

Harlan Leigh M.  
Form 4  
January 19, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Harlan Leigh M.

2. Issuer Name and Ticker or Trading Symbol  
TIFFANY & CO [TIF]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
TIFFANY & CO., 200 FIFTH AVENUE

3. Date of Earliest Transaction (Month/Day/Year)  
01/17/2018

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

SENIOR VICE PRESIDENT

(Street)  
NEW YORK, NY 10010

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| Common Stock \$.01 Par          |                                      |  |                                | (A) or (D)  | 2,411 (1)   | D  |                                   |
| Common Stock \$.01 Par          |                                      |  |                                | (A) or (D)  | 12  | I  | BY ESOP                           |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security<br>(Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any<br>(Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D)<br>(Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Underlying Security<br>(Instr. 3 and 4) |       |
|---|--|--------------------------------------|---|--------------------------------|--|--|--|-------|
|   |  |                                      |   | Code                           | V (A) (D)  | Date Exercisable   | Expiration Date                                      | Title |
| Employee Stock Option (Right to Buy)          | \$ 108.99  | 01/17/2018                           |   | A <sup>(2)</sup>               | 10,804   | <sup>(3)</sup> 01/17/2028                                | Common Stock<br>\$.01 Par                            |       |
| Performance-based Restricted Stock Units      | <sup>(4)</sup>   | 01/17/2018                           |   | A <sup>(2)</sup>               | 7,914  | <sup>(5)</sup> <sup>(5)</sup>                            | Common Stock<br>\$.01 Par                            |       |
| Restricted Stock Units                        | <sup>(6)</sup>   | 01/17/2018                           |   | A <sup>(2)</sup>               | 1,980  | <sup>(6)</sup> <sup>(6)</sup>                            | Common Stock<br>\$.01 Par                            |       |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                       |       |
|--|---------------|-----------|-----------------------|-------|
|  | Director      | 10% Owner | Officer               | Other |
| Harlan Leigh M.<br>TIFFANY & CO.<br>200 FIFTH AVENUE<br>NEW YORK, NY 10010 |               |           | SENIOR VICE PRESIDENT |       |

## Signatures

/s/ John C. Duffy, 01/19/2018  
Attorney-in-Fact

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 108 shares in the total are unvested restricted stock units.
- (2) Granted pursuant to the Tiffany & Co. 2014 Employee Incentive Plan, which complies with Rule 16b-3.
- (3) The option vests in four equal annual installments on January 17, 2019, 2020, 2021 and 2022.
- (4) The performance-based restricted stock units convert to the issuer's common stock on a one-for-one basis.
- (5) All or a percentage of the units will be converted to common stock if issuer satisfies financial performance criteria for the three-year performance period ending January 31, 2021. Performance-based restricted stock units not eligible for conversion at the end of such

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performance period will be canceled.

- (6) The restricted stock units convert to the issuer's common stock on a one-for-one basis and will vest in four equal annual installments on January 17, 2019, 2020, 2021 and 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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