#### NEWMONT MINING CORP /DE/

Form 4 January 02, 2003

### FORM 4

\_ Check this box if no longer subject to Section

obligations may continue.

16. Form 4 or Form 5

See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo & Dye's Instant Form 4 Filer www.section16.net

Name and Address of I  Harquail, David				and Ticker ing Corpor	P	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
(Last) (First)  1700 Lincoln Street	ŀ	of Reporting Person, if an entity (voluntary)					atement for h/Day/Year <b>//02</b>	1	Director			
(Stree Denver, CO 80203							5. If Amendment, Date of Original (Month/Day/Year)		7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State	e) (Zip)		Tab	le I	Non-Deri	vativ	e Secur	rities Acquired,	Dispose	ed of, or Ber	eficially Owned	
1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	2A. Dee Execution Date, if any (Month/I) Year)	on action Code (Instr. 8)		(A) or Dis (Instr. 3, 4	oosed of (D) & 5)		5. Amount of Securities Beneficially Owned Follow- ing Reported Transactions(s) (Instr. 3 & 4)		6. Owner- ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock (\$1.60 par value)	12/30/02		M		64,000	A	14.69					
Common Stock (\$1.60 par value)	12/30/02		M		49,280	A	10.72					
Common Stock (\$1.60 par value)	12/30/02		J		10,300(1)				10,300	I	by spouse's retirement plan	
Common Stock (\$1.60 par value)	12/30/02		J		11,447(2)				11,447	I	by retirement plan	
Common Stock (\$1.60 par value)	12/30/02		J		91,533				91,533	D		
Exchangeable Shares (3)									3,120	I	by spouse's retirement plan	
Exchangeable Shares (3)									4,043	I	by retirement plan	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2. Conver-	3. Trans-	3A.	4.	5. Numb	er	6. Date Exercisable		7. Title and		8. Price of	9. Number of	10.	11. Natur
Derivative	sion or	action	Deemed	Trans-	of		and Expiratio	n	Amount of		Derivative	Derivative	Owner-	of Indirec
Security	Exercise	Date	Execution	action	Derivati	ve	Date		Underlying		Security	Securities	ship	Beneficia
	Price of		Date,	Code	Securitie	es	(Month/Day/		Securities		(Instr. 5)	Beneficially	Form	Ownershi
(Instr. 3)	Derivative	(Month/	if any		Acquire	d	Year)		(Instr. 3 & 4)			Owned	of Deriv-	(Instr. 4)
		Day/	(Month/	(Instr.	(A) or							Following	ative	
				8)	Dispose	d						Reported	Security:	
			Year)		of (D)							Transaction(s)	Direct	
												(Instr. 4)	(D)	
					(Instr. 3,	, 4							or	
					& 5)								Indirect	
				Code V	(A) (	(D)	Date	Expira-	Title	Amount			(I)	
							Exer-cisable	tion		or			(Instr. 4)	
								Date		Number				
										of				
										Shares				
Stock	14.69	12/30/02		M	64,000		(4)	6/26/05	Common	64,000		0		
Option									Stock					
(right to														
buy)														
Stock	10.72	12/30/02		M	49,280		(5)	6/26/05	Common	49,280		0		
Option									Stock					
(right to														
buy)														

Explanation of Responses:

- (1) Upon exercise of the reporting person's stock option, the reporting person deposited 10,300 shares in the reporting person's spouse retirement plan.
- (2) Upon exercise of the reporting person's stock options, the reporting person deposited 11,447 shares in the reporting person's retirement plan. (3) The Exchangeable Shares are shares of stock of 4011686 Canada Inc., a corporation existing under the laws of Canada and wholly owned subsidiary of the Issuer, that are convertible into common stock of the Issuer on a one-for-one basis at the option of the holder, pursuant to the terms set forth in the provisions attaching to the Exchangeable Shares (the "Provisions") set forth as Appendix 1 to Schedule B of that certain Arrangement Agreement, dated November 14, 2001, between Franco-Nevada Mining Corporation Limited and Newmont USA Limited (formerly known as Newmont Gold Company and formerly known as Newmont Mining Corporation). Pursuant to that certain Voting and Exchange Trust Agreement between the Issuer, 4011686 Canada Inc. and Computershare Trust Company of Canada Limited (the "Trustee"), holders of Exchangeable Shares are entitled to direct the Trustee to cast the votes attaching to the share of the Special Voting Stock of the Issuer held by the Trustee, on all matters submitted to the stockholders of the Issuer. The Exchangeable Shares remain exchangeable at the option of the holder until redeemed by the Issuer pursuant to, and subject to the conditions of, the Provisions.
- (4) This option vested pursuant to the Plan of Arrangement between Franco-Nevada Mining Corporation Limited and Newmont Mining Corporation dated November 14, 2002.
- (5) This option vested pursuant to the Plan of Arrangement between Franco-Nevada Mining Corporation Limited and Newmont Mining Corporation dated November 14, 2002.
- (6) David Harquail has executed a power of attorney, a copy of which has been previously filed, that authorizes Ardis Young to sign this Form 4 on his behalf.

By: /s/ Ardis Young, Attorney in Fact for David
Harquail<sup>(6)</sup>
Date

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

<sup>\*\*</sup>Signature of Reporting Person

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\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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