

Edgar Filing: ANIKA THERAPEUTICS INC - Form 11-K

ANIKA THERAPEUTICS INC
Form 11-K
June 27, 2002

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 11-K

ANNUAL REPORT
PURSUANT TO SECTION 15(d) OF THE
SECURITIES EXCHANGE ACT OF 1934

(Mark One:)

ANNUAL REPORT PURSUANT TO SECTION 15(d) OF THE SECURITIES EXCHANGE ACT
OF 1934 (NO FEE REQUIRED)

For the fiscal year ended December 31, 2001

OR

TRANSITION REPORT PURSUANT TO SECTION 15(d) OF THE SECURITIES EXCHANGE ACT
OF 1934 (NO FEE REQUIRED)

For the transition period from _____ to _____

Commission file number: 000-21326

A. Full title of the plan and the address of the plan, if different from
that of the issuer named below:

Anika Therapeutics, Inc.
Employee Savings and Retirement Plan

B. Name of issuer of the securities held pursuant to the plan and the
address of its principal executive office:

Anika Therapeutics, Inc.
236 West Cummings Park
Woburn, MA 01801
(781) 932-6616

REQUIRED INFORMATION

Item 4. Plan financial statements and schedule for the year ended December 31,
2001 have been prepared in accordance with the financial reporting requirements
of ERISA.

PAGE NUMBER
IN THIS REPORT

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Statements of Net Assets Available for Benefits as of December 31, 2001
and 2000

F-1

Statement of Changes in Net Assets Available for Benefits for
the year ended December 31, 2001

F-2

Signature

THE PLAN. Pursuant to the requirements of the Securities Exchange Act of 1934, the trustees have duly caused this annual report to be signed on their behalf by the undersigned hereunto duly authorized.

Anika Therapeutics, Inc.
Employee Savings and Retirement Plan

By: /s/ Douglas R. Potter

Douglas R. Potter, Trustee

Dated: June 27, 2002

Anika Therapeutics, Inc.
Employee Savings & Retirement Plan

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
AS OF DECEMBER 31, 2001 and 2000

| | 2001 | 2000 |
|---------------------------------------|-------------|-------------|
| INVESTMENTS | | |
| Participant directed, at fair value - | | |
| Money market fund | \$ 297,788 | \$ 193,325 |
| Employer common stock | 68,422 | 57,259 |
| Mutual funds | 1,229,504 | 1,238,389 |
| Participant loans | 84,839 | 100,074 |
| | ----- | ----- |
| Total investments | 1,680,553 | 1,589,047 |
| CONTRIBUTIONS RECEIVABLE | 22,396 | 13,556 |
| | ----- | ----- |
| NET ASSETS AVAILABLE FOR BENEFITS | \$1,702,949 | \$1,602,603 |
| | ===== | ===== |

F-1

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Anika Therapeutics, Inc.
Employee Savings & Retirement Plan

STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
FOR THE YEAR ENDED DECEMBER 31, 2001

| | |
|--|---------|
| | 20 |
| Interest & dividends | \$ 44 |
| | ----- |
| Contributions by: | |
| Employees | 296 |
| Employer | 186 |
| Rollover distributions, net | (7) |
| | ----- |
| Total contributions | 475 |
| | ----- |
| Total additions | 519 |
| | ----- |
| Less: | |
| Distributions to former participants | 215 |
| | ----- |
| Net increase (decrease) before realized gains and losses | 304 |
| Net realized and unrealized investment gains and losses | (204) |
| | ----- |
| Net decrease in net assets | 100 |
| Net assets available for benefits, beginning of year | 1,602 |
| | ----- |
| Net assets available for benefits, end of year | \$1,702 |
| | ===== |