Edgar Filing: CARROLS RESTAURANT GROUP, INC. - Form 3

CARROLS RESTAURANT GROUP, INC. Form 3 November 19, 2013 UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

2 Data of Event Requirin

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1 Name and Address of Paparting

1. Name and Address of Reporting Person <u>*</u> Garcia Manuel A			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol CARROLS RESTAURANT GROUP, INC. [TAST]				
(Last) (First) (Middle) C/O CARROLS RESTAURANT GROUP, INC., 968 JAMES			11/15/2013	Person(s) to I	ip of Reporting ssuer	Filed(Month/Day/Year)		
STREET SYRACUSI	(Street) E, NY I	13203		X Directo Officer (give title below	r 10% Othe w) (specify bel	of marriadar of sonia Group		
(City)	(State)	(Zip)	Table I - N	Non-Derivat	tive Securit	ties Beneficially Owned		
1.Title of Secu (Instr. 4)	rity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Reminder: Rep owned directly	or indirectly. Perso inforr requi	ons who res nation conta red to respo	ach class of securities benefic pond to the collection of ained in this form are not ond unless the form displ MB control number.	t S	SEC 1473 (7-02	2)		
7	able II - De	rivativa Saau	ritias Ranaficially Owned (a	a nute colle	warrants on	ations convortible securities)		

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

OMB Number:	3235-0104					
Expires:	January 31, 2005					
Estimated average burden hours per response 0.5						

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			(Instr. 4)	,	Price of	Derivative	
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D)	

Shares

or Indirect (I) (Instr. 5)

Reporting Owners

Reporting Owner Name / Address			Relationships				
	Director	10% Owner	Officer	Other			
Garcia Manuel A C/O CARROLS REST 968 JAMES STREET SYRACUSE, NY 1	ÂX	Â	Â	Â			
Signatures							
/s/ Manuel A Garcia III	11/19/2013						
<u>**</u> Signature of Reporting Person	Date						

Explanation of Responses:

No securities are beneficially owned

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.