TOMPKINS FINANCIAL CORP

Form 4

August 02, 2010

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

3235-0287 Number: January 31, Expires:

OMB APPROVAL

2005 Estimated average burden hours per

10% Owner

response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * FETSKO FRANCIS M

2. Issuer Name and Ticker or Trading

Issuer

5. Relationship of Reporting Person(s) to

Symbol

TOMPKINS FINANCIAL CORP

(Check all applicable)

[TMP]

(Zip)

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

X_ Officer (give title Other (specify below)

Director

07/29/2010

EVP, CFO & Treasurer

TOMPKINS FINANCIAL CORPORATION, PO BOX #460

(Street)

(State)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Table I Non Derivative Securities Acquired Disposed of an Paneficially Ov

ITHACA, NY 14851

(City)

(==-,)	()	Tab	le I - Non-	Derivativ	e Secu	rities Acqui	rea, Disposea of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Transaction Date 2A. Deemed Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year)			sed of 4 and (A)	` '	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	07/29/2010		M	2,285	A	\$ 25.79	5,335	D	
Common Stock	07/29/2010		S	2,285	D	\$ 40.9737	3,050	D	
Common Stock	07/30/2010		M	250	A	\$ 25.79	3,300	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not required to respond unless the form

(9-02)

Edgar Filing: TOMPKINS FINANCIAL CORP - Form 4

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Option (Right to Buy)	\$ 25.7818	07/29/2010		M	2,285	<u>(1)</u>	07/24/2011	Common Stock	2,285
Incentive Stock Option (Right to Buy)	\$ 25.7818	07/30/2010		M	250	<u>(1)</u>	07/24/2011	Common Stock	250

Reporting Owners

Reporting Owner Name / Address	Keiauonsnips					
,	Director	10% Owner	Officer	Other		
FETSKO FRANCIS M						
TOMPKINS FINANCIAL CORPORATION			EVP, CFO			
PO BOX #460			& Treasurer			
ITHACA, NY 14851						

Signatures

/s/ Francis M.
Fetsko

**Signature of Reporting Person

08/02/2010

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock option becomes exercisable in four annual installments commencing two years after the date of grant.

Reporting Owners 2

Edgar Filing: TOMPKINS FINANCIAL CORP - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.