Ray Michael C. Form 4 June 13, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0287

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January 31, 2005

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Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \*

Ray Michael C.

2. Issuer Name and Ticker or Trading

Symbol

Vera Bradley, Inc. [VRA]

(Last)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

below)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director \_X\_\_ 10% Owner \_ Other (specify Officer (give title

C/O VERA BRADLEY, INC., 12420 06/11/2018 STONEBRIDGE ROAD

(First)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

ROANOKE, IN 46783

(City)	(State)	(Zip) Tak	ole I - Non-	-Derivative	Secu	rities Acc	quired, Disposed	of, or Benefic	cially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)				d of (D)				
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)	(======================================	
Common Stock	06/11/2018		S	2,429 (1)	D	\$ 14.09 (2)	109,317	D	
Common Stock	06/12/2018		S	2,429 (1)	D	\$ 14.16 (2)	106,888	D	
Common Stock	06/11/2018		S	10,913 (1)	D	\$ 14.1 ( <u>3)</u>	491,112	I	By Michael Ray 2009 Grantor Retained Annuity Trust

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Common Stock	06/12/2018	S	10,913 (1)	D	\$ 14.15 (3)	480,199	I	By Michael Ray 2009 Grantor Retained Annuity Trust	
Common Stock	06/11/2018	S	3,276 (1)	D	\$ 14.09 (4)	147,439	I	By Anne-Marie Ray Revocable Trust	
Common Stock	06/12/2018	S	3,276 (1)	D	\$ 14.16 (4)	144,163	I	By Anne-Marie Ray Revocable Trust	
Common Stock						3,410,469 <u>(5)</u>	I	By Barbara B. Baekgaard 2009 Grantor Retained Annuity Trust	
Common Stock						46,252	I	By Anne-Marie Ray 2016 Grantor Retained Annuity Trust #1	
Common Stock						500,000	I	By Anne-Marie Ray 2017 Grantor Retained Annuity Trust #1	
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.									
inf					Persons who respond to the collection of information contained in this form are not required to respond unless the form  SEC 1474  (9-02)				

 $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

number.

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1.	Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of	9. Nu
D	erivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
S	ecurity	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(I	nstr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
		Derivative				Securities	3		(Instr.	3 and 4)		Owne
		Security				Acquired						Follo
		•				(A) or						Repo
						Disposed						Trans
						of (D)						(Instr
						(Instr. 3,						`
						4, and 5)						
						, ,						
										Amount		
							Date	Expiration		or		
							Exercisable	Date	Title	Number		
							Lacicisable	Date		of		
					Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
• 0	Director	10% Owner	Officer	Other			
Ray Michael C. C/O VERA BRADLEY, INC. 12420 STONEBRIDGE ROAD ROANOKE, IN 46783		X					

## **Signatures**

/s/ Michael C.

Ray 06/13/2018

\*\*Signature of Person Date

Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected under a Rule 10b5-1 trading plan adopted on April 6, 2018.

The prices reported are weighted average prices. The shares sold on June 11, 2018 were sold in multiple transactions at prices ranging from \$13.73 to \$14.71, inclusive, and the shares sold on June 12, 2018 were sold in multiple transactions at prices ranging from \$13.71 to

(2) \$14.28, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

The prices reported are weighted average prices. The shares sold on June 11, 2018 were sold in multiple transactions at prices ranging from \$13.73 to \$14.74, inclusive, and the shares sold on June 12, 2018 were sold in multiple transactions at prices ranging from \$13.68 to

(3) \$14.28, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

The prices reported are weighted average prices. The shares sold on June 11, 2018 were sold in multiple transactions at prices ranging from \$13.73 to \$14.71, inclusive, and the shares sold on June 12, 2018 were sold in multiple transactions at prices ranging from \$13.71 to

(4) \$14.28, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

Reporting Owners 3

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(5) The reporting person disclaims beneficial ownership of these shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.