

Edgar Filing: HAYWOOD GEORGE WEAVER - Form SC 13G

HAYWOOD GEORGE WEAVER
Form SC 13G
February 13, 2007

SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 13G
(Rule 13d-102)

INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT TO
RULE 13d-1(b), (c) AND (d) AND AMENDMENTS THERETO FILED PURSUANT
TO RULE 13d-2
(Amendment No. 5) *

ZIX CORPORATION
(Name of Issuer)

Common Stock, par value \$.01 per share
(Title of Class of Securities)

98974P100
(CUSIP Number)

December 31, 2006
(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this
Schedule is filed.

- ☐ Rule 13d-1(b)
☒ Rule 13d-1(c)
☐ Rule 13d-1(d)

* The remainder of this cover page shall be filled out for a reporting person's
initial filing on this form with respect to the subject class of securities, and
for any subsequent amendment containing information which would alter the
disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed
to be "filed" for the purpose of Section 18 of the Securities Exchange Act of
1934 ("Act") or otherwise subject to the liabilities of that section of the Act
but shall be subject to all other provisions of the Act (however, see the
Notes).

CUSIP No. 98974P100 13G Page 2 of 6 Pages

1. NAMES OF REPORTING PERSONS
I.R.S. IDENTIFICATION NO. OF ABOVE PERSONS (ENTITIES ONLY)
George W. Haywood

2. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP
(SEE INSTRUCTIONS)

(a) ☐
(b) ☐

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3. SEC USE ONLY

4. CITIZENSHIP OR PLACE OF ORGANIZATION
U.S.A.

NUMBER OF 5. SOLE VOTING POWER 4,473,320 (1)
SHARES

BENEFICIALLY OWNED BY 6. SHARED VOTING POWER 30,000 (2)
EACH

REPORTING PERSON WITH 7. SOLE DISPOSITIVE POWER 4,473,320 (1)

8. SHARED DISPOSITIVE POWER 30,000 (2)

9. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
4,503,320

10. CHECK BOX IF THE AGGREGATE AMOUNT IN ROW 9 EXCLUDES CERTAIN SHARES
(SEE INSTRUCTIONS)
☐

11. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9
7.6%

12. TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)
IN

(1) Includes 43,320 shares owned by Mr. Haywood's children.

(2) Represents shares owned by spouse.

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Item 1(a). Name of Issuer:

Zix Corporation

Item 1(b). Address of Issuer's Principal Executive Offices:

2711 North Haskell Avenue, Suite 2200, LB 36, Dallas, Texas 75204
-2960

Item 2(a). Name of Person Filing:

George W. Haywood

Item 2(b). Address of Principal Business Office or, if none, Residence:

c/o Cronin & Vris, LLP, 380 Madison Avenue, 24th Floor, New York,

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New York 10017

Item 2(c). Citizenship:

U.S.A.

Item 2(d). Title of Class of Securities:

Common Stock, par value \$.01 per share

Item 2(e). CUSIP Number

98974P100

Item 3. If this statement is filed pursuant to Rules 13d-1(b), or 13d-2(b) or (c), check whether the person filing is a:

- (a) ☐ Broker or dealer registered under Section 15 of the Act.
- (b) ☐ Bank as defined in Section 3(a)(6) of the Act.
- (c) ☐ Insurance company as defined in Section 3(a)(19) of the Act.
- (d) ☐ Investment company registered under Section 8 of the Investment Company Act of 1940.
- (e) ☐ An investment adviser in accordance with Rule 13d-1(b)(1)(ii)(E).
- (f) ☐ An employee benefit plan or endowment fund in accordance with Rule 13d-1(b)(1)(ii)(F).
- (g) ☐ A parent holding company or control person in accordance with Rule 13d-1(b)(1)(ii)(G).

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- (h) ☐ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act.
- (i) ☐ A church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment Company Act.
- (j) ☐ Group, in accordance with Rule 13d-1(b)(1)(ii)(J).

Item 4. Ownership.

- (a) Amount Beneficially Owned: 4,503,320
- (b) Percent of Class: 7.6%
- (c) Number of shares as to which such person has:
 - (i) sole power to vote or to direct the vote: 4,473,320
 - (ii) shared power to vote or to direct vote: 30,000

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(iii) sole power to dispose or to direct the disposition of: 4,473,320

(iv) shared power to dispose or to direct the disposition of: 30,000

Item 5. Ownership of Five Percent or Less of a Class.

Not applicable

Item 6. Ownership of More than Five Percent on Behalf of Another Person.

Included as shares for which there exist sole voting and dispositive power are 43,320 shares owned by Mr. Haywood's minor children, which children have the right to receipt of dividends from, and proceeds from the sale of, such shares.

Included as shares for which there exist shared voting and dispositive power are 30,000 shares owned by Mr. Haywood's spouse. Accordingly, Mr. Haywood's spouse would have the sole right to the receipt of dividends from, and the proceeds from the sale of, such shares.

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Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported On By the Parent Holding Company or Control Person.

Not applicable.

Item 8. Identification and Classification of Members of the Group.

Not applicable.

Item 9. Notice of Dissolution of Group.

Not applicable.

Item 10. Certification.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

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SIGNATURE

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After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 13, 2007

(Date)

/s/George W. Haywood

(Signature)

George W. Haywood

(Name/Title)