Edgar Filing: SOLITARIO EXPLORATION & ROYALTY CORP. - Form 4

SOLITARIO EXPLORATION & ROYALTY CORP.

Form 4 July 26, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

0.5

OMB APPROVAL

Section 16. Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

Estimated average

burden hours per

1(b).

Security

(Instr. 3)

Common

Stock

(Month/Day/Year)

07/26/2013

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person * MARONICK JAMES R			Symbol SOLITAR	RIO E	nd Ticker or Trading XPLORATION & ORP. [XPL]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) 4251 KIPLI	(First) NG ST. SUIT	(Middle) E 390	3. Date of Earliest Transaction (Month/Day/Year) 07/26/2013			DirectorX Officer (giv below)	e title 10% Owner Other (specify below) CFO			
(Street)			4. If Amenda	ment, I	Date Original	6. Individual or Joint/Group Filing(Check				
WHEAT RI)33	Filed(Month/	/Day/Ye	ar)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Table I	- Non-	Derivative Securities Acq	quired, Disposed o	of, or Beneficially Owned			
1.Title of	2. Transaction l	Date 2A. Deen	ned 3	3.	4. Securities Acquired	5. Amount of	6. Ownership 7. Nature of			

Code

(Instr. 8)

Code V

P

Transaction(A) or Disposed of (D)

Amount

23,809

(Instr. 3, 4 and 5)

(A)

(D)

Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Execution Date, if

(Month/Day/Year)

07/26/2013

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Securities

Owned

Following

Reported

583,048

Transaction(s) (Instr. 3 and 4)

Beneficially

Form: Direct

(D) or

D

Indirect (I)

(Instr. 4)

Indirect

Beneficial

Ownership

(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orNumber	Expiration D	ate	Amour	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	е		Securit	ties	(Instr. 5)	Bene
	Derivative		•		Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						•
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	Date		Number		
									of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MARONICK JAMES R 4251 KIPLING ST. SUITE 390 WHEAT RIDGE, CO 80033

CFO

Signatures

James R. 07/26/2013 Maronick

**Signature of Date Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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