

EMC CORP
Form 4
September 08, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Scannell William F

(Last) (First) (Middle)

EMC CORPORATION, 176 SOUTH STREET

(Street)

HOPKINTON, MA 01748

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
EMC CORP [EMC]

3. Date of Earliest Transaction (Month/Day/Year)
09/06/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
President, Global Sales/Cust Op

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	09/06/2016		M		5,600 ⁽¹⁾	A	\$ 15.18
Common Stock	09/06/2016		F		4,200 ⁽²⁾	D	\$ 28.77
Common Stock	09/06/2016		M		5,200 ⁽¹⁾	A	\$ 15.31
Common Stock	09/06/2016		F		3,912 ⁽²⁾	D	\$ 28.77
Common Stock	09/06/2016		M		9,750 ⁽¹⁾	A	\$ 15.31

Edgar Filing: EMC CORP - Form 4

Common Stock	09/06/2016	F	7,335 <u>(2)</u>	D	\$ 28.77	525,041	D
Common Stock	09/06/2016	M	8,804 <u>(1)</u>	A	\$ 20.47	533,845	D
Common Stock	09/06/2016	F	7,460 <u>(2)</u>	D	\$ 28.77	526,385	D
Common Stock	09/06/2016	M	16,506 <u>(1)</u>	A	\$ 20.47	542,891	D
Common Stock	09/06/2016	F	13,985 <u>(2)</u>	D	\$ 28.77	528,906	D
Common Stock	09/06/2016	M	20,539 <u>(1)</u>	A	\$ 25.04	549,445	D
Common Stock	09/06/2016	F	19,130 <u>(2)</u>	D	\$ 28.77	530,315	D
Common Stock	09/06/2016	M	29,525 <u>(1)</u>	A	\$ 25.04	559,840	D
Common Stock	09/06/2016	F	27,499 <u>(2)</u>	D	\$ 28.77	532,341	D
Common Stock	09/06/2016	M	28,598 <u>(1)</u>	A	\$ 26.8	560,939	D
Common Stock	09/06/2016	F	27,562 <u>(2)</u>	D	\$ 28.77	533,377	D
Common Stock	09/06/2016	M	21,710 <u>(1)</u>	A	\$ 26.8	555,087	D
Common Stock	09/06/2016	F	20,923 <u>(2)</u>	D	\$ 28.77	534,164	D
Common Stock	09/06/2016	D	193,659	D	\$ 0 <u>(3)</u>	340,505	D
Common Stock	09/06/2016	F	91,248 <u>(4)</u>	D	\$ 0	249,257	D
Common Stock	09/07/2016	D	249,257	D	\$ 0 <u>(5)</u>	0	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: EMC CORP - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option Right To Buy	\$ 15.18	09/06/2016		M	5,600	08/20/2009 ⁽⁶⁾	08/20/2018	Common Stock	5,600
Stock Option Right To Buy	\$ 15.31	09/06/2016		M	5,200	08/04/2010 ⁽⁶⁾	08/04/2019	Common Stock	5,200
Stock Option Right To Buy	\$ 15.31	09/06/2016		M	9,750	08/04/2010 ⁽⁶⁾	08/04/2019	Common Stock	9,750
Stock Option Right To Buy	\$ 20.47	09/06/2016		M	8,804	08/03/2011 ⁽⁶⁾	08/03/2020	Common Stock	8,804
Stock Option Right To Buy	\$ 20.47	09/06/2016		M	16,506	08/03/2011 ⁽⁶⁾	08/03/2020	Common Stock	16,506
Stock Option Right To Buy	\$ 25.04	09/06/2016		M	20,539	08/03/2012 ⁽⁶⁾	08/03/2021	Common Stock	20,539
Stock Option Right To Buy	\$ 25.04	09/06/2016		M	29,525	08/03/2012 ⁽⁶⁾	08/03/2021	Common Stock	29,525
Stock Option Right To Buy	\$ 26.8	09/06/2016		M	28,598	08/08/2013 ⁽⁶⁾⁽⁷⁾	08/08/2022	Common Stock	28,598
Stock Option Right To Buy	\$ 26.8	09/06/2016		M	21,710	08/08/2013 ⁽⁶⁾⁽⁸⁾	08/08/2022	Common Stock	21,710

