#### Edgar Filing: Barbara Bradley Baekgaard Family Foundation - Form 4

Barbara Bradley Baekgaard Family Foundation Form 4

October 26, 2017

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16. Form 4 or Form 5 obligations

may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Barbara Bradley Baekgaard Family Foundation

> (First) (Middle)

2. Issuer Name and Ticker or Trading Symbol

Vera Bradley, Inc. [VRA] 3. Date of Earliest Transaction

(Month/Day/Year)

C/O VERA BRADLEY, INC., 12420 09/26/2017 STONEBRIDGE ROAD

(Ctota)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

Member of a 10% owner group

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

ROANOKE, IN 46783

(City)	(State)	Zip) Table	e I - No	n-De	erivative S	ecurit	ies Acq	uired, Disposed	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired n(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	09/26/2017		G	V	50,000	A	\$0	96,838	D	
Common Stock	09/26/2017		G	V	50,000	A	\$0	146,838	D	
Common Stock	09/26/2017		G	V	50,000	A	\$0	196,838	D	
Common Stock	09/26/2017		G	V	50,000	A	\$0	246,838	D	
Common Stock	10/02/2017		G	V	23,960	D	\$0	222,878	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration Date		Amou	int of	Derivative	
Security or Exercise			any	Code	of	f (Month/Day/Yea		Underlying		Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ			Securities (Instr. 3 and 4)		(Instr. 5)	1
	Derivative				Securities						
	Security				Acquired					1	
	·				(A) or						1
					Disposed						
					of (D)						,
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable Date	•	Title Nu of	Number		
							Dute		of		
				Code V	$^{\prime}$ (A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Relationships

Barbara Bradley Baekgaard Family Foundation C/O VERA BRADLEY, INC. 12420 STONEBRIDGE ROAD ROANOKE, IN 46783

Member of a 10% owner group

## **Signatures**

/s/ Joan Byrne Hall, as

Trustee 10/24/2017

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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