#### Edgar Filing: META FINANCIAL GROUP INC - Form 4

META FINANCIAL GROUP INC Form 4 October 02, 2006 **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Moore Troy III Issuer Symbol META FINANCIAL GROUP INC (Check all applicable) [CASH] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) 4848 86TH STREET 09/29/2006 COO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting URBANDALE, IA 50322 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) any Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 2.250 D Stock Common 5,904 D Stock Common 5,842 Ι by spouse Stock Common 5,976.346 Ι by ESOP Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 24.43	09/29/2006	09/29/2006	J <u>(1)</u>	4,800	09/29/2006	09/29/2016	Common Stock	4,800
Stock Option (right to buy)	\$ 17.25					03/10/1997	03/10/2007	Common Stock	7,500
Stock Option (right to buy)	\$ 17.875					09/30/1998	09/30/2008	Common Stock	742
Stock Option (right to buy)	\$ 13					09/30/1999	09/30/2009	Common Stock	1,530
Stock Option (right to buy)	\$ 9.625					09/30/2000	09/30/2010	Common Stock	1,654
Stock Option (right to buy)	\$ 13.65					09/30/2001	09/30/2011	Common Stock	1,856
Stock Option (right to	\$ 14.41					09/30/2002	09/30/2012	Common Stock	2,137

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buy)					
Stock Option (right to buy)	\$ 21.765	09/30/2003	09/30/2013	Common Stock	2,340
Stock Option (right to buy)	\$ 22.18	09/30/2004	09/30/2014	Common Stock	2,565
Stock Option (right to buy)	\$ 18.87	09/30/2005	09/30/2015	Common Stock	2,812

### **Reporting Owners**

Person

Reporting Owner Name / Address	Relationships					
r o o o o o o o o o o o o o o o o o o o	Director	10% Owner	Officer	Other		
Moore Troy III 4848 86TH STREET URBANDALE, IA 50322			COO			
Signatures						
Jonathan M. Gaiser, POA	09/29/200					
**Signature of Reporting	Date					

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Award granted pursuant to the Company's 2002 Omnibus Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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