

META FINANCIAL GROUP INC  
 Form 4  
 October 04, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Moore Troy III

2. Issuer Name and Ticker or Trading Symbol  
 META FINANCIAL GROUP INC  
 [CASH]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 09/30/2016

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 EVP/Sales & Operations

C/O META FINANCIAL GROUP, INC., 5501 SOUTH BROADBAND LANE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

SIoux FALLS, SD 57108

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| Common Stock                    | 09/30/2016                           |  | D                              | (A)<br>or<br>(D)<br>1,034<br>(1)                                  | \$ 0 10,855   | D  |                                   |
| Common Stock                    |                                      |  |                                |   | 10,931.773  | I  | By ESOP                           |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
 (9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year)    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price or Amount of Derivative Security (Instr. 3) |
|--|--|--------------------------------------|--|--------------------------------|---|---|---|--|
| Stock Option (Right to Buy)                | \$ 31.79   |                                      |  |                                |   | Date Exercisable: 09/30/2010<br>Expiration Date: 09/30/2020 | Common Stock  | 4,336  |
| Stock Option (Right to Buy)                | \$ 23.01   |                                      |  |                                |   | Date Exercisable: 09/30/2009<br>Expiration Date: 09/30/2019 | Common Stock  | 5,556  |
| Stock Option (Right to Buy)                | \$ 16  |                                      |  |                                |   | Date Exercisable: 09/30/2008<br>Expiration Date: 09/30/2018 | Common Stock  | 6,250  |
| Stock Option (Right to Buy)                | \$ 39.84   |                                      |  |                                |   | Date Exercisable: 09/28/2007<br>Expiration Date: 09/28/2017 | Common Stock  | 4,275  |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                        |       |
|--|---------------|-----------|------------------------|-------|
|  | Director      | 10% Owner | Officer                | Other |
| Moore Troy III<br>C/O META FINANCIAL GROUP, INC.<br>5501 SOUTH BROADBAND LANE<br>SIOUX FALLS, SD 57108 | X             |           | EVP/Sales & Operations |       |

## Signatures

Ashley Menke,  
POA

10/04/2016

Date

Signature of  
Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects forfeiture of restricted stock in connection with Reporting Person's departure from the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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