113,301 |
| EOG Resources Inc. 2.625% Due 03/15/2023 | Corporate Bond 124,000 units | 112,811 |
| British Telecommunications PLC Stepup 12/15/2030 | Corporate Bond 75,000 units | 111,918 |
| Intuit Inc. 5.75% Due 03/15/2017 | Corporate Bond 100,000 units | 111,809 |
| Fannie Mae 0% Due 12/01/2024 | Government Mortgage Backed Security 115,498 units | 111,713 |
| Rio Tinto Financial USA 9% Due 05/01/2019 | Corporate Bond 85,000 units | 110,978 |

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|---|---|---------|
| Praxair Inc. 1.25% Due 11/07/2018 | Corporate Bond 115,000 units | 109,922 |
| Amerada Hess Corporation 7.3% Due 08/15/2031 | Corporate Bond 90,000 units | 109,914 |
| Lincoln National Corporation 6.25% Due 02/15/2020 | Corporate Bond 95,000 units | 109,519 |
| Wells Fargo Mortgage Backed Securities 2004-K Due 07/25/2034* | Collateralized Mortgage Obligation 109,432 units | 109,419 |
| Nordea Bank 4.875% Due 01/27/2020 | Corporate Bond 100,000 units | 109,293 |
| Principal Life Global 2.25% Due 10/15/2018 | Corporate Bond 110,000 units | 109,127 |
| Toronto Dominion Bank 2.2% Due 07/29/2015 | Corporate Bond 105,000 units | 107,783 |
| Roche Holdings Inc. 6% Due 03/1/2019 | Corporate Bond 92,000 units | 107,662 |
| Dow Chemical Company 8.55% Due 05/15/2019 | Corporate Bond 83,000 units | 107,169 |
| Credit Suisse First Boston 5.125% Due 08/15/2015 | Corporate Bond 100,000 units | 107,161 |
| Fannie Mae Pool #735439 6% Due 09/01/2019 | Government Mortgage Backed Security 100,291 units | 106,957 |
| Banc America Commercial Mortgage Inc. 2005-6 5.195% Due 09/10/2047* | Commercial Mortgage Backed Security 100,000 units | 106,454 |
| Rabobank Nederland Variable Rate Due 12/29/2049 | Corporate Bond 80,000 units | 105,900 |
| HSBC Finance Corporation 5% Due 06/30/2015 | Corporate Bond 100,000 units | 105,672 |
| GMAC Commercial Mortgage Securities 5.238% Due 11/10/2045 | Commercial Mortgage Backed Security 100,000 units | 105,068 |
| GS Mortgage Securities Corporation 2004-6F 5.5% Due 05/25/3034 | Collateralized Mortgage Obligation 99,991 units | 104,773 |
| Massmutual Global Funding 3.125% Due 04/14/2016 | Corporate Bond 100,000 units | 104,676 |
| National Australia Bank Limited 3% Due 07/27/2016 | Corporate Bond 100,000 units | 104,668 |
| Peco Energy Company 2.375% Due 09/15/2022 | Corporate Bond 115,000 units | 104,508 |
| Simon Property Group Inc. 6.125% Due 05/30/2018 | Corporate Bond 90,000 units | 104,203 |
| Morgan Stanley 3.45% Due 02/11/2015 | Corporate Bond 100,000 units | 104,091 |
| Citigroup, Inc. 6.125% Due 11/21/2017 | Corporate Bond 90,000 units | 103,744 |
| Ginnie Mae Remic Series 2008-40 Floating Rate Due 05/16/2038 | Government Mortgage Backed Security 599,074 units | 103,639 |
| HRPT Properties Trust 6.65% Due 01/15/2018 | Corporate Bond 95,000 units | 103,589 |
| Ginnie Mae 2009-016 Remic Pass-Through Floating Rate Due 05/20/2037 | Government Mortgage Backed Security 559,961 units | 103,299 |
| Ontario Province Canada 2.7% Due 06/16/2015 | Municipal/Provincial Bond 100,000 units | 103,289 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|--|---|---------------|
| Total Capital 2.3% Due 03/15/2016 | Corporate Bond 100,000 units | 103,031 |
| Macquarie Bank Limited 5% Due 02/22/2017 | Corporate Bond 95,000 units | 103,022 |
| Daimler Financial North America LLC 2.25% Due 07/31/2019 | Corporate Bond 105,000 units | 102,910 |
| EMC Corporation 2.65% Due 06/01/2020 | Corporate Bond 105,000 units | 102,835 |
| Bayview Commercial Asset Trust Variable Rate Due 01/25/2036 | Commercial Mortgage Backed Security 120,455 units | 102,807 |
| International Business 1.95% Due 07/22/2016 | Corporate Bond 100,000 units | 102,735 |
| Commonwealth Bank of Australia 3.75% Due 10/15/2014 | Corporate Bond 100,000 units | 102,549 |
| Norfolk Southern Corporation 2.903% Due 02/15/2023 | Corporate Bond 111,000 units | 102,528 |
| Bank of America Corporation 5.65% Due 05/01/2018* | Corporate Bond 90,000 units | 102,444 |
| Barclays Bank 2.75% Due 02/23/2015 | Corporate Bond 100,000 units | 102,259 |
| Banc of America Alternative Loan Trust 2003-5 5% Due 07/25/2018* | Collateralized Mortgage Obligation 99,244 units | 102,199 |
| MetLife Global 5.125% Due 06/10/2014 | Corporate Bond 100,000 units | 102,073 |
| Bank of Nova Scotia 1.65% Due 10/29/2015 | Corporate Bond 100,000 units | 101,960 |
| Freeport-McMoran 2.15% Due 03/01/2017 | Corporate Bond 101,000 units | 101,658 |
| Residential Accredited Loans Inc. Pass-Through 5% Due 03/25/2019 | Collateralized Mortgage Obligation 98,059 units | 101,242 |
| Michigan Indiana Power Company 7% Due 03/15/2019 | Corporate Bond 85,000 units | 101,089 |
| Pfizer Inc. 6.2% Due 03/15/2019 | Corporate Bond 85,000 units | 100,762 |
| Morgan Stanley 5.5% Due 07/28/2021 | Corporate Bond 90,000 units | 100,571 |
| Master Asset Security Trust 2003-6 5% Due 07/25/2023 | Collateralized Mortgage Obligation 95,229 units | 100,459 |
| Schlumberger Oilfield UK PLC 4.2% Due 01/15/2021 | Corporate Bond 95,000 units | 100,414 |
| National Semiconductor 6.6% Due 06/15/2017 | Corporate Bond 85,000 units | 99,336 |
| Sempra Energy 9.8% Due 02/15/2019 | Corporate Bond 75,000 units | 99,078 |
| Banc America Mortgage Securities Inc. 2004-C 3.73055% Due 04/25/2034* | Collateralized Mortgage Obligation 98,999 units | 98,980 |
| American Honda Finance Corporation Bond 1.6% Due 02/16/2018 | Corporate Bond 100,000 units | 98,479 |
| Devon Energy Corporation 7.95% Due 04/15/2032 | Corporate Bond 75,000 units | 97,610 |
| Kroger Company 3.4% Due 04/15/2022 | Corporate Bond 100,000 units | 96,998 |
| Banc America Mortgage Securities Inc. 2004-3 Pass-Through 5.5% Due 04/25/2034* | Collateralized Mortgage Obligation 94,520 units | 95,920 |
| CVS Caremark Corporation 4% Due 12/05/2023 | Corporate Bond 96,000 units | 95,797 |
| MetLife Global 3.875% Due 04/11/2022 | Corporate Bond 95,000 units | 95,795 |
| American Express Company 7% Due 03/19/2018 | Corporate Bond 80,000 units | 95,587 |
| Federal Home Loan Mortgage Corporation Pool 5.891% Due 08/01/2037 | Government Mortgage Backed Security 89,842 units | 94,855 |
| CPS Auto 1.59% Due 03/16/2020 | Asset Backed Security 95,847 units | 94,832 |
| Boeing Company 6% Due 03/15/2019 | Corporate Bond 80,000 units | 94,228 |
| Diageo Capital PLC 4.828% Due 07/15/2020 | Corporate Bond 85,000 units | 94,125 |
| Bank of America Corporation 3.3% Due 01/11/2023* | Corporate Bond 99,000 units | 93,680 |

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|---|--|--------|
| Master Asset Security Trust 5.25% Due 07/25/2019 | Collateralized Mortgage Obligation 91,087 units | 93,373 |
| General Electric Capital Corporation 2.1% Due 12/11/2019 | Corporate Bond 96,000 units | 93,342 |
| Deutsche Telekom International 5.75% Due 03/23/2016 | Corporate Bond 85,000 units | 93,327 |
| Shell International Finance 4.375% Due 03/25/2020 | Corporate Bond 85,000 units | 93,181 |
| Aflac Inc. 3.625% Due 06/15/2023 | Corporate Bond 96,000 units | 92,926 |
| Wyeth 5.95% Due 04/01/2037 | Corporate Bond 80,000 units | 92,736 |
| Port Authority NY & NJ 5.647% Due 11/01/2040 | Municipal/Provincial Bond 85,000 units | 92,670 |
| FirstEnergy Corporation 7.375% Due 11/15/2031 | Corporate Bond 85,000 units | 92,360 |
| GS Mortgage Securities Corporation 2005-RP2 Variable Rate Due 03/25/2035 | Collateralized Mortgage Obligation 108,522 units | 91,993 |
| Banc America Alternative Loan Trust 2004-6 5% Due 07/25/2019* | Collateralized Mortgage Obligation 90,268 units | 91,909 |
| HSBC Holdings PLC 4.875% Due 01/14/2022 | Corporate Bond 85,000 units | 91,725 |
| AIG Sun America Global Financing X 6.9% Due 03/15/2032 | Corporate Bond 75,000 units | 90,929 |
| Apache Corporation 6% Due 01/15/2037 | Corporate Bond 80,000 units | 90,692 |
| Ontario Province Canada 0.95% Due 05/26/2015 | Municipal/Provincial Bond 90,000 units | 90,692 |
| Massmutual Global Funding 2.5% Due 10/17/2022 | Corporate Bond 100,000 units | 90,320 |
| Canadian Pacific Railway Limited 7.125% Due 10/15/2031 | Corporate Bond 75,000 units | 90,193 |
| PNC FDG Corporation 6.7% Due 06/10/2019 | Corporate Bond 75,000 units | 90,088 |
| Verizon Maryland Inc. 5.125% Due 06/15/2033 | Corporate Bond 95,000 units | 90,070 |
| Morgan Stanley Mortgage Loan Trust 2004-2AR 5% Due 09/25/2034 | Collateralized Mortgage Obligation 88,516 units | 90,062 |
| Ginnie Mae Remic Series 2008-073 Floating Rate Due 08/20/2038 | Government Mortgage Backed Security 505,550 units | 89,836 |
| Time Warner Cable Inc. 6.75% Due 07/01/2018 | Corporate Bond 80,000 units | 89,715 |
| United Technologies Corporation 6.125% Due 02/01/2019 | Corporate Bond 75,000 units | 88,530 |
| Teva 3.65% Due 11/10/2021 | Corporate Bond 90,000 units | 88,274 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|--|---|---------------|
| Oncor Electric Delivery Company 6.8% Due 09/01/2018 | Corporate Bond 75,000 units | 88,142 |
| CenterPoint Energy 6.5% Due 05/01/2018 | Corporate Bond 75,000 units | 87,511 |
| Blackrock Inc. 6.25% Due 09/15/2017* | Corporate Bond 75,000 units | 87,021 |
| Ohio State University General Receipts Bond 4.8% Due 06/01/2111 | Municipal/Provincial Bond 100,000 units | 87,017 |
| Ginnie Mae 2007-058 Remic Pass-Through Floating Rate Due 10/20/2037 | Government Mortgage Backed Security 518,480 units | 86,877 |
| Berkshire Hathaway Inc. 3.4% Due 01/31/2022 | Corporate Bond 87,000 units | 86,538 |
| PPL Energy Supply LLC 4.6% Due 12/15/2021 | Corporate Bond 90,000 units | 86,485 |
| BB&T Corporation 5.2% Due 12/23/2012 | Corporate Bond 80,000 units | 86,441 |
| GlaxoSmithKline 5.65% Due 05/15/2018 | Corporate Bond 75,000 units | 86,336 |
| Baker Hughes Inc. 7.5% Due 11/15/2018 | Corporate Bond 70,000 units | 86,244 |
| Fannie Mae Remic Trust Pass-Through Variable Rate Due 01/25/2040 | Government Mortgage Backed Security 654,543 units | 86,067 |
| SNAAC Auto 1.14% Due 07/16/2018 | Asset Backed Security 86,132 units | 86,063 |
| Pacific Gas & Electric Company 6.05% Due 03/01/2034 | Corporate Bond 75,000 units | 85,912 |
| MidAmerican Energy 5.3% Due 03/15/2018 | Corporate Bond 75,000 units | 84,998 |
| Residential FDG Mortgage Securities I Inc. 2003-S4 5.75% Due 03/25/2033 | Collateralized Mortgage Obligation 83,488 units | 84,438 |
| Banc America Mortgage Securities Inc. 5% Due 06/25/2019* | Collateralized Mortgage Obligation 81,561 units | 84,071 |
| Wells Fargo Mortgage Backed Securities 2004-EE Trust Variable Rate Due 12/25/2034* | Collateralized Mortgage Obligation 83,698 units | 84,008 |
| Fannie Mae Remic Trust 2005-103 Variable Rate Due 07/25/2035 | Government Mortgage Backed Security 71,391 units | 83,852 |
| Time Warner Cable Inc. 8.75% Due 02/14/2019 | Corporate Bond 70,000 units | 83,499 |
| Petro-Canada 7.875% Due 06/15/2026 | Corporate Bond 65,000 units | 83,484 |
| Halliburton Company 3.5% Due 08/01/2023 | Corporate Bond 86,000 units | 83,366 |
| Anheuser-Busch 5.375% Due 11/15/2014 | Corporate Bond 80,000 units | 83,322 |
| WEA Finance LLC 6.75% Due 09/02/2019 | Corporate Bond 70,000 units | 83,230 |
| Nelnet Student Loan Trust Floating Rate Due 04/25/2024 | Asset Backed Security 80,000 units | 83,074 |
| Comcast Corporation 5.9% Due 03/15/2016 | Corporate Bond 75,000 units | 82,829 |
| Berkshire Hathaway Inc. 3.2% Due 02/11/2015 | Corporate Bond 80,000 units | 82,456 |
| Citigroup, Inc. 4.5% Due 01/14/2022 | Corporate Bond 77,000 units | 81,598 |
| Ginnie Mae 2010-001 Remic Pass-Through Floating Rate Due 08/16/2039 | Government Mortgage Backed Security 677,249 units | 81,109 |
| GTP Acquisition Partners I, LLC 4.347% Due 06/15/2016 | Corporate Bond 77,000 units | 81,040 |
| Royal Bank of Scotland 6.4% Due 10/21/2019 | Corporate Bond 70,000 units | 80,663 |
| Ginnie Mae 2009-106 Floating Rate Due 06/20/2037 | Government Mortgage Backed Security 468,647 units | 80,278 |
| Capital One Financial Corporation 4.75% Due 07/15/2021 | Corporate Bond 75,000 units | 79,751 |
| Anheuser-Busch 6.875% Due 11/15/2019 | Corporate Bond 65,000 units | 79,685 |
| Goldman Sachs Group Inc. 3.3% Due 05/03/2015 | Corporate Bond 77,000 units | 79,335 |

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| PNC Financial Services Group Inc. Senior Note Due 11/09/2022 | Corporate Bond 85,000 units | 78,940 |
| Republic Services Inc. 5.5% Due 09/15/2019 | Corporate Bond 70,000 units | 78,767 |
| Ginnie Mae Floating 2004-86 Remic Pass-Through Due 09/20/2034 | Government Mortgage Backed Security 516,064 units | 78,214 |
| United States Treasury Bonds Inflation Index 2% Due 01/15/2026 | Index Linked Government Bond 60,000 units | 78,165 |
| Medco Health 4.125% Due 09/15/2020 | Corporate Bond 75,000 units | 77,658 |
| Virginia Electric & Power Company 2.95% Due 01/15/2022 | Corporate Bond 80,000 units | 77,291 |
| Ginnie Mae 2006-26 Remic 0% Due 06/20/2036 | Government Mortgage Backed Security 89,339 units | 77,225 |
| Ginnie Mae Remic Series 2007-16 Floating Rate Due 04/20/2037 | Government Mortgage Backed Security 444,832 units | 76,987 |
| General Electric Capital Corporation 5.9% Due 05/13/2014 | Corporate Bond 75,000 units | 76,517 |
| Macys Retail Holdings 7.45% Due 07/15/2017 | Corporate Bond 65,000 units | 76,017 |
| Statoil ASA 2.65% Due 01/15/2024 | Corporate Bond 84,000 units | 75,768 |
| Kaupthing Bank 7.625% Due 12/31/2040 | Corporate Bond 310,000 units | 75,175 |
| Fannie Mae Remic Series 2004-36 Due 05/25/2034 | Government Mortgage Backed Security 54,405 units | 75,108 |
| Federal Home Loan Mortgage Corporation Multiclass Preassign 00121 0% Due 07/15/2036 | Government Mortgage Backed Security 80,867 units | 74,270 |
| Burlington Northern and Santa Fe Railway Company 3.45% Due 09/15/2021 | Corporate Bond 75,000 units | 74,069 |
| Fannie Mae Remic Trust 1997-89 7% Due 12/20/2027 | Government Mortgage Backed Security 336,961 units | 73,742 |
| Ginnie Mae 2008-087 Remic Pass-Through 6.5% Due 10/20/2038 | Government Mortgage Backed Security 338,740 units | 73,169 |
| Ginnie Mae 2009-072 Remic Pass-Through Floating Rate Due 08/16/2039 | Government Mortgage Backed Security 463,641 units | 73,113 |
| Verizon Communications Inc. 6.4% Due 02/15/2038 | Corporate Bond 65,000 units | 72,993 |
| Springleaf Mortgage Loan Trust 1.57% Due 12/25/2059 | Collateralized Mortgage Obligation 72,511 units | 72,225 |
| NextEra Energy Capital Holdings Inc. 3.625% Due 06/15/2023 | Corporate Bond 75,000 units | 70,346 |
| Federal Home Loan Mortgage Corporation Series 3201 Floating Rate Due 08/15/2036 | Government Mortgage Backed Security 506,594 units | 70,303 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|---|--|---------------|
| HSBC Home Equity Loan Trust Series 2006-1 Due 01/20/2036 | Asset Backed Security 72,037 units | 70,182 |
| Diamond Offshore Drilling Inc. 3.45% Due 11/01/2023 | Corporate Bond 72,000 units | 69,512 |
| GMAC Mortgage Loan Trust 2003-J10 4.75% Due 01/25/2019 | Collateralized Mortgage Obligation 69,404 units | 69,003 |
| Fannie Mae Remic Trust 2001-38 Principal Only Due 08/25/2031 | Government Mortgage Backed Security 71,929 units | 68,127 |
| Citigroup, Inc. 6.01% Due 01/15/2015 | Corporate Bond 64,000 units | 67,395 |
| Federal Home Loan Mortgage Corporation Series 2934 Principal Only Due 02/15/2020 | Government Mortgage Backed Security 68,934 units | 66,763 |
| Citigroup Mortgage Loan Trust 2003-1 5.25% Due 09/25/2033 | Collateralized Mortgage Obligation 65,119 units | 66,711 |
| Morgan Stanley Capital I Trust Due 02/12/2044 | Commercial Mortgage Backed Security 12,901,047 units | 66,182 |
| Federal Home Loan Mortgage Corporation Multiclass 0% Due 11/15/2037 | Government Mortgage Backed Security 71,459 units | 65,978 |
| Washington Mutual Variable Rate Pass-Through Due 09/25/2033 | Collateralized Mortgage Obligation 64,815 units | 65,399 |
| Time Warner Cable Inc. 7.3% Due 07/01/2038 | Corporate Bond 65,000 units | 64,817 |
| Merrill Lynch Mortgage Trust Series 2005-LC1 Due 01/12/2044* | Commercial Mortgage Backed Security 60,000 units | 64,301 |
| Hewlett-Packard Company 6% Due 09/15/2041 | Corporate Bond 64,000 units | 64,123 |
| Fannie Mae Remic Trust 2008-91 Floating Rate Due 03/25/2038 | Government Mortgage Backed Security 563,565 units | 62,909 |
| Wells Fargo Mortgage Backed Securities 2003-F Variable Rate Due 06/25/2033* | Collateralized Mortgage Obligation 63,101 units | 62,777 |
| The Home Depot Inc. 3.75% Due 02/15/2024 | Corporate Bond 63,000 units | 62,706 |
| Ally Auto 1.55% Due 10/15/2014 | Asset Backed Security 62,055 units | 62,221 |
| Federal Home Loan Mortgage Corporation Series 2684 0% Due 01/15/2033 | Government Mortgage Backed Security 62,155 units | 62,032 |
| Ginnie Mae 2008-091 Remic Pass-Through 6.5% Due 11/20/2038 | Government Mortgage Backed Security 304,287 units | 61,688 |
| HCP Inc. 4.25% Due 11/15/2023 | Corporate Bond 63,000 units | 61,626 |
| Federal Home Loan Mortgage Corporation Series 3784 Floating Rate Due 07/15/2023 | Government Mortgage Backed Security 435,979 units | 60,680 |
| Federal Home Loan Mortgage Corporation Series 3253 Principal Only Due 12/15/2021 | Government Mortgage Backed Security 60,710 units | 60,571 |
| Microsoft Corporation 3.625% Due 12/15/2023 | Corporate Bond 60,000 units | 60,094 |
| JP Morgan Remic Series 2010-4 Variable Rate Due 08/26/2035* | Collateralized Mortgage Obligation 58,673 units | 58,576 |
| Bear Stearns Commercial Mortgage Securities Inc. Series 2005-PWR9 4.871% Due 09/15/2015 | Commercial Mortgage Backed Security 55,000 units | 57,696 |
| MidAmerican Energy Holdings Company 3.75% Due 11/15/2023 | Corporate Bond 59,000 units | 57,542 |
| Fannie Mae Remic Pass-Through Trust 2009-112 Floating Rate Due 01/25/2040 | Government Mortgage Backed Security 430,465 units | 56,603 |
| Fannie Mae Series 390 Variable Rate Due 06/25/2038 | | 56,115 |

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|---|---|--------|
| | Government Mortgage Backed Security 306,993 units | |
| The Mosaic Company 5.45% Due 11/15/2033 | Corporate Bond 55,000 units | 56,037 |
| Federal Home Loan Mortgage Corporation Series 3747 4.5% Due 07/15/2037 | Government Mortgage Backed Security 479,976 units | 55,997 |
| Ginnie Mae 2007-059 Remic Pass-Through Due 04/20/2037 | Government Mortgage Backed Security 399,461 units | 55,523 |
| AGL Capital Corporation 5.875% Due 03/15/2041 | Corporate Bond 50,000 units | 54,842 |
| Duke Energy Corporation 4.3% Due 06/15/2020 | Corporate Bond 50,000 units | 53,616 |
| Ginnie Mae 2002-41 Remic Trust Floating Rate Due 06/16/2032 | Government Mortgage Backed Security 273,539 units | 53,431 |
| Federal Home Loan Mortgage Corporation Floating Rate Due 10/15/2040 | Government Mortgage Backed Security 310,981 units | 53,049 |
| Verizon Communications Inc. 6.4% Due 09/15/2033 | Corporate Bond 46,000 units | 52,906 |
| Sempra Energy Company 4.05% Due 12/01/2023 | Corporate Bond 53,000 units | 52,334 |
| Potash Corporation of Saskatchewan Inc. 3.25% Due 12/01/2017 | Corporate Bond 50,000 units | 52,174 |
| NiSource Finance Corporation 5.65% Due 02/01/2045 | Corporate Bond 50,000 units | 51,217 |
| CWMBS Inc. 4% Due 08/25/2033 | Collateralized Mortgage Obligation 50,957 units | 51,143 |
| Hewlett-Packard Company 4.65% Due 12/09/2021 | Corporate Bond 49,000 units | 50,454 |
| Fannie Mae Pool #550389 6.45% Due 09/01/2030 | Government Mortgage Backed Security 43,092 units | 49,665 |
| Ginnie Mae Remic Series 2006-26 Due 06/20/2036 | Government Mortgage Backed Security 318,695 units | 48,625 |
| Citigroup, Inc. 6.875% Due 03/05/2038 | Corporate Bond 39,000 units | 47,958 |
| Fannie Mae Series 331 Variable Rate Due 02/01/2033 | Government Mortgage Backed Security 180,012 units | 47,523 |
| Fannie Mae Remic Trust 2006-20 Variable Rate Due 04/25/2036 | Government Mortgage Backed Security 289,234 units | 46,830 |
| Federal Home Loan Mortgage Corporation Adjustable Rate Due 05/01/2037 | Government Mortgage Backed Security 43,852 units | 46,626 |
| Federal Home Loan Mortgage Corporation Series 3218 Floating Rate Due 09/15/2026 | Government Mortgage Backed Security 285,616 units | 46,158 |
| Ginnie Mae 2010-014 Remic Pass-Through Principal Only Due 12/20/2032 | Government Mortgage Backed Security 48,745 units | 45,634 |
| Federal Home Loan Mortgage Corporation Preassign 00890 Due 04/15/2036 | Government Mortgage Backed Security 48,851 units | 44,786 |
| Ginnie Mae 2010-014 0% Due 03/20/2036 | Government Mortgage Backed Security 45,100 units | 44,703 |
| Ginnie Mae Remic Series 2008-79 Floating Rate Due 09/20/2038 | Government Mortgage Backed Security 268,138 units | 44,693 |
| Indymac Mortgage Backed Securities Inc. 4.75% Due 02/25/2019 | Asset Backed Security 42,039 units | 43,052 |
| Ginnie Mae 2006-023 Remic Floating Rate Due 01/20/2036 | Government Mortgage Backed Security 406,040 units | 42,794 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|--|---|---------------|
| Federal Home Loan Mortgage Corporation Series 3117 Principal Only Due 02/15/2036 | Government Mortgage Backed Security 46,073 units | 42,142 |
| Federal Home Loan Mortgage Corporation Preassign 00603 5% Due 02/15/2020 | Government Mortgage Backed Security 427,393 units | 41,776 |
| Verizon Communications Inc. 4.5% Due 09/15/2020 | Corporate Bond 39,000 units | 41,752 |
| Federal Home Loan Mortgage Corporation Pool #M30285 5% Due 02/01/2018 | Government Mortgage Backed Security 39,419 units | 41,028 |
| Equity One ABS Inc. 5.05% Due 09/25/2033 | Asset Backed Security 40,660 units | 40,996 |
| Federal Home Loan Mortgage Corporation Principal Only Due 12/15/2032 | Government Mortgage Backed Security 41,151 units | 39,976 |
| Sequoia Mortgage Trust 2011-1 Pass-Through Floating Rate Due 02-25-2041 | Collateralized Mortgage Obligation 39,824 units | 39,807 |
| Prime Mortgage Trust 2004-2 Pass-Through 4.75% Due 11/25/2019 | Collateralized Mortgage Obligation 37,680 units | 39,053 |
| Ginnie Mae 2008-060 Remic Pass-Through 5.5% Due 07/20/2038 | Government Mortgage Backed Security 35,000 units | 38,748 |
| Chase FDG Mortgage Loan 4.499% Due 08/25/2030 | Asset Backed Security 38,332 units | 38,461 |
| Federal Home Loan Mortgage Corporation Pool #G02809 3.5% Due 05/01/2036 | Government Mortgage Backed Security 33,932 units | 38,168 |
| Fannie Mae Remic 390 6% Due 07/25/2023 | Government Mortgage Backed Security 343,316 units | 36,168 |
| Ginnie Mae 6% Due 06/20/2032 | Government Mortgage Backed Security 33,389 units | 35,772 |
| Federal Home Loan Mortgage Corporation Series 3117 Due 02/15/2036 | Government Mortgage Backed Security 39,602 units | 35,294 |
| Fannie Mae Pool #550387 6.45% Due 08/01/2030 | Government Mortgage Backed Security 30,505 units | 35,147 |
| SunTrust Banks Inc. 2.35% Due 11/01/2018 | Corporate Bond 35,000 units | 34,815 |
| Fannie Mae Preassign 00506 6% Due 04/25/2017 | Government Mortgage Backed Security 32,571 units | 34,319 |
| Federal Home Loan Mortgage Corporation Pool #G13390 6% Due 01/01/2024 | Government Mortgage Backed Security 31,279 units | 34,125 |
| Freddie Mac Series 2643 Floating Rate Due 03/15/2032 | Government Mortgage Backed Security 16,070 units | 34,115 |
| Federal Home Loan Mortgage Corporation Series 10 Due 07/15/2019 | Government Mortgage Backed Security 30,700 units | 34,059 |
| Fannie Mae Remic Trust 2010-35 Variable Rate Due 04/25/2040 | Government Mortgage Backed Security 232,759 units | 33,611 |
| Federal Home Loan Mortgage Corporation Series 2650 Principal Only Due 12/15/2032 | Government Mortgage Backed Security 34,309 units | 33,598 |
| Berkshire Hathaway Inc. 2.9% Due 10/15/2020 | Corporate Bond 33,000 units | 32,726 |
| Health Care REIT Inc. 4.5% Due 01/15/2024 | Corporate Bond 33,000 units | 32,582 |
| Rogers Cable Inc. 8.75% Due 05/01/2032 | Corporate Bond 25,000 units | 32,411 |
| IntercontinentalExchange Group Inc. 4% Due 10/15/2023 | Corporate Bond 32,000 units | 32,190 |
| Federal Home Loan Mortgage Corporation Series 3593 Floating Rate Due 11/15/2024 | Government Mortgage Backed Security 271,144 units | 31,921 |
| | | 31,643 |

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|---|--|--------|
| Banc America Mortgage Securities Inc. 2004-11 5.75% Due 12/25/2024* | Collateralized Mortgage Obligation 30,767 units | |
| Federal Home Loan Mortgage Corporation Pool 5.5% Due 11/1/2035 | Government Mortgage Backed Security 27,988 units | 30,574 |
| Federal Home Loan Mortgage Corporation Series 3171 Principal Only Due 06/15/2036 | Government Mortgage Backed Security 32,948 units | 30,039 |
| Glitnir Banki HF Medium Term In Default 6.375% Due 09/25/2012 | Corporate Bond 100,000 units | 29,250 |
| Ginnie Mae 2003-018 Floating Rate Due 09/20/2032 | Government Mortgage Backed Security 24,911 units | 28,613 |
| AGL Capital Corporation 5.25% Due 08/15/2019 | Corporate Bond 25,000 units | 27,974 |
| Fannie Mae Preassign 00869 Variable Rate Due 12/25/2032 | Government Mortgage Backed Security 21,849 units | 27,713 |
| Magellan Midstream Partners LP 5.15% Due 10/15/2043 | Corporate Bond 28,000 units | 27,599 |
| Federal Home Loan Mortgage Corporation Pool 5.697% Due 04/01/2037 | Government Mortgage Backed Security 25,150 units | 27,024 |
| The Charles Schwab Corporation 4.45% Due 07/22/2020 | Corporate Bond 25,000 units | 27,024 |
| Alabama Power Company 3.55% Due 12/01/2023 | Corporate Bond 27,000 units | 26,499 |
| Federal Home Loan Mortgage Corporation Multiclass Series 2611 Floating Rate Due 05/15/2033 | Government Mortgage Backed Security 26,140 units | 26,485 |
| Nordstrom, Inc. 4% Due 10/15/2021 | Corporate Bond 25,000 units | 25,929 |
| Ecolab Inc. 4.35% Due 12/08/2021 | Corporate Bond 25,000 units | 25,923 |
| Thermo Fisher Scientific Inc. 4.15% Due 02/01/2024 | Corporate Bond 25,000 units | 24,762 |
| Fannie Mae Pool 5.5% Due 06/1/2036 | Government Mortgage Backed Security 22,017 units | 24,239 |
| Fannie Mae Pool #745948 6.5% Due 10/01/2036 | Government Mortgage Backed Security 21,289 units | 23,641 |
| Fannie Mae Pool 5.5% Due 02/1/2036 | Government Mortgage Backed Security 21,414 units | 23,559 |
| Ginnie Mae 2009-010 Remic Pass-Through Floating Rate Due 03/16/2034 | Government Mortgage Backed Security 223,925 units | 23,338 |
| Fannie Mae Remic Trust 1993-G17 Variable Rate Due 04/25/2023 | Government Mortgage Backed Security 114,217 units | 21,824 |
| Fannie Mae Remic Trust 2009-15 Variable Rate Due 03/25/2024 | Government Mortgage Backed Security 185,854 units | 21,720 |
| Public Service Company of New Hampshire 3.5% Due 11/01/2023 | Corporate Bond 22,000 units | 21,541 |
| Nucor Corporation 4% Due 08/01/2023 | Corporate Bond 22,000 units | 21,476 |
| EnSCO PLC 4.7% Due 03/15/2021 | Corporate Bond 20,000 units | 21,155 |
| Bank of America Corporation 4.1% Due 07/24/2023* | Corporate Bond 21,000 units | 21,089 |
| Aon Corporation 3.5% Due 09/30/2015 | Corporate Bond 20,000 units | 20,874 |
| Fannie Mae Preassign 00464 Due 01/25/2036 | Government Mortgage Backed Security 20,805 units | 20,272 |
| Fannie Mae Floating Rate 2007-88 Due 09/25/2037 | Government Mortgage Backed Security 141,905 units | 19,733 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|--|---|----------------|
| United States Treasury Bonds Inflation Index 3.875% Due 04/15/2029 | Index Linked Government Bond 10,000 units | 19,471 |
| Federal Home Loan Mortgage Corporation Pool #M30277 5% Due 11/01/2017 | Government Mortgage Backed Security 18,276 units | 19,021 |
| Cameron International Corporation 4% Due 12/15/2023 | Corporate Bond 19,000 units | 18,776 |
| Fannie Mae Remic Trust 1997-30 8% Due 05/18/2027 | Government Mortgage Backed Security 90,639 units | 18,301 |
| Ginnie Mae 2003-112 Floating Rate Due 10/20/2032 | Government Mortgage Backed Security 209,611 units | 17,948 |
| Fannie Mae Remic Trust 2012-132 Variable Rate Due 12/25/2042 | Government Mortgage Backed Security 17,505 units | 17,884 |
| Federal Home Loan Mortgage Corporation Series 3306 Floating Rate Due 04/15/2037 | Government Mortgage Backed Security 17,771 units | 17,138 |
| Mercedes-Benz Auto Receivables Trust 2011-1CI .85% Due 03/15/2015 | Asset Backed Security 16,572 units | 16,583 |
| Shell International Finance BV 3.4% Due 08/12/2023 | Corporate Bond 17,000 units | 16,569 |
| First Horizon Pass-Through Series 2003-7 4.5% Due 09/25/2018 | Collateralized Mortgage Obligation 15,973 units | 16,381 |
| Fannie Mae Remic Trust 2010-43 4.5% Due 02/25/2025 | Government Mortgage Backed Security 168,866 units | 14,833 |
| Federal Home Loan Mortgage Corporation Multiclass Floating Rate Due 04/15/2037 | Government Mortgage Backed Security 15,369 units | 14,558 |
| PSEG Power LLC 4.3% Due 11/15/2023 | Corporate Bond 14,000 units | 13,937 |
| Shell International Finance 4.55% Due 08/12/2043 | Corporate Bond 13,000 units | 12,679 |
| Fannie Mae Preassign 00470 7% Due 06/25/2033 | Government Mortgage Backed Security 51,117 units | 12,266 |
| DTAuto Owner Trust Series 2012-2 0.91% Due 11/16/2015 | Asset Backed Security 12,041 units | 12,041 |
| Chubb Corporation 5.75% Due 05/15/2018 | Corporate Bond 10,000 units | 11,494 |
| Statoil ASA 2.9% Due 11/08/2020 | Corporate Bond 11,000 units | 10,928 |
| Duke Realty Corporation 3.875% Due 02/15/2021 | Corporate Bond 11,000 units | 10,853 |
| Federal Home Loan Mortgage Corporation Pool #M30262 5% Due 03/01/2017 | Government Mortgage Backed Security 10,150 units | 10,121 |
| First Franklin Mortgage Loan Trust Series 2005-FF9 Floating Rate Due 10/25/2035 | Asset Backed Security 9,895 units | 9,893 |
| Fannie Mae 5% Due 03/25/2023 | Government Mortgage Backed Security 127,529 units | 9,889 |
| Ginnie Mae 2008-071 Remic Pass-Through Floating Rate Due 08/20/2038 | Government Mortgage Backed Security 65,834 units | 9,715 |
| Fannie Mae Remic Series 2008-80 5.60825% Due 09/25/2038 | Government Mortgage Backed Security 74,650 units | 9,355 |
| Fannie Mae 2009-18 5% Due 03/25/2024 | Government Mortgage Backed Security 105,928 units | 9,181 |
| AT&T Inc. 4.35% Due 06/15/2045 | Corporate Bond 10,000 units | 8,466 |
| Fannie Mae Preassign 00033 5% Due 03/25/2032 | Government Mortgage Backed Security 8,205 units Asset Backed Security 6,987 units | 8,259 6,992 |

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|--|--|-------------|
| Santander Drive Auto Receivables Trust 2010-A3 | | |
| 1.83% Due 11/17/2014 | | |
| Federal Home Loan Mortgage Corporation Series 3219 6% Due 04/15/2036 | Government Mortgage Backed Security 37,289 units | 6,639 |
| Fannie Mae Remic Trust 2008-53 Variable Rate Due 07/25/2038 | Government Mortgage Backed Security 38,056 units | 6,231 |
| Fannie Mae Remic Series 2009-9 5% Due 02/25/2024 | Government Mortgage Backed Security 56,841 units | 5,610 |
| Ginnie Mae 2001-53 Remic Trust Due 10/20/2031 | Government Mortgage Backed Security 69,395 units | 5,096 |
| Wells Fargo Mortgage Backed Securities 2004-BB Trust Due 01/25/2035* | Collateralized Mortgage Obligation 5,005 units | 5,004 |
| Bear Stearns Asset Backed Security Trust Adjustable Rate Due 12/25/2033 | Asset Backed Security 4,595 units | 4,508 |
| Federal Home Loan Mortgage Corporation Preassign 00482 6.5% Due 03/15/2033 | Government Mortgage Backed Security 19,461 units | 4,053 |
| Residential Asset Mortgage Products Inc. Floating Rate Due 03/25/2033 | Asset Backed Security 1,837 units | 1,607 |
| Ginnie Mae 2010-014 Remic Pass-Through Principal Only Due 06/16/2033 | Government Mortgage Backed Security 761 units | 761 |
| John Deere Owner Trust 1.28999996185% Due 01/15/2016 | Asset Backed Security 689 units | 690 |
| NT Collective Short Term Investment Fund* | Collective trust fund 515 units | 515 |
| Ginnie Mae 2003-076 Remic Pass-Through Variable Rate Due 09/20/2031 | Government Mortgage Backed Security 12,308 units | 136 |
| Total Fixed Income Asset Class | | 222,621,882 |
| Fixed Income Index Asset Class: | | |
| NT Collective Aggregate Bond Index Fund - Non Lending* | Collective trust fund 635,969 units | 78,879,233 |
| Total Fixed Income Index Asset Class | | 78,879,233 |
| High Yield Asset Class: | | |
| Blackrock High Yield Bond Fund | Mutual Fund 4,874,683 shares | 40,069,890 |
| NT Collective Short Term Investment Fund* | Collective trust fund 8,897,039 units | 8,897,039 |
| Schaeffler Holding Finance BV 6.875% Due 08/15/18 | Corporate Bond 640,000 units | 678,399 |
| Texas Industries Inc. 9.25% Due 08/15/2020 | Corporate Bond 460,000 units | 512,324 |
| Virgin Media Finance PLC 5.25% Due 01/15/2021 | Corporate Bond 435,000 units | 443,807 |
| Lions Gate Entertainment Corporation Lions Gate 7/13 2Nd Lien Term Ln 07/17/2020 | Bank Loans 400,000 units | 401,999 |
| Chassix Inc. 9.25% Due 08/01/2018 | Corporate Bond 365,000 units | 388,724 |
| TMX Finance LLC 8.5% Due 09/15/2018 | Corporate Bond 360,000 units | 383,399 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|---|------------------------------|---------------|
| Crown Castle International Corporation 5.25% Due 01/15/2023 | Corporate Bond 375,000 units | 367,499 |
| Carlson Wagonlit Travel BV 6.875% Due 06/15/2019 | Corporate Bond 345,000 units | 357,937 |
| DineEquity Inc. 9.5% Due 10/30/2018 | Corporate Bond 320,000 units | 355,199 |
| Sprint Capital Corporation 6.875% Due 11/15/2028 | Corporate Bond 365,000 units | 344,012 |
| Phibro Animal Health 9.25% Due 07/01/2018 | Corporate Bond 315,000 units | 335,474 |
| Cogent Communications Group Inc. 8.375% Due 02/15/2018 | Corporate Bond 300,000 units | 325,499 |
| RRI Energy Inc. 7.875% Due 06/15/2017 | Corporate Bond 290,000 units | 318,999 |
| Alliance Data System Corporation 6.375% Due 04/01/2020 | Corporate Bond 300,000 units | 314,249 |
| Smurfit KAPPA Funding PLC 7.5% Due 11/20/2025 | Corporate Bond 270,000 units | 295,312 |
| Nationstar Mortgage LLC 8.375% Due 08/01/2018 | Corporate Bond 280,000 units | 291,199 |
| PHH Corporation 9.25% Due 03/01/2016 | Corporate Bond 255,000 units | 290,062 |
| Seagate HDD Cayman 4.75% Due 06/01/2023 | Corporate Bond 300,000 units | 280,499 |
| PetroQuest Energy Inc. 10% Due 09/01/2017 | Corporate Bond 265,000 units | 274,937 |
| Satelites 9.5% Due 05/15/2017 | Corporate Bond 255,000 units | 274,124 |
| Brunswick Corporation 4.625% Due 05/15/2021 | Corporate Bond 285,000 units | 272,174 |
| Rex Energy Corporation 8.875% Due 12/01/2020 | Corporate Bond 240,000 units | 262,799 |
| Florida East Coast Railway Company 8.125% Due 02/01/2017 | Corporate Bond 250,000 units | 260,937 |
| Boise Cascade Company 6.375% Due 11/01/2020 | Corporate Bond 245,000 units | 257,862 |
| Nova Chemicals Corporation 5.25% Due 08/01/2023 | Corporate Bond 250,000 units | 257,500 |
| Telecable Videotron Ltee 5% Due 07/15/2022 | Corporate Bond 250,000 units | 244,375 |
| NCR Corporation 5% Due 07/15/2022 | Corporate Bond 255,000 units | 242,569 |
| Jeld-Wen Escrow Corporation, Inc. 12.25% Due 10/15/2017 | Corporate Bond 205,000 units | 232,675 |
| Hecla Mining Company 6.875% Due 05/01/2021 | Corporate Bond 240,000 units | 230,400 |
| TW Telecom Holdings Inc. 5.375% Due 10/01/2022 | Corporate Bond 230,000 units | 225,975 |
| General Motors Financial Company Inc. 3.25% Due 05/15/2018 | Corporate Bond 225,000 units | 225,000 |
| D R Horton Inc. 5.75% Due 08/15/2023 | Corporate Bond 220,000 units | 223,850 |
| William Carter Company 5.25% Due 08/15/2021 | Corporate Bond 220,000 units | 223,300 |
| NAI Entertainment Holding LLC 5% Due 08/01/2018 | Corporate Bond 215,000 units | 221,988 |
| Kaiser Aluminum Corporation 8.25% Due 06/01/2020 | Corporate Bond 195,000 units | 220,350 |
| Allegy 5.875% Due 08/15/2023 | Corporate Bond 215,000 units | 217,136 |
| PDC Energy Inc. 7.75% Due 10/15/2022 | Corporate Bond 200,000 units | 216,000 |
| Equinix Inc. 5.375% Due 04/01/2023 | Corporate Bond 220,000 units | 215,050 |
| SBA Communications Corporation 8.25% Due 08/15/2019 | Corporate Bond 200,000 units | 214,500 |
| American Tire Distributors Inc. 9.75% Due 06/01/2017 | Corporate Bond 200,000 units | 212,000 |
| CONSOL Energy Inc. 8% Due 04/01/2017 | Corporate Bond 200,000 units | 210,750 |
| MetroPCS Communications, Inc. 6.625% Due 04/01/2023 | Corporate Bond 200,000 units | 206,500 |
| Calmulet Specialty Products Partners LP 9.375% Due 05/01/2019 | Corporate Bond 185,000 units | 205,350 |
| Algeco Scotsman 10.75% Due 10/15/2019 | Corporate Bond 185,000 units | 195,175 |

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|---|------------------------------|---------|
| Linn Energy LLC 6.5% Due 05/15/2019 | Corporate Bond 190,000 units | 193,800 |
| Exide Technologies 8.625% Due 02/01/2018 | Corporate Bond 270,000 units | 193,050 |
| Concho Resources Inc. 5.5% Due 10/01/2022 | Corporate Bond 185,000 units | 191,013 |
| Arch Coal Inc. 7% Due 06/15/2019 | Corporate Bond 240,000 units | 190,800 |
| Algeco Scotsman Global Finance PLC 8.5% Due 10/15/2018 | Corporate Bond 175,000 units | 189,438 |
| Fidelity & Guaranty Life Holdings Inc. 6.375% Due 04/01/2021 | Corporate Bond 180,000 units | 189,000 |
| Alphabet Holding Company Inc. 7.75% Due 11/01/2017 | Corporate Bond 183,000 units | 188,719 |
| American Equity Investment Life Holding Co. 6.625% Due 07/15/2021 | Corporate Bond 180,000 units | 188,100 |
| CBRE Services Inc. 5% Due 03/15/2023 | Corporate Bond 195,000 units | 187,444 |
| AAR Corp 7.25% Due 01/15/2022 | Corporate Bond 175,000 units | 187,250 |
| Affinity Gaming Finance Corp 9% Due 05/15/2018 | Corporate Bond 175,000 units | 187,250 |
| Valeant Pharmaceuticals International, Inc. 6.875% Due 12/01/2018 | Corporate Bond 175,000 units | 187,250 |
| CCO Holdings LLC 5.25% Due 09/30/2022 | Corporate Bond 200,000 units | 186,750 |
| NuStar Logistics LP 6.75% Due 02/01/2021 | Corporate Bond 180,000 units | 185,745 |
| Vulcan Materials Company 6.5% Due 12/01/2016 | Corporate Bond 165,000 units | 184,800 |
| Hughes Satellite 7.625% Due 06/15/2021 | Corporate Bond 165,000 units | 183,975 |
| Briggs & Stratton Corporation 6.875% Due 12/15/2020 | Corporate Bond 167,000 units | 183,491 |
| Headwaters Inc. 7.625% Due 04/01/2019 | Corporate Bond 170,000 units | 183,175 |
| Scotts Miracle-Gro Company 6.625% Due 12/15/2020 | Corporate Bond 170,000 units | 183,175 |
| Oasis Petroleum Inc. 7.25% Due 02/01/2019 | Corporate Bond 170,000 units | 182,750 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|---|------------------------------|---------------|
| FGI Operating Company LLC 7.875% Due 05/01/2020 | Corporate Bond 170,000 units | 181,900 |
| Cooper-Standard Holdings Inc. 7.375% Due 04/01/2018 | Corporate Bond 180,000 units | 180,900 |
| Mueller Water Products Inc. 8.75 Due 09/01/2020 | Corporate Bond 160,000 units | 179,200 |
| Quebecor Media Inc. 5.75% Due 01/15/2023 | Corporate Bond 185,000 units | 178,988 |
| Expro Finance Luxembourg S.C.A 8.5% Due 12/15/2016 | Corporate Bond 170,000 units | 177,225 |
| Belden Inc. 5.5% Due 09/01/2022 | Corporate Bond 180,000 units | 176,400 |
| Nationstar Mortgage LLC 7.875% Due 10/01/2020 | Corporate Bond 170,000 units | 176,375 |
| Brookfield Residential Propertys Inc. 6.5% Due 12/15/2020 | Corporate Bond 170,000 units | 176,375 |
| MultiPlan Inc. 9.875% Due 09/01/2018 | Corporate Bond 160,000 units | 176,000 |
| Provident Funding Associates LP 6.75% Due 06/15/2021 | Corporate Bond 175,000 units | 174,125 |
| Amsted Industries Inc. 8.125% Due 03/15/2018 | Corporate Bond 165,000 units | 173,869 |
| Stone Energy Corporation 7.5 Due 11/15/2022 | Corporate Bond 160,000 units | 167,200 |
| Nationstar Mortgage LLC 6.5% Due 08/01/2018 | Corporate Bond 160,000 units | 162,800 |
| Commercial Metals Company 4.875% Due 05/15/2023 | Corporate Bond 175,000 units | 162,750 |
| T-Mobile US Inc. 6.731% Due 04/28/2022 | Corporate Bond 155,000 units | 161,588 |
| Calpine Corporation 7.5% Due 02/15/2021 | Corporate Bond 147,000 units | 160,414 |
| Land O'Lakes Inc. 6% Due 11/15/2022 | Corporate Bond 155,000 units | 159,650 |
| Playa Resorts Holding BV 8% Due 08/15/2020 | Corporate Bond 150,000 units | 159,188 |
| Community Choice Financial Inc. 10.75% Due 05/01/2019 | Corporate Bond 190,000 units | 159,125 |
| KeHE Distributors LLC 7.625% Due 08/15/2021 | Corporate Bond 150,000 units | 159,000 |
| PVR Partners, LP 8.25% Due 04/15/2018 | Corporate Bond 150,000 units | 159,000 |
| Inc Resh LLC 11.5% Due 07/15/2019 | Corporate Bond 140,000 units | 155,750 |
| Hanger Inc. 7.125% Due 11/15/2018 | Corporate Bond 145,000 units | 155,150 |
| LSB Industries Inc. 7.75% Due 08/01/2019 | Corporate Bond 145,000 units | 152,250 |
| B&G Foods, Inc. 4.625% Due 06/01/2021 | Corporate Bond 155,000 units | 148,800 |
| Chesapeake Oilfield Operating LLC 6.625% Due 11/15/2019 | Corporate Bond 140,000 units | 146,650 |
| Allison Transmission Holdings Inc. 7.125% Due 05/15/2019 | Corporate Bond 135,000 units | 145,463 |
| WPX Energy Inc. 6% Due 01/15/2022 | Corporate Bond 145,000 units | 145,000 |
| GenCorp Inc. 7.125% Due 03/15/2021 | Corporate Bond 135,000 units | 144,450 |
| WCI Communities Inc. 6.875% Due 08/15/2021 | Corporate Bond 145,000 units | 144,275 |
| Anixter International Inc. 5.625% Due 05/01/2019 | Corporate Bond 135,000 units | 141,919 |
| DigitalGlobe Inc. 5.25% Due 2/01/2021 | Corporate Bond 145,000 units | 141,375 |
| C&S Group Enterprises LLC 8.375% Due 05/01/2017 | Corporate Bond 133,000 units | 140,980 |
| Avis Budget Car Rent LLC 5.5% Due 04/01/2023 | Corporate Bond 145,000 units | 140,469 |
| Koppers Holdings Inc. 7.875% Due 12/01/2019 | Corporate Bond 130,000 units | 140,400 |
| New Gold Inc. Canada 6.25% Due 11/15/2022 | Corporate Bond 145,000 units | 140,288 |
| Ally Financial Inc. 7.5% Due 09/15/2020 | Corporate Bond 120,000 units | 139,800 |
| ProQuest LLC 9% Due 10/15/2018 | Corporate Bond 135,000 units | 139,725 |
| UTD Rentals Inc. 7.625% Due 04/15/2022 | Corporate Bond 125,000 units | 138,906 |

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|--|------------------------------|---------|
| Cooper-Standard Automotive Inc. 8.5% Due 5/1/2018 | Corporate Bond 130,000 units | 137,800 |
| General Cable Corporation 5.75% Due 10/01/2022 | Corporate Bond 140,000 units | 137,200 |
| MTR Gaming Group 11.5% Due 08/01/2019 | Corporate Bond 120,000 units | 133,350 |
| Spectrum Brands Escrow Corporation 6.375% Due 11/15/2020 | Corporate Bond 120,000 units | 128,100 |
| Flexi-Van Leasing Inc. 7.875% Due 08/15/2018 | Corporate Bond 120,000 units | 127,200 |
| TransDigm Group Inc. 5.5% Due 10/15/2020 | Corporate Bond 130,000 units | 127,075 |
| Actuant Corporation 5.625% Due 06/15/2022 | Corporate Bond 125,000 units | 126,563 |
| Radio System Corporation 8.375% Due 11/01/2019 | Corporate Bond 115,000 units | 126,213 |
| Atlas Pipeline Partners, L.P. 6.625% Due 10/01/2020 | Corporate Bond 120,000 units | 125,400 |
| Tempur Sealy International Inc. formerly Tempur-Pedic International Inc. 6.875% Due 12/15/2020 | Corporate Bond 115,000 units | 125,350 |
| Interface Inc. Series B 7.625% Due 12/01/2018 | Corporate Bond 116,000 units | 124,700 |
| Building Materials Corporation of America 6.75% Due 05/01/2021 | Corporate Bond 115,000 units | 124,488 |
| Spectrum Brands Escrow Corporation 6.625% Due 11/15/2022 | Corporate Bond 115,000 units | 122,331 |
| L Brands Inc. 5.625% Due 10/15/2023 | Corporate Bond 120,000 units | 121,800 |
| Allied Specialty 8.5% Due 11/01/2019 | Corporate Bond 115,000 units | 117,588 |
| New Enterprise 13% Due 03/15/2018 | Corporate Bond 104,000 units | 117,520 |
| Sprint Corporation 7.125% Due 06/15/2024 | Corporate Bond 115,000 units | 116,725 |
| Freedom Group, Inc. | Bank Loans 115,000 units | 116,006 |
| BlueScope Steel Limited 7.125% Due 05/01/2018 | Corporate Bond 110,000 units | 115,225 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|---|------------------------------|---------------|
| ACI Worldwide Inc. 6.375% Due 08/15/2020 | Corporate Bond 110,000 units | 114,950 |
| TRAC Intermodal 11% Due 08/15/2019 | Corporate Bond 100,000 units | 114,250 |
| Plastipak Hldgs Inc. 6.5% Due 10/01/2021 | Corporate Bond 110,000 units | 113,850 |
| KEMET Corporation 10.5% Due 05/01/2018 | Corporate Bond 115,000 units | 113,563 |
| Ashton Woods USA LLC 6.875% Due 2/15/21 | Corporate Bond 115,000 units | 113,563 |
| GenOn Escrow Corporation 9.5% Due 10/15/2018 | Corporate Bond 100,000 units | 113,250 |
| Hertz Global Holdings Inc. 4.25 Due 04/01/2018 | Corporate Bond 110,000 units | 112,750 |
| Lamar Media Corporation 5.875% Due 02/01/2022 | Corporate Bond 110,000 units | 112,750 |
| Smithfield Foods Inc. 7.75% Due 07/01/2017 | Corporate Bond 95,000 units | 111,388 |
| T-Mobile US Inc. 6.5% Due 01/15/2024 | Corporate Bond 110,000 units | 111,375 |
| SM Energy Company 6.5% Due 11/15/2021 | Corporate Bond 105,000 units | 111,300 |
| American Rock Salt Company LLC 8.25% Due 05/01/2018 | Corporate Bond 110,000 units | 110,825 |
| Intelsat Jackson 7.5% Due 04/01/2021 | Corporate Bond 100,000 units | 110,250 |
| Rivers Pittsburg Borrower LP 9.5% Due 06/15/2019 | Corporate Bond 100,000 units | 109,750 |
| Great Lakes Dredge & Dock Corporation 7.375% Due 02/01/2019 | Corporate Bond 105,000 units | 109,725 |
| Ally Financial Inc. 5.5% Due 02/15/2017 | Corporate Bond 100,000 units | 108,250 |
| Libbey Glass Inc. 6.875% Due 05/15/2020 | Corporate Bond 100,000 units | 108,000 |
| New Gold Inc. Canada 7% Due 04/15/2020 | Corporate Bond 105,000 units | 107,888 |
| Valeant Pharmaceuticals International, Inc. 7% 10/01/2020 | Corporate Bond 100,000 units | 107,750 |
| PolyOne Corporation 5.25% Due 03/15/2023 | Corporate Bond 110,000 units | 107,250 |
| Wolverine World Wide Inc. 6.125% Due 10/15/2020 | Corporate Bond 100,000 units | 107,000 |
| Nielsen Finance LLC 4.5% Due 10/01/2020 | Corporate Bond 110,000 units | 106,975 |
| Nesco LLC/Nesco Holdings Corporation 11.75% Due 04/15/2017 | Corporate Bond 95,000 units | 106,875 |
| Catalent Pharma Solutions, Inc. 7.875% Due 10/15/2018 | Corporate Bond 105,000 units | 106,838 |
| ConvaTec Healthcare E SA 10.5% Due 12/15/2018 | Corporate Bond 95,000 units | 106,756 |
| Comstock Resources Inc. 7.75% Due 04/01/2019 | Corporate Bond 100,000 units | 106,250 |
| Vail Resorts Inc. 6.5% Due 05/01/2019 | Corporate Bond 100,000 units | 106,000 |
| The Geo Group Inc. 6.625% Due 2/15/2021 | Corporate Bond 100,000 units | 105,750 |
| W&T Offshore Inc. 8.5% Due 06/15/2019 | Corporate Bond 100,000 units | 105,750 |
| Novelis Inc. 8.75% Due 12/15/2020 | Corporate Bond 95,000 units | 105,688 |
| Credit Accepance Corporation 9.125% Due 02/01/2017 | Corporate Bond 100,000 units | 105,000 |
| Telecable Videotron Ltee 9.125% Due 04/15/2018 | Corporate Bond 100,000 units | 104,750 |
| Hilton Worldwide Holdings Inc. 5.625% Due 10/15/2021 | Corporate Bond 100,000 units | 103,750 |
| AEP Industries Inc. 8.25% Due 04/15/2019 | Corporate Bond 95,000 units | 102,125 |
| Mirant Americas Energy Marketing LP 9.125% Due 05/01/2031 | Corporate Bond 100,000 units | 101,750 |
| General Motors Acceptance Corporation 8% Due 11/01/2031 | Corporate Bond 85,000 units | 101,150 |
| Dish DBS Corporation 5.125% Due 05/01/2020 | Corporate Bond 100,000 units | 100,250 |
| Rosetta Resources Inc. 5.625% Due 05/01/2021 | Corporate Bond 100,000 units | 99,750 |
| Mattamy Group Corporation 6.5% Due 11/15/2020 | Corporate Bond 100,000 units | 99,000 |

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|---|------------------------------|--------|
| Churchill Downs Inc. 5.375% Due 12/15/2021 | Corporate Bond 95,000 units | 96,663 |
| Arch Coal Inc. 8% Due 01/15/2019 | Corporate Bond 95,000 units | 94,763 |
| American Capital, Ltd. 6.5% Due 09/15/2018 | Corporate Bond 90,000 units | 93,825 |
| Titan International Inc. III 6.875% Due 10/01/2020 | Corporate Bond 90,000 units | 93,825 |
| Sothebys Formerly Sothebys Holdings Inc. 5.25% Due 10/01/2022 | Corporate Bond 100,000 units | 93,750 |
| The Howard Hughes Corporation 6.875% Due 10/01/2021 | Corporate Bond 90,000 units | 93,600 |
| Constellation Energy Resources LLC 4.25% Due 05/01/2023 | Corporate Bond 100,000 units | 93,250 |
| SquareTwo Financial 11.625% Due 04/01/2017 | Corporate Bond 90,000 units | 93,038 |
| Building Materials Holding Corporation 9% Due 09/15/2018 | Corporate Bond 85,000 units | 91,588 |
| Amerigas Partners, L.P. 6.25% Due 08/20/2019 | Corporate Bond 85,000 units | 91,375 |
| MarkWest Energy 6.5% Due 08/15/2021 | Corporate Bond 85,000 units | 91,375 |
| Chesapeake Midstream Partners, LP 6.125% Due 07/15/2022 | Corporate Bond 85,000 units | 90,950 |
| Eldorado Resorts LLC 8.625% Due 06/15/19 | Corporate Bond 85,000 units | 90,738 |
| Murphy Oil Corporation 6% Due 08/15/2023 | Corporate Bond 90,000 units | 90,450 |
| Woodside Homes Company 6.75% Due 12/15/2021 | Corporate Bond 90,000 units | 90,225 |
| CFG Holdings Limited 11.5% Due 11/15/2019 | Corporate Bond 85,000 units | 90,100 |
| Cantor Commercial Real Estate Company, LP 7.75% Due 2/15/18 | Corporate Bond 85,000 units | 89,888 |
| Universal Hospital Services Inc. 7.625% Due 08/15/2020 | Corporate Bond 85,000 units | 89,675 |
| Comstock Resources Inc. 9.5% Due 06/15/2020 | Corporate Bond 80,000 units | 89,600 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|---|-----------------------------|---------------|
| National Financial Partners Corporation 9% Due 07/15/2021 | Corporate Bond 85,000 units | 89,250 |
| Dish DBS Corporation 4.625% Due 07/15/2017 | Corporate Bond 85,000 units | 89,038 |
| CTP Transportation Products LLC 8.25% Due 12/15/2019 | Corporate Bond 85,000 units | 88,613 |
| Newfield Exploration Company 7.125% Due 05/15/2018 | Corporate Bond 85,000 units | 88,273 |
| Concho Resources Inc. 7% Due 01/15/2021 | Corporate Bond 80,000 units | 88,000 |
| Range Resources Corporation 5% Due 03/15/2023 | Corporate Bond 90,000 units | 87,975 |
| HCA Inc. 6.5% Due 02/15/2020 | Corporate Bond 80,000 units | 87,900 |
| HCA Inc. 7.25% Due 09/15/2020 | Corporate Bond 80,000 units | 87,200 |
| Compass Investors Inc. 7.75% Due 01/15/2021 | Corporate Bond 85,000 units | 86,913 |
| MarkWest Energy 6.75% Due 11/01/2020 | Corporate Bond 80,000 units | 86,800 |
| Cash America International Inc. 5.75% Due 05/15/2018 | Corporate Bond 90,000 units | 85,500 |
| Grifols Inc. 8.25% Due 02/01/2018 | Corporate Bond 80,000 units | 85,300 |
| Centene Corporation Del 5.75% Due 06/01/2017 | Corporate Bond 80,000 units | 85,000 |
| HCA Inc. 8.5% Due 04/15/2019 | Corporate Bond 80,000 units | 84,800 |
| Speedy Cash International 10.75% Due 05/15/2018 | Corporate Bond 80,000 units | 84,200 |
| Teleflex Inc. 6.875% Due 06/01/2019 | Corporate Bond 80,000 units | 84,000 |
| Ingles Markets Inc. 5.75% Due 06/15/2023 | Corporate Bond 85,000 units | 83,300 |
| Gibraltar Industries Inc. 6.25% Due 02/01/2021 | Corporate Bond 80,000 units | 82,200 |
| Wise Metals Group LLC 8.75% Due 12/15/18 | Corporate Bond 75,000 units | 78,938 |
| Forum Energy Technologies Inc. 6.25% Due 10/01/2021 | Corporate Bond 75,000 units | 78,750 |
| ResCare Inc. 10.75% Due 01/15/2019 | Corporate Bond 70,000 units | 78,400 |
| Allegion US Holding Company Inc. 5.75% Due 10/01/2021 | Corporate Bond 75,000 units | 78,000 |
| Continental Resources Inc. 5% Due 09/15/2022 | Corporate Bond 75,000 units | 77,906 |
| Alphabet Holding Company Inc. 7.75% Due 11/01/2017 | Corporate Bond 75,000 units | 77,344 |
| Valassis Communications Inc. 6.625% Due 02/01/2021 | Corporate Bond 75,000 units | 77,344 |
| Seagate HDD Cayman 7% Due 11/01/2021 | Corporate Bond 70,000 units | 77,263 |
| Headwaters Inc. 7.25% Due 01/15/2019 | Corporate Bond 75,000 units | 77,063 |
| Approach Resources Inc. 7% Due 06/15/2021 | Corporate Bond 75,000 units | 76,875 |
| Salix Pharmaceuticals Limited 6% Due 01/15/2021 | Corporate Bond 75,000 units | 76,875 |
| BE Aerospace Inc. 6.875% Due 10/01/2020 | Corporate Bond 70,000 units | 76,825 |
| NCR Escrow Corporation 6.375% Due 12/15/2023 | Corporate Bond 75,000 units | 76,594 |
| Peabody Energy Corporation 7.875% Due 11/01/2026 | Corporate Bond 75,000 units | 76,125 |
| Stoneridge Inc. 9.5% Due 10/15/2017 | Corporate Bond 70,000 units | 75,950 |
| Antero Resources Corporation 5.375% Due 11/01/2021 | Corporate Bond 75,000 units | 75,750 |
| Rialto Holdings LLC 7% Due 12/01/2018 | Corporate Bond 75,000 units | 75,750 |
| Forest Laboratories Inc. 5% Due 12/15/2021 | Corporate Bond 75,000 units | 75,281 |
| WESCO Distribution Inc. 5.375% Due 12/15/2021 | Corporate Bond 75,000 units | 75,000 |
| MetroPCS Communications, Inc. 6.625% Due 11/15/2020 | Corporate Bond 70,000 units | 74,200 |

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|---|-----------------------------|--------|
| Frontier 7.125% Due 01/15/2023 | Corporate Bond 75,000 units | 74,063 |
| RHP Hotel Properties LP 5% Due 04/15/2021 | Corporate Bond 75,000 units | 74,063 |
| St Barbara Mines Limited Formerly St Barbara 8.875% Due 4/15/2018 | Corporate Bond 90,000 units | 74,025 |
| Tekni-Plex Inc. 9.75% Due 06/01/2019 | Corporate Bond 65,000 units | 73,775 |
| Taylor Morrison Communities Inc. / Monarch Communities Inc. 5.25% Due 04/15/2021 | Corporate Bond 75,000 units | 72,938 |
| Chesapeake Energy Corporation 6.625% Due 08/15/2020 | Corporate Bond 65,000 units | 72,638 |
| PolyOne Corporation 7.375% Due 09/15/2020 | Corporate Bond 65,000 units | 71,825 |
| B/E Aerospace Inc. 5.25% Due 04/01/2022 | Corporate Bond 70,000 units | 71,050 |
| Sprint Capital Corporation 6.9% Due 05/01/2019 | Corporate Bond 65,000 units | 71,013 |
| Markwest Energy Partners LP 5.5% Due 02/15/2023 | Corporate Bond 70,000 units | 70,525 |
| Prospect Holding Company 10.25% Due 10/01/2018 | Corporate Bond 75,000 units | 70,500 |
| Nielsen Finance LLC 7.75% 10/15/2018 | Corporate Bond 65,000 units | 70,200 |
| Cenveo Inc. 8.875% Due 02/01/2018 | Corporate Bond 70,000 units | 70,000 |
| Newfield Exploration Company 5.625% Due 07/01/2024 | Corporate Bond 70,000 units | 69,650 |
| Silgan Holdings Inc. 5% Due 04/01/2020 | Corporate Bond 70,000 units | 69,125 |
| CNG Holdings Inc. 9.375% Due 05/15/2020 | Corporate Bond 75,000 units | 69,000 |
| Range Resources Corporation 5% Due 08/15/2022 | Corporate Bond 70,000 units | 68,775 |
| Eagle Spinco Inc. 4.625% Due 02/15/2021 | Corporate Bond 70,000 units | 68,600 |
| Hologic Inc. 6.25% Due 08/01/2020 | Corporate Bond 65,000 units | 68,575 |
| Sabra Health Care REIT Inc. 5.375% Due 06/01/2023 | Corporate Bond 70,000 units | 68,075 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|---|---------------------------------------|---------------|
| Nationstar Mortgage LLC 9.625% Due 05/01/2019 | Corporate Bond 60,000 units | 67,500 |
| Calmulet Specialty Products Partners LP 9.375% Due 05/01/2019 | Corporate Bond 60,000 units | 66,600 |
| Lennar Corporation 4.75% Due 11/15/2022 | Corporate Bond 70,000 units | 64,925 |
| Mueller Water Products Inc. 7.375% Due 06/01/2017 | Corporate Bond 62,000 units | 63,550 |
| Isle Capri Casinos Inc. 5.875% Due 03/15/2021 | Corporate Bond 60,000 units | 58,950 |
| Chesapeake Midstream Partners, LP 5.875% Due 04/15/2021 | Corporate Bond 55,000 units | 58,575 |
| Oshkosh Corporation 8.5% Due 03/01/2020 | Corporate Bond 50,000 units | 55,250 |
| IAC/InterActiveCorp 4.875% Due 11/30/2018 | Corporate Bond 50,000 units | 51,125 |
| Peabody Energy Corporation 6.25% Due 11/15/2021 | Corporate Bond 50,000 units | 50,500 |
| Sprint Corporation 7.875% Due 09/15/2023 | Corporate Bond 45,000 units | 48,375 |
| Activision Blizzard Inc. 5.625% Due 09/15/2021 | Corporate Bond 45,000 units | 46,575 |
| Stearns Holdings Inc. 9.375% Due 08/15/2020 | Corporate Bond 45,000 units | 45,900 |
| T-Mobile US Inc. 6.125% Due 01/15/2022 | Corporate Bond 45,000 units | 45,788 |
| Equinix Inc. 7% Due 07/15/2021 | Corporate Bond 41,000 units | 44,793 |
| TransDigm Group Inc. 7.75% Due 12/15/2018 | Corporate Bond 40,000 units | 42,900 |
| Amerigas Finance Corp 7% Due 5/20/22 | Corporate Bond 38,000 units | 41,230 |
| Jaguar Land Rover Automotive PLC 4.125% Due 12/15/2018 | Corporate Bond 40,000 units | 40,250 |
| MarkWest Energy 4.5% Due 07/15/2023 | Corporate Bond 40,000 units | 37,500 |
| Peabody Energy Corporation 6.5% Due 09/15/2020 | Corporate Bond 35,000 units | 36,838 |
| Sally Holdings LLC/Sally Capital Inc. 5.75% Due 06/01/2022 | Corporate Bond 35,000 units | 36,400 |
| ROC Finance LLC 12.125% Due 09/01/2018 | Corporate Bond 35,000 units | 35,963 |
| GLP Capital LP 4.375% Due 11/01/2018 | Corporate Bond 30,000 units | 30,675 |
| Oasis Petroleum Inc. 6.875% Due 03/15/2022 | Corporate Bond 25,000 units | 26,500 |
| Activision 6.125% Due 09/15/2023 | Corporate Bond 25,000 units | 26,062 |
| Valeant Pharmaceuticals International, Inc. Canada 5.625% Due 12/01/2021 | Corporate Bond 10,000 units | 10,050 |
| T-Mobile US Inc. 6.464% Due 04/28/2019 | Corporate Bond 5,000 units | 5,313 |
| Total High Yield Asset Class | | 85,575,887 |
| Inflation Protection Asset Class: | | |
| NT Collective Treasury Inflation-Protected Securities Index Fund - Non Lending* | Collective trust fund 407,043 units | 52,264,331 |
| Total Inflation Protection Asset Class | | 52,264,331 |
| International Growth Asset Class: | | |
| Artisan International Fund* | Collective trust fund 4,156,885 units | 86,338,505 |
| MFS Institutional International Equity Fund | Mutual Fund 3,702,637 shares | 83,050,138 |
| NT Collective EAFE Index Fund - Non Lending* | Collective trust fund 37,276 units | 10,076,906 |
| Thai Baht | Cash and cash equivalent 7,607 units | 7,607 |
| Brazilian Real | Cash and cash equivalent 2,975 units | 2,975 |
| NT Collective Short Term Investment Fund* | Collective trust fund 96 units | 96 |
| Singapore Dollar | Cash and cash equivalent 2 units | 2 |
| Total International Growth Asset Class | | 179,476,229 |

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|---|--|-------------|
| Total International Index Asset Class: | | |
| NT Collective All Country World Index (ACWI) Ex-US Fund - Non Lending* | Collective trust fund 245,854 units | 32,206,905 |
| Total International Index Asset Class | | 32,206,905 |
| Total International Value Asset Class: | | |
| Dodge & Cox International Fund | Mutual Fund 3,157,055 shares | 135,879,636 |
| Altrinsic International Equity Value Fund* | Collective trust fund 7,391,821 units | 84,289,674 |
| NT Collective EAFE Index Fund - Non Lending* | Collective trust fund 68,113 units | 18,412,992 |
| Total International Value Asset Class | | 238,582,302 |
| Large Cap Growth Asset Class: | | |
| NT Collective Russell 1000 Growth Index Fund - Non Lending* | Collective trust fund 117,017 units | 28,976,044 |
| Google Inc. Class A | Common and preferred stock 16,495 shares | 18,486,104 |
| Visa Inc. Class A | Common and preferred stock 71,420 shares | 15,903,806 |
| Amazon.com Inc. | Common and preferred stock 32,370 shares | 12,908,832 |
| Facebook Inc. | Common and preferred stock 186,460 shares | 10,191,904 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|---|---|---------------|
| priceline.com Inc. | Common and preferred stock 8,533 shares | 9,918,759 |
| Salesforce.com Inc. | Common and preferred stock 166,860 shares | 9,209,003 |
| Las Vegas Sands Corporation | Common and preferred stock 89,860 shares | 7,087,258 |
| Baidu, Inc. | Common and preferred stock 36,900 shares | 6,563,772 |
| Biogen Idec Inc. | Common and preferred stock 22,790 shares | 6,375,503 |
| NT Collective Short Term Investment Fund* | Collective trust fund 6,094,709 units | 6,094,709 |
| Apple Inc. | Common and preferred stock 9,650 shares | 5,414,712 |
| Linkedin Corporation Class A | Common and preferred stock 24,790 shares | 5,375,216 |
| Regeneron Pharmaceuticals, Inc. | Common and preferred stock 18,200 shares | 5,009,368 |
| Chipotle Mexican Grill Inc. | Common and preferred stock 9,300 shares | 4,954,854 |
| Walt Disney Company | Common and preferred stock 63,036 shares | 4,815,950 |
| ASML Holding N. V. | Common and preferred stock 48,100 shares | 4,506,970 |
| Mastercard, Inc. Class A | Common and preferred stock 5,380 shares | 4,494,775 |
| National Oilwell Varco, Inc. | Common and preferred stock 55,950 shares | 4,449,704 |
| International Business Machines Corporation | Common and preferred stock 23,374 shares | 4,384,261 |
| Microsoft Corporation | Common and preferred stock 116,587 shares | 4,363,851 |
| Schlumberger Limited | Common and preferred stock 47,500 shares | 4,280,225 |
| Gilead Sciences Inc. | Common and preferred stock 56,750 shares | 4,264,763 |
| ARM Holdings PLC | Common and preferred stock 76,200 shares | 4,171,188 |
| Nike Inc. Class B | Common and preferred stock 51,600 shares | 4,057,824 |
| Starbucks Corporation | Common and preferred stock 51,724 shares | 4,054,644 |
| Splunk Inc. | Common and preferred stock 57,800 shares | 3,969,126 |
| Alexion Pharmaceuticals Inc. | Common and preferred stock 29,100 shares | 3,872,046 |
| Intuitive Surgical, Inc. | Common and preferred stock 9,790 shares | 3,760,143 |
| Costco Wholesale Corporation | | 3,560,779 |

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|---------------------------------------|---|-----------|
| | Common and preferred stock 29,920 shares | |
| Honeywell International Inc. | Common and preferred stock 38,710 shares | 3,536,933 |
| Biomarin Pharmaceutical Inc. | Common and preferred stock 48,280 shares | 3,392,636 |
| Coca-Cola Company | Common and preferred stock 81,747 shares | 3,376,969 |
| Southwestern Energy | Common and preferred stock 85,700 shares | 3,370,581 |
| Monsanto Company | Common and preferred stock 28,900 shares | 3,368,295 |
| Yahoo! Inc. | Common and preferred stock 78,870 shares | 3,189,503 |
| Cerner Corporation | Common and preferred stock 55,400 shares | 3,087,996 |
| Oracle Corporation | Common and preferred stock 79,790 shares | 3,052,765 |
| Liberty Global PLC | Common and preferred stock 33,980 shares | 3,023,880 |
| The Charles Schwab Corporation | Common and preferred stock 114,600 shares | 2,979,600 |
| Philip Morris International Inc. | Common and preferred stock 33,490 shares | 2,917,984 |
| Precision Castparts Corporation | Common and preferred stock 10,690 shares | 2,878,817 |
| Qualcomm Inc. | Common and preferred stock 38,608 shares | 2,866,644 |
| Morgan Stanley | Common and preferred stock 90,330 shares | 2,832,749 |
| Expedia Inc. | Common and preferred stock 40,610 shares | 2,828,893 |
| Ulta Salon Cosmetics & Fragrance Inc. | Common and preferred stock 29,300 shares | 2,828,036 |
| FMC Technologies Inc. | Common and preferred stock 54,100 shares | 2,824,561 |
| Sherwin-Williams Company | Common and preferred stock 14,520 shares | 2,664,420 |
| EOG Resources Inc. | Common and preferred stock 14,900 shares | 2,500,816 |
| Eaton Corporation PLC | Common and preferred stock 32,620 shares | 2,483,034 |
| IntercontinentalExchange Group Inc. | Common and preferred stock 10,990 shares | 2,471,871 |
| B/E Aerospace Inc. | Common and preferred stock 27,630 shares | 2,404,639 |
| Discover Financial Services | Common and preferred stock 42,470 shares | 2,376,197 |
| Monster Beverage Corporation | Common and preferred stock 33,670 shares | 2,281,816 |
| Affiliated Managers Group Inc. | | 2,268,565 |

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|------------------------------------|--|-----------|
| | Common and preferred stock 10,460 shares | |
| Anadarko Petroleum Corporation | Common and preferred stock 27,560 shares | 2,186,059 |
| Sirius XM Holdings Inc. | Common and preferred stock 625,370 shares | 2,182,541 |
| Allergan Inc. | Common and preferred stock 19,500 shares | 2,166,060 |
| Actavis PLC | Common and preferred stock 12,830 shares | 2,155,440 |
| Catamaran Corporation | Common and preferred stock 45,120 shares | 2,142,298 |
| CVS Caremark Corporation | Common and preferred stock 29,010 shares | 2,076,246 |
| United Parcel Service Inc. Class B | Common and preferred stock 19,670 shares | 2,066,924 |
| JP Morgan Chase & Company* | Common and preferred stock 34,850 shares | 2,038,028 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|---------------------------------------|--|---------------|
| Northern Trust Corporation* | Common and preferred stock 32,430 shares | 2,007,093 |
| Estee Lauder Companies Inc. Class A | Common and preferred stock 26,390 shares | 1,987,695 |
| Accenture PLC | Common and preferred stock 23,810 shares | 1,957,658 |
| Express Scripts Holding Company | Common and preferred stock 27,500 shares | 1,931,600 |
| Halliburton Company | Common and preferred stock 37,430 shares | 1,899,573 |
| The Goldman Sachs Group Inc. | Common and preferred stock 10,570 shares | 1,873,638 |
| Discovery Communications Inc. | Common and preferred stock 20,640 shares | 1,866,269 |
| Fortune Brands Home & Securities Inc. | Common and preferred stock 40,120 shares | 1,833,484 |
| Ralph Lauren Corporation Class A | Common and preferred stock 10,340 shares | 1,825,734 |
| Celgene Corporation | Common and preferred stock 10,780 shares | 1,821,389 |
| American Tower Corporation | Common and preferred stock 22,480 shares | 1,794,354 |
| Wells Fargo & Company* | Common and preferred stock 39,020 shares | 1,771,508 |
| Nordstrom, Inc. | Common and preferred stock 28,250 shares | 1,745,850 |
| Johnson & Johnson | Common and preferred stock 18,970 shares | 1,737,462 |
| Athenahealth Inc. | Common and preferred stock 12,700 shares | 1,708,150 |
| Yandex NV Class A | Common and preferred stock 39,430 shares | 1,701,405 |
| Under Armor Inc. Class A | Common and preferred stock 19,160 shares | 1,672,668 |
| Kansas City Southern | Common and preferred stock 13,330 shares | 1,650,654 |
| Abbott Laboratories | Common and preferred stock 42,870 shares | 1,643,207 |
| Mondelez International Inc. | Common and preferred stock 46,190 shares | 1,630,507 |
| Omnicom Group Inc. | Common and preferred stock 21,887 shares | 1,627,736 |
| ServiceNow Inc. | Common and preferred stock 28,930 shares | 1,620,369 |
| Stryker Corporation | Common and preferred stock 21,310 shares | 1,601,233 |
| Red Hat Inc. | Common and preferred stock 28,330 shares | 1,587,613 |

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|---|--|-----------|
| The Gap Inc. | Common and preferred stock 40,270 shares | 1,573,752 |
| T Rowe Price Group Inc. | Common and preferred stock 18,371 shares | 1,538,939 |
| FleetCor Technologies Inc. | Common and preferred stock 13,070 shares | 1,531,412 |
| Medtronic Inc. | Common and preferred stock 26,500 shares | 1,520,835 |
| eBay Inc. | Common and preferred stock 26,770 shares | 1,469,405 |
| Jarden Corporation | Common and preferred stock 23,950 shares | 1,469,333 |
| Equinix Inc. | Common and preferred stock 8,020 shares | 1,423,149 |
| Parker-Hannifin Corporation | Common and preferred stock 10,840 shares | 1,394,458 |
| Intuit Inc. | Common and preferred stock 18,220 shares | 1,390,550 |
| Scripps Networks Interactive Inc. Class A | Common and preferred stock 16,000 shares | 1,382,560 |
| Bed Bath & Beyond Inc. | Common and preferred stock 17,124 shares | 1,375,057 |
| VeriSign Inc. | Common and preferred stock 22,740 shares | 1,359,397 |
| Starwood Hotels & Resorts Worldwide Inc. | Common and preferred stock 17,010 shares | 1,351,445 |
| Verisk Analytics Inc. Class A | Common and preferred stock 20,110 shares | 1,321,629 |
| Chicago Bridge & Iron Company | Common and preferred stock 15,550 shares | 1,292,827 |
| TJX Companies Inc. | Common and preferred stock 20,010 shares | 1,275,237 |
| Rockwell Automation Inc. | Common and preferred stock 10,730 shares | 1,267,857 |
| Intel Corporation | Common and preferred stock 48,830 shares | 1,267,627 |
| EMC Corporation | Common and preferred stock 50,000 shares | 1,257,500 |
| Marsh & McLennan Companies Inc. | Common and preferred stock 25,220 shares | 1,219,639 |
| Paccar Inc. | Common and preferred stock 20,550 shares | 1,215,944 |
| F5 Networks Inc. | Common and preferred stock 13,210 shares | 1,200,261 |
| Becton, Dickinson and Company | Common and preferred stock 10,740 shares | 1,186,663 |
| Cardinal Health Inc. | Common and preferred stock 17,210 shares | 1,149,800 |
| Church & Dwight Inc. | Common and preferred stock 16,970 shares | 1,124,772 |
| Yelp Inc. Class A | | 1,113,543 |

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|----------------------------------|--|-----------|
| | Common and preferred stock 16,150 shares | |
| VMware Inc. | Common and preferred stock 12,160 shares | 1,090,874 |
| Occidental Petroleum Corporation | Common and preferred stock 11,430 shares | 1,086,993 |
| Ingersoll-Rand PLC | Common and preferred stock 17,440 shares | 1,074,304 |
| Adobe Systems Inc. | Common and preferred stock 17,490 shares | 1,047,301 |
| Joy Global Inc. | Common and preferred stock 17,570 shares | 1,027,669 |
| Covidien PLC | Common and preferred stock 15,040 shares | 1,024,224 |
| PulteGroup Inc. | Common and preferred stock 49,110 shares | 1,000,371 |
| Alaska Air Group Inc. | Common and preferred stock 13,490 shares | 989,761 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|--|---|---------------|
| Mattel Inc. | Common and preferred stock 20,770 shares | 988,237 |
| Chevron Corporation | Common and preferred stock 7,720 shares | 964,305 |
| Harley-Davidson Motor Company | Common and preferred stock 13,900 shares | 962,436 |
| Coach Inc. | Common and preferred stock 16,830 shares | 944,668 |
| Agilent Technologies Inc. | Common and preferred stock 16,396 shares | 937,687 |
| Petsmart Inc. | Common and preferred stock 12,840 shares | 934,110 |
| SM Energy Company | Common and preferred stock 11,210 shares | 931,663 |
| CF Industries Holdings, Inc. | Common and preferred stock 3,840 shares | 894,874 |
| L Brands Inc. | Common and preferred stock 13,664 shares | 845,118 |
| Teradata Corporation | Common and preferred stock 17,580 shares | 799,714 |
| Citrix Systems, Inc. | Common and preferred stock 12,150 shares | 768,488 |
| Ecolab, Inc. | Common and preferred stock 7,140 shares | 744,488 |
| Total Large Cap Growth Asset Class | | 400,525,614 |
| Large Cap Index Asset Class: | | |
| NT Collective S&P 500 Index Fund – Non Lending* | Collective trust fund 113,622 units | 661,941,029 |
| Total Large Cap Index Asset Class | | 661,941,029 |
| Large Cap Value Asset Class: | | |
| NT Collective Russell 1000 Value Index Fund - Non Lending* | Collective trust fund 41,246 units | 10,217,037 |
| Wells Fargo & Company* | Common and preferred stock 123,909 shares | 5,625,468 |
| Comcast Corporation Class A | Common and preferred stock 91,955 shares | 4,778,442 |
| Microsoft Corporation | Common and preferred stock 125,726 shares | 4,705,924 |
| Merck & Company Inc. | Common and preferred stock 91,595 shares | 4,584,330 |
| General Electric Company | Common and preferred stock 154,075 shares | 4,318,722 |
| Pfizer Inc. | Common and preferred stock 137,141 shares | 4,200,629 |
| NT Collective Short Term Investment Fund* | Collective trust fund 4,050,163 units | 4,050,163 |
| Sanofi-Aventis | Common and preferred stock 75,354 shares | 4,041,235 |

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|---------------------------------------|---|-----------|
| Schlumberger Limited | Common and preferred stock 43,822 shares | 3,948,800 |
| Capital One Financial Corporation | Common and preferred stock 50,000 shares | 3,830,500 |
| Chevron Corporation | Common and preferred stock 29,232 shares | 3,651,369 |
| Hewlett-Packard Company | Common and preferred stock 127,500 shares | 3,567,450 |
| Bank of America Corporation* | Common and preferred stock 224,661 shares | 3,497,972 |
| JP Morgan Chase & Company* | Common and preferred stock 54,901 shares | 3,210,610 |
| Time Warner Inc. | Common and preferred stock 39,500 shares | 2,753,940 |
| Novartis AG | Common and preferred stock 33,000 shares | 2,652,540 |
| The Charles Schwab Corporation | Common and preferred stock 97,500 shares | 2,535,000 |
| MetLife Inc.* | Common and preferred stock 43,943 shares | 2,369,407 |
| Glaxosmithkline PLC | Common and preferred stock 44,000 shares | 2,349,160 |
| UnitedHealth Group Inc. | Common and preferred stock 30,671 shares | 2,309,526 |
| Time Warner Cable Inc. | Common and preferred stock 17,000 shares | 2,303,500 |
| FedEx Corporation | Common and preferred stock 16,000 shares | 2,300,320 |
| Liberty Interactive Corporation | Common and preferred stock 73,918 shares | 2,169,493 |
| Bank of New York Mellon Corporation | Common and preferred stock 61,000 shares | 2,131,340 |
| The Goldman Sachs Group Inc. | Common and preferred stock 12,000 shares | 2,127,120 |
| Forest Laboratories Inc. | Common and preferred stock 34,574 shares | 2,075,477 |
| Roche Holdings Limited | Common and preferred stock 29,500 shares | 2,070,900 |
| Vodafone Group PLC | Common and preferred stock 48,399 shares | 1,902,565 |
| Twenty-First Century Fox Inc. Class A | Common and preferred stock 51,000 shares | 1,794,180 |
| Symantec Corporation | Common and preferred stock 75,000 shares | 1,768,500 |
| State Street Corporation | Common and preferred stock 23,165 shares | 1,700,079 |
| Exxon Mobil Corporation | Common and preferred stock 16,791 shares | 1,699,249 |
| Ameriprise Financial Inc. | Common and preferred stock 14,720 shares | 1,693,536 |
| Vulcan Materials Company | | 1,516,517 |

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|--------------------------|---|-----------|
| | Common and preferred stock 25,522 shares | |
| Wal-Mart Stores Inc. | Common and preferred stock 18,700 shares | 1,471,503 |
| Illinois Tool Works Inc. | Common and preferred stock 17,258 shares | 1,451,053 |
| Unum Group | Common and preferred stock 40,643 shares | 1,425,756 |
| Citigroup, Inc. | Common and preferred stock 27,046 shares | 1,409,367 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|------------------------------------|---|---------------|
| Google Inc. Class A | Common and preferred stock 1,250 shares | 1,400,888 |
| Northrop Grumman Corporation | Common and preferred stock 12,200 shares | 1,398,242 |
| Motorola Solutions Inc. | Common and preferred stock 20,660 shares | 1,394,550 |
| Baker Hughes Inc. | Common and preferred stock 25,000 shares | 1,381,500 |
| TE Connectivity Limited | Common and preferred stock 25,000 shares | 1,377,750 |
| Nokia Corporation | Common and preferred stock 165,000 shares | 1,338,150 |
| Sealed Air Corporation | Common and preferred stock 39,278 shares | 1,337,416 |
| Marathon Oil Corporation | Common and preferred stock 37,654 shares | 1,329,186 |
| PNC Financial Services Group | Common and preferred stock 17,122 shares | 1,328,325 |
| Lowes Companies Inc. | Common and preferred stock 26,746 shares | 1,325,264 |
| Eli Lilly & Company | Common and preferred stock 25,827 shares | 1,317,177 |
| Omnicom Group Inc. | Common and preferred stock 17,699 shares | 1,316,275 |
| Discover Financial Services | Common and preferred stock 23,340 shares | 1,305,873 |
| American International Group Inc. | Common and preferred stock 25,407 shares | 1,297,027 |
| Eaton Corporation PLC | Common and preferred stock 17,011 shares | 1,294,877 |
| Norfolk Southern Corporation | Common and preferred stock 13,920 shares | 1,292,194 |
| Noble Energy Inc. | Common and preferred stock 18,762 shares | 1,277,880 |
| E. I. du Pont de Nemours & Company | Common and preferred stock 19,540 shares | 1,269,514 |
| AOL Inc. | Common and preferred stock 26,766 shares | 1,247,831 |
| Texas Instruments Inc. | Common and preferred stock 28,397 shares | 1,246,912 |
| Hess Corporation LLC | Common and preferred stock 15,010 shares | 1,245,830 |
| Viacom Inc. Class B | Common and preferred stock 14,226 shares | 1,242,499 |
| General Motors Company | Common and preferred stock 30,260 shares | 1,236,726 |
| Weyerhaeuser Company | Common and preferred stock 38,887 shares | 1,227,663 |

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|----------------------------------|---|-----------|
| Covidien PLC | Common and preferred stock 18,002 shares | 1,225,936 |
| Philip Morris International Inc. | Common and preferred stock 14,059 shares | 1,224,961 |
| CVS Caremark Corporation | Common and preferred stock 16,964 shares | 1,214,113 |
| Apache Corporation | Common and preferred stock 14,000 shares | 1,203,160 |
| Travelers Companies Inc. | Common and preferred stock 13,216 shares | 1,196,577 |
| Family Dollar Stores Inc. | Common and preferred stock 17,631 shares | 1,145,486 |
| Mondelez International Inc. | Common and preferred stock 32,382 shares | 1,143,085 |
| EMC Corporation | Common and preferred stock 45,330 shares | 1,140,050 |
| NextEra Energy Inc. | Common and preferred stock 12,994 shares | 1,112,546 |
| Verizon Communications Inc. | Common and preferred stock 22,613 shares | 1,111,203 |
| Valero Energy Corporation | Common and preferred stock 21,396 shares | 1,078,358 |
| Bristol-Myers Squibb Company | Common and preferred stock 20,198 shares | 1,073,524 |
| Corning Inc. | Common and preferred stock 60,000 shares | 1,069,200 |
| Honeywell International Inc. | Common and preferred stock 11,596 shares | 1,059,527 |
| NetApp Inc. | Common and preferred stock 25,500 shares | 1,049,070 |
| PepsiCo Inc. | Common and preferred stock 12,591 shares | 1,044,298 |
| Apple Inc. | Common and preferred stock 1,854 shares | 1,040,298 |
| Fifth Third Bancorp | Common and preferred stock 49,138 shares | 1,033,372 |
| Aegon N.V. | Common and preferred stock 107,500 shares | 1,019,100 |
| Cisco Systems Inc. | Common and preferred stock 44,457 shares | 998,060 |
| Legg Mason Inc. | Common and preferred stock 22,674 shares | 985,866 |
| Dish Network Corporation Class A | Common and preferred stock 16,100 shares | 932,512 |
| eBay Inc. | Common and preferred stock 16,800 shares | 922,152 |
| Celanese Corporation | Common and preferred stock 16,500 shares | 912,615 |
| HCA Holdings, Inc. | Common and preferred stock 18,670 shares | 890,746 |
| Liberty Global PLC | | 888,832 |

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|---------------------|---|---------|
| | Common and preferred stock 9,988 shares | |
| Coach Inc. | Common and preferred stock 15,500 shares | 870,015 |
| US Bancorp | Common and preferred stock 21,263 shares | 859,025 |
| Terex Corporation | Common and preferred stock 20,318 shares | 853,153 |
| Calpine Corporation | Common and preferred stock 42,640 shares | 831,906 |
| The ADT Corporation | Common and preferred stock 20,500 shares | 829,635 |
| Deere & Company | Common and preferred stock 8,899 shares | 812,746 |
| Synopsys Inc. | Common and preferred stock 20,000 shares | 811,400 |
| DIRECTV | Common and preferred stock 11,568 shares | 799,233 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|-----------------------------------|--|---------------|
| The Dow Chemical Company | Common and preferred stock 18,000 shares | 799,200 |
| Sprint Corporation | Common and preferred stock 68,192 shares | 733,064 |
| BB&T Corporation | Common and preferred stock 19,500 shares | 727,740 |
| Tyco International Limited | Common and preferred stock 17,500 shares | 718,200 |
| Weatherford International Limited | Common and preferred stock 45,600 shares | 706,344 |
| Dover Corporation | Common and preferred stock 7,236 shares | 698,563 |
| Consol Energy Inc. | Common and preferred stock 18,232 shares | 693,545 |
| Adobe Systems Inc. | Common and preferred stock 11,500 shares | 688,620 |
| Sun Trust Banks Inc. | Common and preferred stock 18,500 shares | 680,985 |
| Firstenergy Corporation | Common and preferred stock 19,879 shares | 655,609 |
| Koninklijke Philips NV | Common and preferred stock 17,000 shares | 628,490 |
| Computer Sciences Corporation | Common and preferred stock 11,000 shares | 614,680 |
| AutoNation Inc. | Common and preferred stock 12,366 shares | 614,467 |
| Medtronic Inc. | Common and preferred stock 10,500 shares | 602,595 |
| Boston Scientific Corporation | Common and preferred stock 50,000 shares | 601,000 |
| Procter & Gamble Company | Common and preferred stock 7,251 shares | 590,304 |
| HSBC Holdings PLC | Common and preferred stock 10,500 shares | 578,865 |
| Xerox Corporation | Common and preferred stock 47,500 shares | 578,075 |
| Cigna Corporation | Common and preferred stock 6,500 shares | 568,620 |
| Unilever PLC | Common and preferred stock 12,500 shares | 515,000 |
| Oracle Corporation | Common and preferred stock 12,974 shares | 496,385 |
| Panasonic Corporation | Common and preferred stock 40,000 shares | 466,400 |
| Maxim Integrated Products Inc. | Common and preferred stock 15,000 shares | 418,650 |
| CarMax Inc. | Common and preferred stock 7,500 shares | 352,650 |

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|---|--|-------------|
| McGraw Hill Financial Inc. | Common and preferred stock 4,000 shares | 312,800 |
| Cadence Design Systems, Inc. | Common and preferred stock 19,500 shares | 273,390 |
| Express Scripts Holding Company | Common and preferred stock 3,800 shares | 266,912 |
| NVR Inc. | Common and preferred stock 200 shares | 205,202 |
| News Corporation Class A | Common and preferred stock 10,000 shares | 180,200 |
| Domtar Corporation | Common and preferred stock 900 shares | 84,906 |
| Total Large Cap Value Asset Class | | 198,071,449 |
| Participant Self-Directed Accounts | Various investments | 272,501,890 |
| Real Estate Investment Trust (REIT) Asset Class: | | |
| Vanguard Specialized Portfolios REIT Index Fund Institutional Class | Mutual Fund 5,881,432 shares | 83,398,699 |
| NT Collective Short Term Investment Fund* | Collective trust fund 8,180,718 units | 8,180,718 |
| Total Real Estate Investment Trust (REIT) Asset Class | | 91,579,417 |
| Small-Mid Cap Growth Asset Class: | | |
| NT Collective Russell 2000 Growth Index Fund - Non Lending* | Collective trust fund 25,079 units | 6,067,659 |
| NT Collective Short Term Investment Fund* | Collective trust fund 2,154,806 units | 2,154,806 |
| Ultimate Software Group Inc. | Common and preferred stock 12,343 shares | 1,891,193 |
| Copart Inc. | Common and preferred stock 36,103 shares | 1,323,175 |
| MSC Industrial Direct Company Inc. Class A | Common and preferred stock 15,191 shares | 1,228,496 |
| Knight Transportation Inc. | Common and preferred stock 59,746 shares | 1,095,742 |
| MWI Veterinary Supply, Inc. | Common and preferred stock 6,184 shares | 1,054,929 |
| B/E Aerospace Inc. | Common and preferred stock 11,341 shares | 987,007 |
| IPC The Hospitalist Company, Inc. | Common and preferred stock 14,225 shares | 844,823 |
| Wesco Aircraft Holdings Inc. | Common and preferred stock 37,297 shares | 817,550 |
| Syntel Inc. | Common and preferred stock 8,200 shares | 745,790 |
| Dril-Quip Inc. | Common and preferred stock 6,780 shares | 745,325 |
| Life Time Fitness Inc. | Common and preferred stock 15,805 shares | 742,835 |
| Manhattan Associates, Inc. | Common and preferred stock 6,244 shares | 733,545 |
| Power Integrations Inc. | | 730,181 |

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| | | |
|----------------------------|---|---------|
| | Common and preferred stock 13,081 shares | |
| Costar Group Inc. | Common and preferred stock 3,889 shares | 717,832 |
| HDFC Bank Limited | Common and preferred stock 20,490 shares | 705,676 |
| Signature Bank of New York | Common and preferred stock 6,494 shares | 697,585 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|--|--|---------------|
| Affiliated Managers Group Inc. | Common and preferred stock 3,215 shares | 697,269 |
| Seattle Genetics Inc. | Common and preferred stock 17,320 shares | 690,895 |
| IPG Photonics Corporation | Common and preferred stock 8,888 shares | 689,798 |
| HEICO Corporation Class A | Common and preferred stock 15,901 shares | 669,750 |
| Polaris Industries Inc. | Common and preferred stock 4,554 shares | 663,245 |
| Cooper Companies Inc. | Common and preferred stock 5,108 shares | 632,575 |
| Fluidigm Corporation | Common and preferred stock 16,486 shares | 631,744 |
| Blue Nile Inc. | Common and preferred stock 12,764 shares | 601,057 |
| Waste Connections Inc. | Common and preferred stock 13,688 shares | 597,207 |
| Jack Henry & Associates Inc. | Common and preferred stock 10,038 shares | 594,350 |
| United Rentals, Inc. | Common and preferred stock 7,623 shares | 594,213 |
| West Pharmaceutical Services Inc. | Common and preferred stock 11,496 shares | 563,994 |
| Hibbett Sports Inc. | Common and preferred stock 8,381 shares | 563,287 |
| Brunswick Corporation | Common and preferred stock 12,123 shares | 558,385 |
| Allegiant Travel Company | Common and preferred stock 5,181 shares | 546,285 |
| Old Dominion Freight Line, Inc. | Common and preferred stock 10,265 shares | 544,250 |
| ICON Public Limited Company | Common and preferred stock 13,366 shares | 540,120 |
| Dealertrack Technologies Inc. | Common and preferred stock 11,080 shares | 532,726 |
| VistaPrint NV | Common and preferred stock 9,352 shares | 531,661 |
| SciQuest Inc. | Common and preferred stock 18,425 shares | 524,744 |
| Chico's Fas Inc. | Common and preferred stock 27,159 shares | 511,676 |
| Cognizant Technology Solutions Corporation Class A | Common and preferred stock 5,035 shares | 508,434 |
| Middleby Corporation | Common and preferred stock 2,113 shares | 507,057 |
| RealPage Inc. | Common and preferred stock 21,232 shares | 496,404 |

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|---------------------------------------|--|---------|
| Graco Inc. | Common and preferred stock 6,227 shares | 486,453 |
| Monro Muffler Brake Inc. | Common and preferred stock 8,587 shares | 483,963 |
| MEDNAX, Inc. | Common and preferred stock 9,014 shares | 481,167 |
| Jarden Corporation | Common and preferred stock 7,835 shares | 480,677 |
| Cardtronics Inc. | Common and preferred stock 11,002 shares | 478,037 |
| Prestige Brands Holdings Inc. | Common and preferred stock 13,335 shares | 477,393 |
| Align Technology Inc. | Common and preferred stock 8,254 shares | 471,716 |
| Tetra Tech Inc. | Common and preferred stock 16,393 shares | 458,676 |
| LKQ Corporation | Common and preferred stock 13,883 shares | 456,751 |
| Hub Group Inc. Class A | Common and preferred stock 11,399 shares | 454,592 |
| Geospace Technologies Corporation | Common and preferred stock 4,783 shares | 453,572 |
| Stantec Inc. | Common and preferred stock 7,283 shares | 451,546 |
| O'Reilly Automotive Inc. | Common and preferred stock 3,485 shares | 448,554 |
| Cyberonics Inc. | Common and preferred stock 6,764 shares | 443,110 |
| Lincoln Electric Holdings Inc. | Common and preferred stock 6,169 shares | 440,096 |
| PrivateBancorp, Inc. | Common and preferred stock 15,131 shares | 437,740 |
| WisdomTree Investments Inc. | Common and preferred stock 24,549 shares | 434,763 |
| Zumiez Inc. | Common and preferred stock 16,677 shares | 433,602 |
| Air Methods Corporation | Common and preferred stock 7,285 shares | 424,934 |
| Westlake Chemical Corporation | Common and preferred stock 3,473 shares | 423,949 |
| Fortune Brands Home & Securities Inc. | Common and preferred stock 9,209 shares | 420,851 |
| Covance Inc. | Common and preferred stock 4,756 shares | 418,813 |
| Polypore International Inc. | Common and preferred stock 10,574 shares | 411,329 |
| GNC Holdings Inc. | Common and preferred stock 7,016 shares | 410,085 |
| Oceaneering International, Inc. | Common and preferred stock 5,138 shares | 405,285 |
| Under Armor Inc. Class A | | 403,850 |

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|-------------------------|---|---------|
| | Common and preferred stock 4,626 shares | |
| Buffalo Wild Wings Inc. | Common and preferred stock 2,731 shares | 402,003 |
| Tangoe Inc. | Common and preferred stock 22,271 shares | 401,101 |
| Euronet Worldwide Inc. | Common and preferred stock 8,312 shares | 397,729 |
| Manpower Inc. | Common and preferred stock 4,617 shares | 396,416 |
| Generac Holdings Inc. | Common and preferred stock 6,959 shares | 394,158 |
| FEI Company | Common and preferred stock 4,375 shares | 390,950 |
| MakeMyTrip Limited | Common and preferred stock 20,291 shares | 390,805 |
| Hanesbrands Inc. | Common and preferred stock 5,557 shares | 390,490 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|---------------------------------------|--|---------------|
| Sonic Corporation | Common and preferred stock 19,296 shares | 389,586 |
| OpenTable Inc. | Common and preferred stock 4,883 shares | 387,564 |
| Cogent Communications Group Inc. | Common and preferred stock 9,528 shares | 385,026 |
| Balchem Corporation | Common and preferred stock 6,477 shares | 380,200 |
| Proto Labs Inc. | Common and preferred stock 5,295 shares | 376,898 |
| Concur Technologies Inc. | Common and preferred stock 3,605 shares | 371,964 |
| FMC Corporation | Common and preferred stock 4,904 shares | 370,056 |
| Portfolio Recovery Associates Inc. | Common and preferred stock 6,907 shares | 364,966 |
| A. O. Smith Corporation | Common and preferred stock 6,751 shares | 364,149 |
| Hexcel Corporation | Common and preferred stock 7,993 shares | 357,207 |
| ACI Worldwide Inc. | Common and preferred stock 5,460 shares | 354,900 |
| ITT Corporation | Common and preferred stock 8,148 shares | 353,786 |
| Interval Leisure Group Inc. | Common and preferred stock 11,304 shares | 349,294 |
| Transdigm Group Inc. | Common and preferred stock 2,169 shares | 349,252 |
| Gartner Inc. | Common and preferred stock 4,908 shares | 348,713 |
| Iconix Brand Group Inc. | Common and preferred stock 8,656 shares | 343,643 |
| The Chefs' Warehouse Holdings Inc. | Common and preferred stock 11,774 shares | 343,330 |
| Hittite Microwave Corporation | Common and preferred stock 5,388 shares | 332,601 |
| TrueBlue Inc. | Common and preferred stock 12,699 shares | 327,380 |
| Abaxis Inc. | Common and preferred stock 8,059 shares | 322,521 |
| Examworks Group Inc. | Common and preferred stock 10,727 shares | 320,415 |
| Core Laboratories N.V. | Common and preferred stock 1,648 shares | 314,686 |
| Ulta Salon Cosmetics & Fragrance Inc. | Common and preferred stock 3,244 shares | 313,111 |
| Sangamo Biosciences Inc. | Common and preferred stock 22,541 shares | 313,094 |

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|-------------------------------------|--|---------|
| Steven Madden Limited | Common and preferred stock 8,496 shares | 310,869 |
| The WhiteWave Foods Company Class A | Common and preferred stock 13,396 shares | 307,304 |
| Team Health Holdings, Inc. | Common and preferred stock 6,742 shares | 307,098 |
| On Assignment Inc. | Common and preferred stock 8,744 shares | 305,340 |
| Employers Holdings Inc. | Common and preferred stock 9,510 shares | 300,992 |
| The Hain Celestial Group Inc. | Common and preferred stock 3,305 shares | 300,028 |
| Synchronoss Technologies Inc. | Common and preferred stock 9,344 shares | 290,318 |
| Encore Capial Group Inc. | Common and preferred stock 5,734 shares | 288,191 |
| NetSuite Inc. | Common and preferred stock 2,790 shares | 287,426 |
| Oasis Petroleum Inc. | Common and preferred stock 5,951 shares | 279,518 |
| Boulder Brands, Inc. | Common and preferred stock 17,331 shares | 274,870 |
| TriMas Corporation | Common and preferred stock 6,870 shares | 274,044 |
| ServiceNow Inc. | Common and preferred stock 4,865 shares | 272,489 |
| Pros Holdings Inc. | Common and preferred stock 6,829 shares | 272,477 |
| IDEX Corporation | Common and preferred stock 3,653 shares | 269,774 |
| Exact Sciences Corporation | Common and preferred stock 23,058 shares | 269,548 |
| Exlservice Holdings Inc. | Common and preferred stock 9,669 shares | 267,058 |
| Marketaxess Holdings, Inc. | Common and preferred stock 3,991 shares | 266,878 |
| WebMD Health Corporation | Common and preferred stock 6,751 shares | 266,665 |
| VCA Antech Inc. | Common and preferred stock 8,462 shares | 265,368 |
| Cempra Inc. | Common and preferred stock 21,317 shares | 264,118 |
| Wex Inc. | Common and preferred stock 2,627 shares | 260,152 |
| Shutterfly Inc. | Common and preferred stock 4,901 shares | 249,608 |
| Angie's List Inc. | Common and preferred stock 16,460 shares | 249,369 |
| Gran Tierra Energy Inc. | Common and preferred stock 33,438 shares | 244,432 |
| Penske Automotive Group Inc. | | 238,111 |

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| | | |
|-----------------------------------|---|---------|
| | Common and preferred stock 5,049 shares | |
| Red Robin Gourmet Burgers Inc. | Common and preferred stock 3,226 shares | 237,240 |
| Tenneco Inc. | Common and preferred stock 4,168 shares | 235,784 |
| Silicon Laboratories Inc. | Common and preferred stock 5,403 shares | 234,004 |
| HFF Inc. | Common and preferred stock 8,709 shares | 233,837 |
| Fleetmatics Group PLC | Common and preferred stock 5,395 shares | 233,334 |
| Kate Spade & Company | Common and preferred stock 7,149 shares | 229,268 |
| Dexcom Inc. | Common and preferred stock 6,250 shares | 221,313 |
| Mattress Firm Holding Corporation | Common and preferred stock 4,989 shares | 214,727 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|--|--|---------------|
| Toro Company | Common and preferred stock 3,358 shares | 213,569 |
| ServiceSource International Inc. | Common and preferred stock 23,858 shares | 199,930 |
| Petsmart Inc. | Common and preferred stock 2,722 shares | 198,026 |
| Gulfport Energy Corporation | Common and preferred stock 3,097 shares | 195,576 |
| Trulia Inc. | Common and preferred stock 5,524 shares | 194,831 |
| Northern Oil & Gas Inc. | Common and preferred stock 12,559 shares | 189,264 |
| Tandem Diabetes Care Inc. | Common and preferred stock 7,224 shares | 186,162 |
| The Advisory Board Company | Common and preferred stock 2,922 shares | 186,044 |
| Factset Research Systems Inc. | Common and preferred stock 1,638 shares | 177,854 |
| Ultra Petroleum Corporation | Common and preferred stock 7,768 shares | 168,177 |
| Commvault Systems Inc. | Common and preferred stock 2,225 shares | 166,608 |
| Higher One Holdings Inc. | Common and preferred stock 16,922 shares | 165,159 |
| Asbury Automotive Group Inc. | Common and preferred stock 2,977 shares | 159,984 |
| Cellular Dynamics International Inc. | Common and preferred stock 9,554 shares | 157,737 |
| Synaptics Inc. | Common and preferred stock 3,029 shares | 156,932 |
| Fusion-io Inc. | Common and preferred stock 17,301 shares | 154,152 |
| Salix Pharmaceuticals Limited | Common and preferred stock 1,589 shares | 142,915 |
| Medivation Inc. | Common and preferred stock 2,150 shares | 137,213 |
| Chemocentryx Inc. | Common and preferred stock 16,704 shares | 96,716 |
| Envestnet Inc. | Common and preferred stock 2,305 shares | 92,892 |
| Zulily Inc. Class A | Common and preferred stock 1,925 shares | 79,753 |
| Esperion Therapeutics Inc. | Common and preferred stock 5,682 shares | 78,071 |
| iShares Russell Mid-Cap Growth ETF | Common and preferred stock 838 shares | 70,710 |
| Total Small-Mid Cap Growth Asset Class | | 73,054,600 |

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| | | |
|--|--|-------------|
| Small-Mid Cap Index Asset Class: | | |
| NT Collective Extended Equity Market Index Fund - Non Lending* | Collective trust fund 1,970,345 units | 264,853,839 |
| Total Small-Mid Cap Index Asset Class | | 264,853,839 |
| Small-Mid Cap Value Asset Class: | | |
| NT Collective Russell 2000 Value Index Fund - Non Lending* | Collective trust fund 91,329 units | 20,664,470 |
| NT Collective Short Term Investment Fund* | Collective trust fund 13,460,692 units | 13,460,692 |
| Willis Group Holdings | Common and preferred stock 140,455 shares | 6,293,785 |
| Microsemi Corporation | Common and preferred stock 195,395 shares | 4,875,105 |
| Elizabeth Arden Inc. | Common and preferred stock 132,210 shares | 4,686,845 |
| Reinsurance Group of America Inc. | Common and preferred stock 58,275 shares | 4,511,068 |
| Clearwater Paper Corporation | Common and preferred stock 85,600 shares | 4,494,000 |
| Arris Group Inc. | Common and preferred stock 183,200 shares | 4,463,668 |
| NRG Energy Inc. | Common and preferred stock 152,000 shares | 4,365,440 |
| Coherent Inc. | Common and preferred stock 57,340 shares | 4,265,523 |
| Forestar Group Inc. | Common and preferred stock 195,835 shares | 4,165,410 |
| Teradyne Inc. | Common and preferred stock 231,500 shares | 4,079,030 |
| Sun Trust Banks Inc. | Common and preferred stock 110,300 shares | 4,060,143 |
| Great Plains Energy Inc. | Common and preferred stock 167,200 shares | 4,052,928 |
| Orkla A/S | Common and preferred stock 503,175 shares | 3,970,051 |
| Cobalt International Energy Inc. | Common and preferred stock 236,900 shares | 3,897,005 |
| International Rectifier Corporation | Common and preferred stock 146,905 shares | 3,829,813 |
| Orbital Sciences Corporation | Common and preferred stock 160,205 shares | 3,732,777 |
| Goodyear Tire & Rubber Company | Common and preferred stock 153,600 shares | 3,663,360 |
| ON Semiconductor Corporation | Common and preferred stock 422,000 shares | 3,477,280 |
| LSI Corporation | Common and preferred stock 314,885 shares | 3,470,033 |
| Axis Capital Holdings Limited | Common and preferred stock 70,505 shares | 3,353,923 |
| Kosmos Energy Limited | | 3,242,200 |

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|---|--|-----------|
| | Common and preferred stock 290,000 shares | |
| Texas Capital Bancshares Inc. | Common and preferred stock 52,100 shares | 3,240,620 |
| CNO Financial Group Inc. | Common and preferred stock 181,700 shares | 3,214,273 |
| White Mountains Insurance Group Limited | Common and preferred stock 5,300 shares | 3,196,324 |
| Public Service Enterprise Group Inc. | Common and preferred stock 99,500 shares | 3,187,980 |
| Treehouse Foods Inc. | Common and preferred stock 45,950 shares | 3,166,874 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|---------------------------------------|---|---------------|
| Molson Coors Brewing Company Class B | Common and preferred stock 56,100 shares | 3,150,015 |
| Valassis Communications Inc. | Common and preferred stock 91,800 shares | 3,144,150 |
| Griffon Corporation | Common and preferred stock 235,690 shares | 3,113,465 |
| Regions Financial Corporation | Common and preferred stock 308,200 shares | 3,048,098 |
| Kohl's Corporation | Common and preferred stock 53,400 shares | 3,030,450 |
| Con-Way Inc. | Common and preferred stock 76,100 shares | 3,021,931 |
| Bruker Corporation | Common and preferred stock 151,725 shares | 2,999,603 |
| Humana Inc. | Common and preferred stock 28,800 shares | 2,972,736 |
| PPL Corporation | Common and preferred stock 96,000 shares | 2,888,640 |
| Western Alliance Bancorporation | Common and preferred stock 118,020 shares | 2,815,957 |
| PHH Corporation | Common and preferred stock 109,500 shares | 2,666,325 |
| BancorpSouth Inc. | Common and preferred stock 102,500 shares | 2,605,550 |
| Accuray Inc. | Common and preferred stock 287,700 shares | 2,505,867 |
| TriMas Corporation | Common and preferred stock 62,275 shares | 2,484,150 |
| Unum Group | Common and preferred stock 69,800 shares | 2,448,584 |
| Woodward Inc. | Common and preferred stock 53,260 shares | 2,429,189 |
| Bio-Rad Laboratories, Inc. Class A | Common and preferred stock 19,300 shares | 2,385,673 |
| Harman International Industries, Inc. | Common and preferred stock 29,095 shares | 2,381,426 |
| Rent-A-Center Inc. | Common and preferred stock 71,300 shares | 2,377,142 |
| Jazz Pharmaceuticals PLC | Common and preferred stock 18,755 shares | 2,373,633 |
| Central Pacific Financial Corporation | Common and preferred stock 116,175 shares | 2,332,794 |
| Euronet Worldwide Inc. | Common and preferred stock 48,130 shares | 2,303,021 |
| JetBlue Airways Corporation | Common and preferred stock 267,700 shares | 2,288,835 |
| Approach Resources Inc. | Common and preferred stock 116,600 shares | 2,249,214 |

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|---|---|-----------|
| Carrizo Oil & Gas Inc. | Common and preferred stock 49,525 shares | 2,217,234 |
| Inter Parfums Inc. | Common and preferred stock 60,080 shares | 2,151,465 |
| Scorpio Tankers Inc. | Common and preferred stock 180,900 shares | 2,132,811 |
| PH Glatfelter Company | Common and preferred stock 76,220 shares | 2,106,721 |
| McDermott International Inc. | Common and preferred stock 229,125 shares | 2,098,785 |
| First Horizon National Corporation | Common and preferred stock 171,701 shares | 2,000,317 |
| Kennedy-Wilson Holdings Inc. | Common and preferred stock 89,500 shares | 1,991,375 |
| Interpublic Group Companies Inc. | Common and preferred stock 112,400 shares | 1,989,480 |
| Libbey Inc. | Common and preferred stock 93,600 shares | 1,965,600 |
| Deltic Timber Corporation | Common and preferred stock 28,430 shares | 1,931,534 |
| Zions Bancorp | Common and preferred stock 64,400 shares | 1,929,424 |
| PartnerRe Limited | Common and preferred stock 17,880 shares | 1,885,088 |
| Bob Evans Farms Inc. | Common and preferred stock 37,010 shares | 1,872,336 |
| Ingram Micro Inc. Class A | Common and preferred stock 79,400 shares | 1,862,724 |
| Geo Group Inc. | Common and preferred stock 57,200 shares | 1,842,984 |
| Meadowbrook Insurance Group Inc. | Common and preferred stock 263,300 shares | 1,832,568 |
| Wesco International Inc. | Common and preferred stock 19,960 shares | 1,817,757 |
| Albany International Corporation Class A | Common and preferred stock 50,515 shares | 1,815,004 |
| American Equity Investment Life Holding Company | Common and preferred stock 68,300 shares | 1,801,754 |
| Great Lakes Dredge & Dock Corporation | Common and preferred stock 189,000 shares | 1,738,800 |
| MDC Partners Inc. Class A | Common and preferred stock 67,800 shares | 1,729,578 |
| LSB Industries Inc. | Common and preferred stock 42,000 shares | 1,722,840 |
| Avnet Inc. | Common and preferred stock 38,500 shares | 1,698,235 |
| MKS Instruments | Common and preferred stock 56,045 shares | 1,677,987 |
| Inverness Medical Innovations Inc. | Common and preferred stock 46,300 shares | 1,676,060 |
| CDW Corporation | | 1,667,904 |

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|-----------------------------|---|-----------|
| | Common and preferred stock 71,400 shares | |
| WSFS Financial Corporation | Common and preferred stock 21,500 shares | 1,666,895 |
| Ultratech Inc. | Common and preferred stock 56,700 shares | 1,644,300 |
| Stanley Black & Decker Inc. | Common and preferred stock 20,100 shares | 1,621,869 |
| Quest Diagnostics Inc. | Common and preferred stock 30,200 shares | 1,616,908 |
| Schawk, Inc. Class A | Common and preferred stock 105,748 shares | 1,572,473 |
| Titan Machinery Inc. | Common and preferred stock 87,800 shares | 1,564,596 |
| Lear Corporation | Common and preferred stock 19,200 shares | 1,554,624 |
| Maiden Holdings Limited | Common and preferred stock 138,000 shares | 1,508,340 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|--|---|---------------|
| Nuverra Environmental Solutions Inc. | Common and preferred stock 85,100 shares | 1,428,829 |
| FBR & Co. formerly FBR Capital Markets Corporation | Common and preferred stock 53,386 shares | 1,408,323 |
| Ocwen Financial Corporation | Common and preferred stock 25,200 shares | 1,397,340 |
| Saba Software, Inc. | Common and preferred stock 114,000 shares | 1,396,500 |
| Keycorp | Common and preferred stock 103,000 shares | 1,382,260 |
| Chiquita Brands International Inc. | Common and preferred stock 115,400 shares | 1,350,180 |
| Nelnet Inc. Class A | Common and preferred stock 31,900 shares | 1,344,266 |
| Computer Task Group Inc. | Common and preferred stock 69,900 shares | 1,321,110 |
| Louisiana-Pacific Corporation | Common and preferred stock 70,775 shares | 1,310,045 |
| Astronics Corporation | Common and preferred stock 25,451 shares | 1,298,001 |
| Employers Holdings Inc. | Common and preferred stock 40,997 shares | 1,297,555 |
| Ciber Inc. | Common and preferred stock 311,700 shares | 1,290,438 |
| K12 Inc. | Common and preferred stock 58,900 shares | 1,281,075 |
| Exar Corporation | Common and preferred stock 108,200 shares | 1,275,678 |
| Northfield Bancorp Inc. | Common and preferred stock 93,817 shares | 1,238,384 |
| Walter Investment Managment Corporation | Common and preferred stock 34,900 shares | 1,234,064 |
| Felcor Lodging Trust Inc. | Common and preferred stock 149,900 shares | 1,223,184 |
| PrivateBancorp, Inc. | Common and preferred stock 42,240 shares | 1,222,003 |
| EnerSys Inc | Common and preferred stock 17,175 shares | 1,203,796 |
| Viewpoint Financial Group Inc. | Common and preferred stock 43,500 shares | 1,194,075 |
| Scorpio Bulkera Inc. | Common and preferred stock 117,700 shares | 1,182,885 |
| United Community Bank Blairsville Georgia | Common and preferred stock 66,504 shares | 1,180,446 |
| Northern Trust Corporation* | Common and preferred stock 18,900 shares | 1,169,721 |
| Avery Dennison Corporation | Common and preferred stock 23,100 shares | 1,159,389 |

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|-----------------------------------|---|-----------|
| Texas Roadhouse Inc. | Common and preferred stock 41,500 shares | 1,153,700 |
| Digi International Inc. | Common and preferred stock 93,700 shares | 1,135,644 |
| Embraer SA | Common and preferred stock 34,900 shares | 1,123,082 |
| Bunge Limited | Common and preferred stock 13,500 shares | 1,108,485 |
| Universal Corporation | Common and preferred stock 20,300 shares | 1,108,380 |
| Tutor Perini Corporation | Common and preferred stock 42,000 shares | 1,104,600 |
| The Western Union Company | Common and preferred stock 63,600 shares | 1,097,100 |
| CA Inc. | Common and preferred stock 32,400 shares | 1,090,260 |
| Marvell Technology Group Limited | Common and preferred stock 74,000 shares | 1,064,120 |
| MYR Group Inc. | Common and preferred stock 42,400 shares | 1,063,392 |
| PRGX Global Inc. | Common and preferred stock 156,300 shares | 1,050,336 |
| UIL Corporation | Common and preferred stock 27,000 shares | 1,046,250 |
| Plantronics Inc. | Common and preferred stock 22,500 shares | 1,045,125 |
| PMC-Sierra, Inc. | Common and preferred stock 158,935 shares | 1,021,952 |
| Home Loan Servicing Solutions | Common and preferred stock 44,100 shares | 1,012,977 |
| Fidelity and Guaranty Life | Common and preferred stock 51,400 shares | 973,516 |
| Starwood Property Trust Inc. | Common and preferred stock 35,100 shares | 972,270 |
| Navigator Holdings Limited | Common and preferred stock 35,600 shares | 959,064 |
| Avis Budget Group Inc. | Common and preferred stock 23,600 shares | 953,912 |
| Lexington Realty Trust | Common and preferred stock 93,400 shares | 953,614 |
| Mack-Cali Realty Corporation REIT | Common and preferred stock 44,100 shares | 947,268 |
| Denbury Resources Inc. | Common and preferred stock 57,430 shares | 943,575 |
| Global Power Equipment Group Inc. | Common and preferred stock 47,800 shares | 935,446 |
| Piedmont Natural Gas Inc. | Common and preferred stock 27,800 shares | 921,848 |
| Stealthgas Inc. | Common and preferred stock 88,400 shares | 900,796 |
| Aegion Corporation | | 899,679 |

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|--|---|---------|
| | Common and preferred stock 41,100 shares | |
| Air Transport Services Group Inc. | Common and preferred stock 110,500 shares | 893,945 |
| Symmetry Medical, Inc. | Common and preferred stock 88,510 shares | 892,181 |
| Symantec Corporation | Common and preferred stock 37,800 shares | 891,324 |
| First Midwest Bancorp Inc. | Common and preferred stock 50,700 shares | 888,771 |
| Fresh Del Monte Produce Inc. | Common and preferred stock 30,400 shares | 860,320 |
| Graftech International Limited | Common and preferred stock 75,200 shares | 844,496 |
| Winthrop Realty Trust Common Shares of Beneficial Interest | Common and preferred stock 76,100 shares | 840,905 |
| Alleghany Corporation | Common and preferred stock 2,100 shares | 839,916 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|--|---|---------------|
| ACCO Brands Corporation | Common and preferred stock 124,000 shares | 833,280 |
| Aegean Marine Petroleum Network Inc. | Common and preferred stock 73,500 shares | 824,670 |
| Matthews International Corporation Class A | Common and preferred stock 19,000 shares | 809,590 |
| H & R Block Inc. | Common and preferred stock 27,800 shares | 807,312 |
| Validus Holding Limited | Common and preferred stock 20,000 shares | 805,800 |
| Brinks Company | Common and preferred stock 23,500 shares | 802,290 |
| FTI Consulting Inc. | Common and preferred stock 19,500 shares | 802,230 |
| JetBlue Airways Corporation | Common and preferred stock 92,500 shares | 790,875 |
| WellCare Health Plans Inc. | Common and preferred stock 11,200 shares | 788,704 |
| Crocs Inc. | Common and preferred stock 48,500 shares | 772,120 |
| Orion Marine Group, Inc. | Common and preferred stock 63,500 shares | 763,905 |
| EPL Oil & Gas, Inc. | Common and preferred stock 26,600 shares | 758,100 |
| CYS Investments Inc. | Common and preferred stock 97,000 shares | 718,770 |
| The Hanover Insurance Group Inc. | Common and preferred stock 12,000 shares | 716,520 |
| Cadiz, Inc. | Common and preferred stock 102,600 shares | 714,096 |
| Diodes Inc. | Common and preferred stock 29,800 shares | 702,088 |
| Big Lots Inc. | Common and preferred stock 21,600 shares | 697,464 |
| Great Plains Energy Inc. | Common and preferred stock 28,200 shares | 683,568 |
| ICF International, Inc. | Common and preferred stock 19,600 shares | 680,316 |
| Hormel Foods Corporation | Common and preferred stock 14,710 shares | 664,451 |
| KAR Auction Services Inc. | Common and preferred stock 22,150 shares | 654,533 |
| MRC Global Inc. | Common and preferred stock 19,700 shares | 635,522 |
| McDermott International Inc. | Common and preferred stock 68,900 shares | 631,124 |
| Empire District Electronic Company | Common and preferred stock 27,800 shares | 630,782 |

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| | | |
|--|---|---------|
| American Capital Mortgage Investment Corporation | Common and preferred stock 35,800 shares | 625,068 |
| Portland General Electric Company | Common and preferred stock 20,300 shares | 613,060 |
| Comerica Inc. | Common and preferred stock 12,800 shares | 608,512 |
| Precision Drilling Corporation | Common and preferred stock 64,600 shares | 605,302 |
| THL Credit Inc. | Common and preferred stock 36,200 shares | 596,938 |
| Natus Medical Inc. | Common and preferred stock 26,500 shares | 596,250 |
| Western Refining Inc. | Common and preferred stock 14,000 shares | 593,740 |
| Reliance Steel & Aluminum Company | Common and preferred stock 7,825 shares | 593,448 |
| News Corporation Class A | Common and preferred stock 32,900 shares | 592,858 |
| Aspen Insurance Holdings Limited | Common and preferred stock 14,300 shares | 590,733 |
| Huntington Ingalls Industries, Inc. | Common and preferred stock 6,400 shares | 576,064 |
| Destination XL Group Inc. | Common and preferred stock 87,100 shares | 572,247 |
| Cairn Energy PLC | Common and preferred stock 62,100 shares | 561,384 |
| Campus Crest Communities Inc. | Common and preferred stock 58,500 shares | 550,485 |
| W&T Offshore Inc. | Common and preferred stock 34,400 shares | 550,400 |
| Navistar International Corporation | Common and preferred stock 14,300 shares | 546,117 |
| Staples Inc. | Common and preferred stock 34,300 shares | 545,027 |
| Amdocs Limited | Common and preferred stock 13,200 shares | 544,368 |
| Northwestern Corporation | Common and preferred stock 11,800 shares | 511,176 |
| Two Harbors Investment Corporation | Common and preferred stock 54,900 shares | 509,472 |
| Cloud Peak Energy Inc. | Common and preferred stock 27,400 shares | 493,200 |
| Government Properties Income Trust | Common and preferred stock 19,400 shares | 482,090 |
| Flow International Corporation | Common and preferred stock 119,100 shares | 481,164 |
| Customers Bancorp Inc. | Common and preferred stock 22,200 shares | 454,212 |
| Middleby Corporation | Common and preferred stock 1,740 shares | 417,548 |
| Medical Action Industries Inc. | | 406,600 |

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| | | |
|----------------------------------|--|---------|
| | Common and preferred stock 47,500 shares | |
| Omniamerican Bancorp, Inc. | Common and preferred stock 19,000 shares | 406,220 |
| Cross Country Healthcare Inc. | Common and preferred stock 39,400 shares | 393,212 |
| Bravo Brio Restaurant Group Inc. | Common and preferred stock 22,600 shares | 367,702 |
| Highwoods Properties Inc. | Common and preferred stock 10,100 shares | 365,317 |
| Wabash National Corporation | Common and preferred stock 28,600 shares | 353,210 |
| Ritchie Bros. Auctioneers Inc. | Common and preferred stock 14,700 shares | 337,071 |
| CST Brands Inc. | Common and preferred stock 8,775 shares | 322,218 |
| LGI Homes Inc. | Common and preferred stock 17,700 shares | 314,883 |

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Schedule H, line 4i – Schedule of Assets (Held at End of Year) (continued)

| Identity of Issue | Description of Investment | Current Value |
|---|--|-----------------|
| Landec Corporation | Common and preferred stock 25,500 shares | 309,060 |
| NovaGold Resources Inc. | Common and preferred stock 121,400 shares | 308,356 |
| Rand Logistics Inc. | Common and preferred stock 53,070 shares | 306,214 |
| Aeropostale, Inc. | Common and preferred stock 33,100 shares | 300,879 |
| Evolution Petroleum Corporation | Common and preferred stock 24,100 shares | 297,394 |
| Kulicke and Soffa Industries Inc. | Common and preferred stock 21,900 shares | 291,270 |
| Triangle Petroleum Corporation | Common and preferred stock 34,100 shares | 283,712 |
| Comverse Inc. | Common and preferred stock 7,160 shares | 277,808 |
| XL Group PLC | Common and preferred stock 8,600 shares | 273,824 |
| The Jones Group, Inc. | Common and preferred stock 17,300 shares | 258,808 |
| GSE Holding Inc. | Common and preferred stock 118,300 shares | 244,881 |
| Ignite Restaurant Group Inc. | Common and preferred stock 14,600 shares | 182,500 |
| FreightCar America Inc. | Common and preferred stock 6,500 shares | 173,030 |
| Gramercy Property Trust Inc. | Common and preferred stock 14,300 shares | 82,225 |
| Total Small-Mid Cap Value Asset Class | | 365,686,601 |
| Notes Receivable from Participants* | Interest Rate 4.25% - 10.74% | 43,082,571 |
| Other: | | |
| BIF Money Fund* | Cash and cash equivalent 248,835 units | 248,835 |
| NT Collective Short Term Investment Fund* | Collective trust fund 190,897 units | 190,897 |
| Total Other | | 439,732 |
| | | \$3,927,322,382 |

* Indicates
party-in-interest

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Supplemental Schedule
 Amgen Retirement and Savings Plan
 EIN: 95-3540776 Plan: #001
 Year Ended December 31, 2013

Schedule H, line 4i – Schedule of Assets (Acquired and Disposed of Within Year)

| Identity of Issue | Description of Investment | Proceeds of Dispositions |
|--|-----------------------------|--------------------------|
| Arrow Electronics Inc. 7.5% Due 01/15/2027 | Corporate Bond 35,000 units | \$127,119 |
| ADT Corporation 4.875% Due 07/15/2043 | Corporate Bond 50,000 units | 51,463 |
| BioScrip Inc. Loan B Floating Rate Due 06/05/2020 | Corporate Bond 15,625 units | 50,969 |
| Weatherford International 4.5% Due 04/15/2022 | Corporate Bond 70,000 units | 50,966 |
| Total Capital Canada Limited 2.75% Due 07/15/2023 | Corporate Bond 21,000 units | 50,962 |
| Time Warner Cable 4% Due 09/01/2021 | Corporate Bond 20,000 units | 50,961 |
| Time Warner Cable 4.125% Due 02/15/2021 | Corporate Bond 40,000 units | 50,960 |
| Thomson Reuters Corporation 3.95% Due 09/30/2021 | Corporate Bond 75,000 units | 50,959 |
| Talisman Energy Inc. 6.25% Due 02/01/2038 | Corporate Bond 30,000 units | 50,958 |
| Talisman Energy Inc. 5.85% Due 02/01/2037 | Corporate Bond 55,000 units | 50,957 |
| Syncreon Global 9.5% Due 05/01/2018 | Corporate Bond 45,000 units | 50,956 |
| Suntrust Banks Inc. 3.5% Due 01/20/2017 | Corporate Bond 25,000 units | 50,955 |
| State Street Corporation 3.1% Due 05/15/2023 | Corporate Bond 57,000 units | 50,954 |
| Standard Pacific 6.25% Due 12/15/2021 | Corporate Bond 22,000 units | 50,953 |
| Sprint Nextel Corporation 6% Due 11/15/2022 | Corporate Bond 35,000 units | 50,952 |
| Private Expt Funding Corporation 2.05% Due 11/15/2022 | Corporate Bond 47,500 units | 50,950 |
| Pfizer Inc. 3% Due 06/15/2023 | Corporate Bond 55,000 units | 50,949 |
| National Money Mart Company 10.375% Due 12/15/2016 | Corporate Bond 80,000 units | 50,948 |
| Merck & Co Inc. 2.8% Due 05/18/2023 | Corporate Bond 72,000 units | 50,947 |
| Lockheed Martin 3.35% Due 09/15/2021 | Corporate Bond 65,000 units | 50,946 |
| Lantheus Medical Imaging, Inc. 9.75% Due 5/15/2017 | Corporate Bond 10,000 units | 50,944 |
| Indiana Michigan Power 3.2% Due 03/15/2023 | Corporate Bond 65,000 units | 50,942 |
| Hess Corporation 8.125% Due 02/15/2019 Reg | Corporate Bond 60,000 units | 50,941 |
| HCA Holdings Inc. 7.75% Due 05/15/2021 | Corporate Bond 80,000 units | 50,940 |
| Entergy Arkansas, Inc. 3.05% Due 06/01/2023 | Corporate Bond 45,000 units | 50,939 |
| Energy Future Intermediate Holding Company LLC 10% Due 12/01/2020 | Corporate Bond 95,000 units | 50,938 |
| eBay Inc. 4% Due 07/15/2042 | Corporate Bond 95,000 units | 50,937 |
| DISH DBS Corporation 4.25% Due 04/01/2018 | Corporate Bond 11,000 units | 50,936 |
| Directv Holdings LLC 1.75% Due 01/15/2018 | Corporate Bond 50,000 units | 50,935 |
| Citigroup Inc. 3.375% Due 03/01/2023 | Corporate Bond 73,000 units | 50,934 |
| Chevron Corporation 2.427% Due 06/24/2020 | Corporate Bond 32,000 units | 50,933 |
| Celgene Corporation 3.25% Due 08/15/2022 | Corporate Bond 60,000 units | 50,932 |
| CVS Caremark Corporation 2.75% Due 12/01/2022 | Corporate Bond 75,000 units | 50,931 |
| CCO Holdings LLC 5.125% Due 02/15/2023 | Corporate Bond 80,000 units | 50,930 |
| Berkshire Hathaway 4.3% Due 05/15/2043 | Corporate Bond 48,000 units | 50,929 |
| BP Capital Markets plc 2.75% Due 05/10/2023 | Corporate Bond 85,000 units | 50,928 |
| ADT Corporation 4.875% Due 07/15/2042 | Corporate Bond 50,000 units | 49,981 |
| Allstate Corporation 3.15% Due 06/15/2023 | Corporate Bond 67,000 units | 18,240 |

Supplemental Schedule
 Amgen Retirement and Savings Plan
 EIN: 95-3540776 Plan Number: #001
 December 31, 2013

Schedule G, Part 1 – Schedule of Loans or Fixed Income Obligations in Default
 or Classified as Uncollectible

| Identity of obligor | Original amount of loan (cost of security) | Unpaid balance at end of year (fair value of security) | Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation and other material items (description of fixed income obligation) | Amount of principal overdue | Amount of interest overdue |
|---------------------|--|--|--|-----------------------------|----------------------------|
| Kaupthing Bank | \$259,613 | \$75,175 | Corporate bonds 310,000 units 7.625% due 12/31/2040 | \$— | \$118,188 |
| Glitnir Banki HF | 99,776 | 29,250 | Corporate bonds 100,000 units 6.375% due 9/25/2012 | 100,000 | 31,875 |
| Exide Technologies | 160,650 | 193,050 | Corporate Bonds 270,000 units 8.625% due 2/1/2018 | — | 11,643 |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the trustees (or other persons who administer the employee benefit plan) have duly caused this annual report to be signed on its behalf by the undersigned hereunto duly authorized.

Amgen Retirement and Savings Plan
(Name of Plan)

Date: June 11, 2014

By: /s/ MICHAEL A. KELLY
Michael A. Kelly
Acting Chief Financial Officer
Amgen Inc.

AMGEN RETIREMENT AND SAVINGS PLAN
INDEX TO EXHIBIT

Consent of Independent Registered Public Accounting Firm

Exhibit 23.1

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