Edgar Filing: Macy's, Inc. - Form 4

Macy's, Inc.

| Form 4 | | | | | | | | | | | |
|--|---|---|---|---|-----------|--|---|---|---------------------|----------|--|
| March 30, 20 |)17 | | | | | | | | | | |
| FORM / | | | | | | | | | OMB APPROVAL | | |
| Washington, D.C. 20549 | | | | | | | OMB Number: | 3235-0287 | | | |
| Check the if no long | | | | | | | | Expires: | January 31, 2005 | | |
| subject to Section 1 Form 4 o Form 5 | | F CHANGES IN BENEFICIAL OWNERSHIP SECURITIES | | | | | | Estimated a burden hou response | verage | | |
| obligation may cont | obligations may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | |
| (Print or Type I | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Williams Felicia | | | 2. Issuer Name and Ticker or Trading Symbol | | | | ng | 5. Relationship of Reporting Person(s) to Issuer | | | |
| a 3 | | | | Macy's, Inc. [M] | | | | (Check all applicable) | | | |
| (Last) (First) (Middle) | | | 3. Date of Earliest Transaction (Month/Day/Year) | | | | | Director 10% Owner | | | |
| C/O MACY'S, INC., 7 WEST SEVENTH STREET | | | 03/28/2017 | | | | | X Officer (give title Other (specify below) below) EVP,Controller/Enterprise Risk | | | |
| | | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | | | |
| CINCINNA | | | | | | | _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| (City) | (State) (| (Zip) | Table I - | Non-D | erivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution D any (Month/Day. | med 3. 4. Securities Acquired n Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of | | | |
| Common | | | Co | de V | Amount | (D) | Price | (Instr. 3 and 4) | | | |
| Stock | 03/28/2017 | | N | 1 | 1,697 | А | <u>(1)</u> | 1,697 | D | | |
| Common Stock | 03/29/2017 | | S | 5 | 1,697 | D | \$ 28.55 | 0 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | Transaction Derivative Code Securities | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---------------------------------------|---|---------------------|--|-----------------|---|----|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | (1) | 03/28/2017 | | М | 1,697 | (2) | (2) | Common Stock | 1,697 | \$ |

Reporting Owners

| Reporting Owner Name / Address | | | Relationships | |
|---|----------|-----------|-----------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Williams Felicia C/O MACY'S, INC. 7 WEST SEVENTH STREET CINCINNATI, OH 45202 | | | EVP,Controller/Enterprise Risk | |
| Signatures | | | | |

/s/ Ann Munson Steines, as attorney-in-fact for Felicia Williams pursuant to a Power of Attorney 03/30/2017

<u>**</u>Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) One-for-one conversion.

(2) The reporting person was granted 1,697 restricted stock units on March 28, 2014, vesting was on March 28, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date