

Boone Karen  
Form 4  
June 14, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Boone Karen

(Last) (First) (Middle)

C/O RH, 15 KOCH ROAD, SUITE K

(Street)

CORTE MADERA, CA 94925

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
RH [RH]

3. Date of Earliest Transaction (Month/Day/Year)  
06/12/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title below) \_\_\_ Other (specify below)

See Remarks

6. Individual or Joint/Group Filing(Check Applicable Line)

\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	06/12/2018		M <sup>(1)</sup>	V	29,876 (2)	A	\$ 61.3 43,087 D
Common Stock	06/12/2018		S <sup>(1)</sup>		1,200	D	\$ 144.1267 41,887 D (3)
Common Stock	06/12/2018		S <sup>(1)</sup>		400	D	\$ 144.8625 41,487 D (4)
Common Stock	06/12/2018		S <sup>(1)</sup>		10,900	D	\$ 146.1564 30,587 D (5)

Edgar Filing: Boone Karen - Form 4

Common Stock	06/12/2018	<u>S<sup>(1)</sup></u>	100	D	\$ 146.78 <u>(6)</u>	30,487	D
Common Stock	06/12/2018	<u>S<sup>(1)</sup></u>	200	D	\$ 148.34 <u>(7)</u>	30,287	D
Common Stock	06/12/2018	<u>S<sup>(1)</sup></u>	410	D	\$ 149.3178 <u>(8)</u>	29,877	D
Common Stock	06/12/2018	<u>S<sup>(1)</sup></u>	400	D	\$ 150.9325 <u>(9)</u>	29,477	D
Common Stock	06/12/2018	<u>S<sup>(1)</sup></u>	1,469	D	\$ 152.0593 <u>(10)</u>	28,008	D
Common Stock	06/12/2018	<u>S<sup>(1)</sup></u>	224	D	\$ 153.3507 <u>(11)</u>	27,784	D
Common Stock	06/12/2018	<u>S<sup>(1)</sup></u>	1,590	D	\$ 154.8808 <u>(12)</u>	26,194	D
Common Stock	06/12/2018	<u>S<sup>(1)</sup></u>	3,180	D	\$ 155.9429 <u>(13)</u>	23,014	D
Common Stock	06/12/2018	<u>S<sup>(1)</sup></u>	2,770	D	\$ 156.8053 <u>(14)</u>	20,244	D
Common Stock	06/12/2018	<u>S<sup>(1)</sup></u>	1,907	D	\$ 157.7727 <u>(15)</u>	18,337	D
Common Stock	06/12/2018	<u>S<sup>(1)</sup></u>	1,200	D	\$ 158.9842 <u>(16)</u>	17,137	D
Common Stock	06/12/2018	<u>S<sup>(1)</sup></u>	2,100	D	\$ 159.9324 <u>(17)</u>	15,037	D
Common Stock	06/12/2018	<u>S<sup>(1)</sup></u>	1,126	D	\$ 160.9846 <u>(18)</u>	13,911	D
Common Stock	06/12/2018	<u>S<sup>(1)</sup></u>	400	D	\$ 162.1175 <u>(19)</u>	13,511	D
Common Stock	06/12/2018	<u>S<sup>(1)</sup></u>	200	D	\$ 163.04 <u>(20)</u>	13,311	D
	06/12/2018	<u>S<sup>(1)</sup></u>	100	D		13,211	D

Common Stock \$ 164.31  
(21)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.** SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy)	\$ 61.3	06/12/2018		M	29,876	(22) 05/07/2024	Common Stock	29,876

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Boone Karen C/O RH 15 KOCH ROAD, SUITE K CORTE MADERA, CA 94925			See Remarks	

## Signatures

/s/ Edward T. Lee as Attorney-In-Fact 06/14/2018  
 \*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was executed pursuant to a Rule 10b5-1 trading plan.
- (2) These shares of common stock were issued upon exercise of stock options granted to the reporting person on May 8, 2014.



## Edgar Filing: Boone Karen - Form 4

This transaction was executed in multiple trades ranging from \$162.98 to \$163.1. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range set forth herein.

- (21) This transaction was executed in multiple trades ranging from \$164.31 to \$164.31. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range set forth herein.
- (22) This option award will vest and become exercisable with respect to 20% of the options annually on the anniversary of the grant date, and will become fully vested on May 8, 2019.

### **Remarks:**

President, Chief Financial and Administrative Officer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.