

Edgar Filing: HENNESSY ADVISORS INC - Form 8-K

HENNESSY ADVISORS INC

Form 8-K

October 14, 2003

SECURITIES AND EXCHANGE COMMISSION

UNITED STATES

Washington, DC 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported) October 14, 2003

HENNESSY ADVISORS, INC.

(Exact name of registrant as specified in its charter)

California	000-49872	68-0176227
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(State or other jurisdiction of incorporation)	Commission File Number)	(IRS Employer Identification No.)

750 Grant Avenue, Suite 100	94945
Novato, California	-----
(Address of principal executive offices)	(Zip Code)

Registrant's telephone number including area code: (415) 899-1555

Not Applicable  
(Former name or former address, if changed since last report)

Item 5. Other Events and Regulation FD Disclosure

On October 14, 2003, the Company announced that it has signed a definitive agreement with Lindner Asset Management, Inc., based in Deerfield, Illinois, for Hennessy to purchase assets related to the investment advisory agreements for five Lindner Funds. A copy of the announcement is attached as Exhibit 99.

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Item 7. Financial Statements and Exhibits

(c) Exhibits

99 Press Release

2

Pursuant to the requirements of the Securities and Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

HENNESSY ADVISORS, INC.  
(registrant)

October 14, 2003

By: /s/ Neil J. Hennessy

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Neil J. Hennessy  
President

3