Edgar Filing: Ray Michael C. - Form 4

Ray Michae Form 4 October 05, FORN Check t if no lon subject Section Form 4 Form 5 obligati may con <i>See</i> Inst 1(b).	, 2018 A 4 UNITED his box nger to 16. or Filed pu ons ntinue.	MENT O rsuant to S (a) of the 1	Wa F CHAI Section Public U	Ashington NGES IN SECU 16(a) of t Jtility Ho	n, D.C. 20 N BENEF RITIES the Securi	0549 FICIA ities I mpan	AL OW Exchang	COMMISSION NERSHIP OF e Act of 1934, f 1935 or Secti 40	N OMB Number: Expires: Estimate burden h response	January 31, 2005 ed average nours per	
(Print or Type	Responses)										
1. Name and Ray Micha	uer Name and Ticker or Trading 1 Bradley, Inc. [VRA]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
(Me				3. Date of Earliest Transaction (Month/Day/Year) 10/03/2018					Director 10% Owner Officer (give titleX Other (specify below) below) Member of a 10% owner group		
				(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 			
(City)	(State)	(Zip)	Tal	ole I - Non	-Derivative	e Secu	rities Acq	uired, Disposed	of, or Benefi	cially Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/Da	Date, if	3. Transactic Code (Instr. 8) Code V	4. Securit or(A) or Dis (Instr. 3, 4) Amount	sposed	l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	10/03/2018			S	2,429 (1)	D	14.87	70,453	D		
Common Stock	10/04/2018			S	2,429 (1)	D	\$ 14.4 (2)	68,024	D		
Common Stock	10/03/2018			S	10,913 (1)	D	\$ 14.86 (3)	316,504	I	By Michael Ray 2009 Grantor Retained Annuity Trust	

Common Stock	10/04/2018	S	10,913 (1)	D	\$ 14.4 (3)	305,591	Ι	By Michael Ray 2009 Grantor Retained Annuity Trust
Common Stock	10/03/2018	S	3,276 (1)	D	\$ 14.87 (4)	218,772	I	By Anne-Marie Ray Revocable Trust
Common Stock	10/04/2018	S	3,276 (1)	D	\$14.4 (4)	215,496	I	By Anne-Marie Ray Revocable Trust
Common Stock						2,810,469 <u>(5)</u>	I	By Barbara B. Baekgaard 2009 Grantor Retained Annuity Trust
Common Stock						411,003	I	By Anne-Marie Ray 2017 Grantor Retained Annuity Trust #1

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	Bene
	Derivative				Securities	3	(Instr. 3 and 4)		Owne
	Security				Acquired				Follo
					(A) or				Repo
					Disposed				Trans
					of (D)				(Instr
					(Instr. 3,				

С

4, and 5)

							Amount
				Date	Expiration		or
				Exercisable	Expiration Date	Title	Number
				Exercisable	Date		of
Code	V	(A)	(D)				Shares

Reporting Owners

Relationships **Reporting Owner Name / Address** 10% Owner Other Director Officer Ray Michael C. C/O VERA BRADLEY, INC. Member of a 10% owner group 12420 STONEBRIDGE ROAD

ROANOKE, IN 46783

Signatures

/s/ Michael C. Ray 10/04/2018 **Signature of

Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected under a Rule 10b5-1 trading plan adopted on April 6, 2018.

The prices reported are weighted average prices. The shares sold on October 3, 2018 were sold in multiple transactions at prices ranging from \$14.76 to \$15.07, inclusive, and the shares sold on October 4, 2018 were sold in multiple transactions at prices ranging from \$14.22

(2) to \$14.69, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

The prices reported are weighted average prices. The shares sold on October 3, 2018 were sold in multiple transactions at prices ranging from \$14.76 to \$15.11, inclusive, and the shares sold on October 4, 2018 were sold in multiple transactions at prices ranging from \$14.19

(3) to \$14.78, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

The prices reported are weighted average prices. The shares sold on October 3, 2018 were sold in multiple transactions at prices ranging from \$14.76 to \$15.07, inclusive, and the shares sold on October 4, 2018 were sold in multiple transactions at prices ranging from \$14.22

- (4) to \$14.69, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
- (5) The reporting person disclaims beneficial ownership of these shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.