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LIQUIDMETAL TECHNOLOGIES INC

Form 3

February 25, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

(Print or Type Responses)

1. Name and Address of Reporting

Person *

HAUCK PAUL A

LIQUIDMETAL

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

02/25/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

LIQUIDMETAL TECHNOLOGIES INC [LQMT]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

TECHNOLOGIES, INC.. 30452 ESPERANZA

(Street)

(Check all applicable) 10% Owner Director

_X__ Officer Other (give title below) (specify below) VP-Worldwide Sales & Marketing

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

RANCHO SANTA MARGARITA, CAÂ 92688

(City)

(State)

(Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Form: Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Conversion Ownership 6. Nature of Indirect Beneficial

Expiration

Title

Amount or Number of

Form of or Exercise Derivative Price of Derivative

Ownership (Instr. 5)

Exercisable Date

Security: Security Direct (D)

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				Shares		or Indirect (I) (Instr. 5)	
Stock Option	(1)	03/03/2024	Common Stock	2,282,000	\$ 0.27	D	Â
Stock Option	(1)	02/27/2025	Common Stock	2,000,000	\$ 0.14	D	Â
Stock Option	(1)	02/17/2026	Common Stock	2,000,000	\$ 0.07	D	Â

Reporting Owners

Reporting Owner Name / Address		Relationships					
2 0	Director	10% Owner	Officer	Other			
HAUCK PAUL A LIQUIDMETAL TECHNOLOGIES, INC. 30452 ESPERANZA RANCHO SANTA MARGARITA, CA 92688	Â	Â	VP-Worldwide Sales & Marketing	Â			

Signatures

/s/ Curt P. Creely, as Attorney-in-Fact for Paul A.
Hauck
02/25/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares commence vesting on the first year anniversary of the date of grant, with 20% vesting on such first anniversary. Thereafter, the (1) shares shall vest monthly over 4 years, in equal monthly amounts, with the option being 100% vested on the fifth anniversary of such first anniversary.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2