Auld David V Form 4 November 07, 2018

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Auld David V			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
			HORTON D R INC /DE/ [DHI]			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction			
1341 HORTON CIRCLE			(Month/Day/Year) 11/06/2018	Director 10% Owner _X Officer (give title Other (specify below)  President and CEO		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
ARLINGTON, TX 76011			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reportin Person		
(City)	(State)	(Zip)		. 15. 16 b 6.110		

(City)	(State)	Tabl	e I - Non-D	erivative Se	ecuritio	es Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securitie	es Acqu	uired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	on(A) or Disp	osed o	of (D)	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(Instr. 3, 4	and 5)		Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
					(4)		Reported		
					(A)		Transaction(s)		
			Code V	A	or (D)	Price	(Instr. 3 and 4)		
			Code V	Amount	(D)				
Common	11/06/2018		M	106,250	Α	\$ 0	339,181	D	
Stock	11/00/2010		141	(1)	<i>1</i> <b>1</b>	(2)	337,101	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Auld David V - Form 4

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Ni	umber of	6. Date Exerc	cisable and	/. Title and A	Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDeri	vative	Expiration D	ate	Underlying S	Securities
Security	or Exercise		any	Code	Secu	rities	(Month/Day/	Year)	(Instr. 3 and	4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acqu	uired (A) or				
	Derivative				Disp	osed of (D)				
	Security				(Inst	r. 3, 4, and				
					5)					
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Restricted Stock Unit	(2)	11/06/2018		M		106,250	(2)	11/06/2018	Common Stock	106,25

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
1	Director 109		Officer	Other				
Auld David V								
1341 HORTON CIRCLE			President and CEO					
ADLINGTON TY 76011								

## **Signatures**

/s/ David V.
Auld

\*\*Signature of Pate Reporting Person

11/07/2018

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents settlement in common stock of performance restricted stock units awarded November 4, 2015.
- (2) Each restricted stock unit represents a contingent right to receive one share of DHI common stock upon vesting and satisfaction of certain performance goals.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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