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StoneCastle Financial Corp.  
Form NSAR-A  
August 23, 2016

PAGE 1  
000 A000000 06/30/2016  
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001 A000000 StoneCastle Financial Corp.  
001 B000000 811-22853  
001 C000000 2123546500  
002 A000000 152 West 57th Street, 35th Floor  
002 B000000 New York  
002 C000000 NY  
002 D010000 10019  
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008 A000001 StoneCastle Asset Management LLC  
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008 D030001 10019  
010 A000001 BNY Mellon  
010 B000001 84-01761  
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011 A000001 Keefe, Bruyette & Woods, Inc.  
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012 A000001 Computershare Trust Company, N.A.  
012 B000001 84-05925  
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013 A000001 TAIT WELLER & BAKER LLP.  
013 B010001 PHILADELPHIA  
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014 A000001 StoneCastle Securities, LLC  
014 B000001 8-66434  
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020 B000001 13-3906061  
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| 087       | A010000            | Common Stock |     |
| 087       | A020000            | 861780104    |     |
| 087       | A030000            | BANX         |     |
| SIGNATURE | PATRICK J. FARRELL |              |     |
| TITLE     | CFO                |              |     |