Ray Anne Marie Form 4 March 13, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires:

Estimated average burden hours per response... 0.5

**OMB APPROVAL** 

3235-0287

January 31,

2005

Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Ray Anne Marie

(Last)

2. Issuer Name and Ticker or Trading

Symbol

Vera Bradley, Inc. [VRA]

3. Date of Earliest Transaction (Month/Day/Year)

C/O VERA BRADLEY, INC., 12420 03/11/2019 STONEBRIDGE ROAD

(First)

(Street) Filed(Month/Day/Year)

(Middle)

4. If Amendment, Date Original

5. Relationship of Reporting Person(s) to

**OMB** 

Number:

Issuer

(Check all applicable)

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

Member of a 10% owner group

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

ROANOKE, IN 46783

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	03/11/2019		S		D	\$ 10.3 (2)	2,775,469 (3)	I	By Barbara B. Baekgaard 2009 Grantor Retained Annuity Trust	
Common Stock	03/12/2019		S	35,000 (1)	D	\$ 10.91 (2)	2,740,469 (3)	I	By Barbara B. Baekgaard 2009 Grantor Retained	

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			Annuity Trust	
Common Stock	156,528	I	By Anne-Marie Ray Revocable Trust	
Common Stock	109,157	I	By Michael Ray 2009 Grantor Retained Annuity Trust	
Common Stock	411,003	I	By Anne-Marie Ray 2017 Grantor Retained Annuity Trust #1	
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.				

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

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Derivative C Security (Instr. 3)		Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Transact Code (Instr. 8)	Securities Acquired (A) or	Expiration Date (Month/Day/Year)		Amount of Underlying Securities (Instr. 3 and 4)		Derivative Security (Instr. 5)	
				Code V	Disposed of (D) (Instr. 3, 4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Relationships

Reporting Owner Name / Address

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Director 10% Owner Officer Other

Ray Anne Marie C/O VERA BRADLEY, INC. 12420 STONEBRIDGE ROAD ROANOKE, IN 46783

Member of a 10% owner group

## **Signatures**

/s/ Anne-Marie

Ray 03/13/2019

\*\*Signature of Date

Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected under a Rule 10b5-1 trading plan adopted by the Barbara B. Baekgaard 2009 Grantor Retained Annuity Trust on December 21, 2018.
  - The prices reported are weighted average prices. The shares sold on March 11, 2019 were sold in multiple transactions at prices ranging from \$10.02 to \$10.47, inclusive, and the shares sold on March 12, 2019 were sold in multiple transactions at prices ranging from \$10.43
- (2) to \$11.25, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
- (3) The reporting person disclaims beneficial ownership of these shares, except to the extent of the reporting person's pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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