

Pershing Edward  
Form 3  
May 07, 2018

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|  |   |  |   |
|--|---|--|---|
| <p>1. Name and Address of Reporting Person *</p> <p>Â Pershing Edward</p> <p>(Last) (First) (Middle)</p> <p>10025 INVESTMENT DRIVE,<br/>SUITE 250</p> <p>(Street)</p> <p>KNOXVILLE,Â TNÂ 37932</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>04/27/2018</p> | <p>3. Issuer Name <b>and</b> Ticker or Trading Symbol</p> <p><b>PROVECTUS BIOPHARMACEUTICALS, INC.</b><br/><b>[PVCT]</b></p> <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br/><input type="checkbox"/> Officer <input type="checkbox"/> Other<br/>(give title below) (specify below)</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person<br/><input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|--|---|--|---|

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock                       | 2,820,630  | D   | Â  |
| Common Stock                       | 77,100   | I   | By spouse  |
| Common Stock                       | 3,750  | I   | As custodian for grandchild                              |
| Common Stock                       | 81,500   | I   | By Mr. P's Foundation <sup>(1)</sup>                     |
| Common Stock                       | 550,000  | I   | By Perkins Place <sup>(1)</sup>                          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

## Edgar Filing: Pershing Edward - Form 3

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and<br>Expiration Date<br><small>(Month/Day/Year)</small> | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) | 4. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 5. Ownership<br>Form of<br>Derivative<br>Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |   |                        |
|---|---|--|--|--|---|---|------------------------|
|   | Date<br>Exercisable   | Expiration<br>Date   | Amount or<br>Number of<br>Shares                                   |  |   |   |                        |
|   |   |  | Title  |  |   |   |                        |
| Common Stock Warrants<br>(right to buy)       | Â (2)   | 06/19/2020   | Common<br>Stock  | 705,000  | \$ 0.85   | D | Â                      |
| Common Stock Warrants<br>(right to buy)       | Â (2)   | 06/19/2020   | Common<br>Stock  | 27,500   | \$ 0.85   | I | By Perkins<br>Place(1) |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| Pershing Edward<br>10025 INVESTMENT DRIVE, SUITE 250<br>KNOXVILLE, TN 37932 | Â X           | Â         | Â       | Â     |

## Signatures

/s/ Lori Metrock,  
Attorney-in-Fact

05/07/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person hereby disclaims ownership of these securities in excess of his pecuniary interest therein.
- (2) The common stock warrants are exercisable currently.

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### Remarks:

ExhibitÂ 24.1-PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.