RODMAN & RENSHAW CAPITAL GROUP, INC. Form 8-K February 02, 2012

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 8-K

CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): February 2, 2012

RODMAN & RENSHAW CAPITAL GROUP, INC.

(Exact name of Registrant as specified in its charter)

Delaware

001-33737

(State or other jurisdiction of incorporation)

(Commission File Number)

1251 Avenue of the Americas, New York, New York

(Address Of Principal Executive Office) Registrant s telephone number, including area code (212) 356-0500

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

84-1374481

(IRS Employer Identification No.)

10020

(Zip Code)

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Item 8.01. Other Events.

On February 2, 2012, Rodman & Renshaw Capital Group, Inc. (Nasdaq: RODM) issued a press release announcing the launch of it new DirectMarkets business, and the hiring of Kevin Lupowitz to lead the initiative. A copy of the press release is attached hereto as Exhibit 99.1.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits

Exhibit No. Description

99.1 Press release dated February 2, 2012.

* * * * *

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: February 2, 2012

Rodman & Renshaw Capital Group, Inc.

By: /s/ David J. Horin

> David J. Horin Chief Financial Officer

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Exhibit Index

Exhibit Number

Description

99.1 Press release dated February 2, 2012.