

NEWPARK RESOURCES INC
Form 4
May 17, 2017

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Howes Paul L

2. Issuer Name and Ticker or Trading Symbol
NEWPARK RESOURCES INC
[NR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
9320 LAKESIDE
BOULEVARD, SUITE 100

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
05/15/2017

Director 10% Owner
 Officer (give title below) Other (specify below)
President and CEO

THE WOODLANDS, TX 77381

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	05/15/2017		S		61,140 (1)	D	
					\$ 7.9377 (2)		
Common Stock	05/15/2017		M		47,909	A	
					\$ 7.82		
Common Stock	05/15/2017		S		47,909 (3)	D	
					\$ 8.0107 (4)		
Common Stock	05/16/2017		M		32,091	A	
					\$ 7.82		

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Common Stock	05/16/2017		S	32,091 (3)	D	\$ 7.9035 (5)	502,625	D	
Common Stock							25,000	I	by Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. Amount or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 7.82	05/15/2017		M	47,909	(6) 06/11/2017	Common Stock	47,909
Non-Qualified Stock Option (right to buy)	\$ 7.82	05/16/2017		M	32,091	(6) 06/11/2017	Common Stock	32,091

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Howes Paul L 9320 LAKESIDE BOULEVARD SUITE 100 THE WOODLANDS, TX 77381	X		President and CEO	

Signatures

By: Jennifer F. Wilson For: Paul L. Howes 05/16/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The securities were sold pursuant to a Rule 10b5-1 Plan adopted by the Reporting Person.
- (2) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$7.85 to \$8.15, inclusive.
- (3) The securities were sold pursuant to a Rule 10b5-1 Plan adopted by the Reporting Person in order to exercise an option grant which is expiring on June 11, 2017.
- (4) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$7.90 to \$8.15, inclusive.
- (5) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$7.90 to \$7.905, inclusive.
- (6) The options become exercisable in increments of one-third of the shares each year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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