

DUKES LAURA ALLISON

Form 3

April 02, 2018

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â DUKES LAURA ALLISON

(Last) (First) (Middle)

303 PEACHTREE STREET, NE

(Street)

ATLANTA,Â GAÂ 30308

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

03/31/2018

3. Issuer Name **and** Ticker or Trading Symbol
SUNTRUST BANKS INC [STI]4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Chief Financial Officer5. If Amendment, Date Original
Filed(Month/Day/Year)6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

21,056.83

D Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative
Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)Title Amount or
Number of4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Options ⁽¹⁾	02/26/2016	02/26/2023	Common Stock	5,061	\$ 27.41	D	Â
Phantom Stock ⁽²⁾	02/09/2019	02/09/2019	Common Stock	2,271.765	\$ ⁽²⁾	D	Â
Phantom Stock ⁽²⁾	02/14/2019	02/14/2019	Common Stock	1,482.504	\$ ⁽²⁾	D	Â
Phantom Stock ⁽²⁾	02/14/2020	02/14/2020	Common Stock	1,482.504	\$ ⁽²⁾	D	Â
Phantom Stock ⁽²⁾	02/13/2019	02/13/2019	Common Stock	1,840.333	\$ ⁽²⁾	D	Â
Phantom Stock ⁽²⁾	02/13/2020	02/13/2020	Common Stock	1,840.333	\$ ⁽²⁾	D	Â
Phantom Stock ⁽²⁾	02/13/2021	02/13/2021	Common Stock	1,840.333	\$ ⁽²⁾	D	Â
Phantom Stock ⁽²⁾	08/09/2019	08/09/2019	Common Stock	24,273.897	\$ ⁽²⁾	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DUKES LAURA ALLISON 303 PEACHTREE STREET, NE ATLANTA, GA 30308	Â	Â	Â Chief Financial Officer	Â

Signatures

Curt Phillips, Attorney-in-Fact for Allison
Dukes 04/02/2018

 **Signature of Reporting Person

____ Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Granted pursuant to the SunTrust Banks, Inc. 2009 Stock Plan.

Represents time-vested restricted stock units granted pursuant to the SunTrust Banks, Inc. 2009 Stock Plan. The Plan is exempt under

(2) Rule 16b-3. Units will be settled in shares of common stock. The award agreements contain tax withholding features that allow us to withhold units to satisfy tax withholding obligations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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