

Edgar Filing: STATE STREET CORP - Form 4

STATE STREET CORP

Form 4

April 16, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 WASHINGTON, D.C. 20549
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person
 Darehshori, Nader F.
 Houghton Mifflin Company
 222 Berkeley Street
 Boston, MA 02116-3764
2. Issuer Name and Ticker or Trading Symbol
 State Street Corporation
 STT
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Day/Year
 04/16/03
5. If Amendment, Date of Original (Month/Day/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
 (X) Director () 10% Owner () Officer (give title below) () Other
 (specify below)
7. Individual or Joint/Group Filing (Check Applicable Line)
 (X) Form filed by One Reporting Person
 () Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Trans- action Date | 2A. Exec- ution Date | 3. Trans- action Code | 4. Securities Acquired (A) or Disposed of (D) Amount | A/ D | 5. Amount of Securities Beneficially Owned Following Reported Trans(s) Price |
|----------------------|-----------------------------|----------------------------|-----------------------------|--|---------|---|
| Common Stock | 4/16/03 | | A(1) | 1,484 | A | 33.68 |
| Common Stock | 4/16/03 | | A(2) | 2,672 | A | 33.68 |
| | | | | | | 25,732(3) |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Con- version or Exer- cise Price of Deriva- tive Secu- rity | 3. Trans- action Date | 3A. Deemed Execu- tion Date | 4. Trans- action Code | 5. Number of De rivative Secu rities Acqui red(A) or Dis posed of(D) Amount | 6. Date Exer- cisable and Expiration Date(Month/ Day/Year) Date Expir- ation Date | 7. Title and Amount of Underlying Securities Title and Number of Shares | 8. P of vat Sec rit |
|---------------------------------------|--|-----------------------------|--------------------------------------|-----------------------------|--|--|---|---------------------------------|
|---------------------------------------|--|-----------------------------|--------------------------------------|-----------------------------|--|--|---|---------------------------------|

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|--|-------|-------|--|--|--|--|--|--|--|--|
| | Year) | Year) | | | | | | | | |
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Explanation of Responses:

- (1) Payment of director's retainer.
- (2) Payment of Director's Deferred Stock Award exempt under Rule 16b-3(d).
- (3) Includes DRIP shares acquired through January 2003, and Directors' Deferred Stock Award dividend equivalents.

SIGNATURE OF REPORTING PERSON

Nader F. Darehshori
/s/Nader F. Darehshori

DATE
4/16/03