Hall Joan B. Form 4 March 13, 2019

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to

January 31, Expires: 2005

**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Hall Joan B.

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

Vera Bradley, Inc. [VRA]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner

C/O VERA BRADLEY, INC., 12420 03/11/2019

STONEBRIDGE ROAD

Officer (give title \_\_X\_ Other (specify below) below)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

Member of a 10% owner group 6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

ROANOKE, IN 46783

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secur	ities Acq	uired, Disposed o	f, or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit or(A) or Dis (Instr. 3, 4	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/11/2019		S	35,000 (1)	D	\$ 10.3 (2)	2,775,469 (3)	I	By Barbara B. Baekgaard 2009 Grantor Retained Annuity Trust
Common Stock	03/12/2019		S	35,000 (1)	D	\$ 10.91 (2)	2,740,469 (3)	I	By Barbara B. Baekgaard 2009

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			Grantor Retained Annuity Trust
Common Stock	83,994	I	By Joan Byrne Hall Revocable Trust
Common Stock	41,122	I	By Joan Byrne Hall 2016 Grantor Retained Annuity Trust #1
Common Stock	411,003 (1)	I	By Joan Byrne Hall 2017 Grantor Retained Annuity Trust #1

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.		5.	6. Date Exerc	isable and	7. Tit.	le and	8. Price of	
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	if TransactionNumber		Expiration Da	ate	Amou	int of	Derivative		
	Security	or Exercise		any	Code		of	(Month/Day/	Year)	Under	rlying	Security	
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr.	8) Do	Derivative			Securities		(Instr. 5)	
Derivative Security						Securities Acquired				(Instr. 3 and 4)			
							(A) or						
							Disposed						
							of (D)						
							(Instr. 3,						
							4, and 5)						
											Amount		
											or		
									Expiration Date	Title	Number		
										11110	of		
					Code	V	(A) (D)				Shares		
					Couc	٧	(II)				Dilaics		

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Hall Joan B. C/O VERA BRADLEY, INC. 12420 STONEBRIDGE ROAD ROANOKE, IN 46783

Member of a 10% owner group

## **Signatures**

/s/ Joan B. Hall 03/13/2019

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected under a Rule 10b5-1 trading plan adopted by the Barbara B. Baekgaard 2009 Grantor Retained Annuity Trust on December 21, 2018.
  - The prices reported are weighted average prices. The shares sold on March 11, 2019 were sold in multiple transactions at prices ranging from \$10.02 to \$10.47, inclusive, and the shares sold on March 12, 2019 were sold in multiple transactions at prices ranging from \$10.43
- (2) to \$11.25, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
- (3) The reporting person disclaims beneficial ownership of these shares, except to the extent of the reporting person's pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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