Summit Materials, Inc. Form 3/A March 02, 2017

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

C/O SUMMIT MATERIALS,

INC., 1550 WYNKOOP STREET, 3RD FLOOR

Person \*

Hill Thomas W.

(Last)

Statement

(Month/Day/Year)

03/12/2015

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Summit Materials, Inc. [SUM]

(First) (Middle)

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

03/12/2015

(Check all applicable)

\_X\_ Director \_X\_ Officer

10% Owner

Other (give title below) (specify below) President and CEO

3.

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

DENVER, COÂ 80202

(City) (State)

(Street)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

4. Nature of Indirect Beneficial

Ownership Ownership (Instr. 5)

Form: Direct (D) or Indirect (I)

Table I - Non-Derivative Securities Beneficially Owned

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Date **Expiration Title** Exercisable Date

Amount or Number of Price of Derivative Security: Derivative Security Direct (D)

1

#### Edgar Filing: Summit Materials, Inc. - Form 3/A

				Shares		or Indirect (I) (Instr. 5)	
LP Units of Summit Materials Holdings L.P.	(1)	(1)	Class A Common Stock	1,034,709 (2) (3)	\$ <u>(1)</u>	D	Â
LP Units of Summit Materials Holdings L.P.	(1)	(1)	Class A Common Stock	550,550 <u>(2)</u> <u>(3)</u>	\$ <u>(1)</u>	I	See Footnote (4)

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Hill Thomas W.

C/O SUMMIT MATERIALS, INC.
1550 WYNKOOP STREET, 3RD FLOOR
DENVER, COÂ 80202

Relationships

A X Â President and CEO Â

# **Signatures**

/s/ Anne Lee Benedict, as Attorney-in-Fact

03/02/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Pursuant to the terms of an exchange agreement, dated as of March 11, 2015, the limited partnership units of Summit Materials Holdings L.P. ("LP Units") reported herein are exchangeable from and after the first anniversary of the closing of the issuer's initial public offering

(1) (subject to the terms of the exchange agreement and vesting requirements, including certain vesting events more fully described in the issuer's Registration Statement on Form S-1 (File No. 333-201058)) for shares of the issuer's Class A common stock on a one-for-one basis.

The initial Form 3 filed on March 12, 2015, incorrectly reflected the effect of an attempted gift of LP Units from the Reporting Person to a family trust prior to the issuer's initial public offering. The attempted gift was ineffectively made and therefore the purported transfer of LP Units was void ab initio. This Amendment is being filed to correct the number of LP Units reported as beneficially owned directly and

- LP Units was void ab initio. This Amendment is being filed to correct the number of LP Units reported as beneficially owned directly and indirectly by the Reporting Person as of March 12, 2015 after taking into account the voided gift described herein. The total number of LP Units directly and indirectly beneficially owned by the Reporting Person is unchanged, but the allocation between direct/indirect has been updated.
- These transactions also affect the reported balances on subsequent Forms 4 filed by the Reporting Person through March 2, 2017. The sales reported by the Reporting Person on such Forms 4 correctly reported sales by the trust and did not include the LP Units that were the subject of the ineffective transfer, which have not been sold or otherwise disposed of.
- (4) Reflects securities held by a trust for the benefit of Mr. Hill's family, for which Mr. Hill's spouse serves as trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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