### Edgar Filing: TCW STRATEGIC INCOME FUND INC - Form 3/A

#### TCW STRATEGIC INCOME FUND INC

Form 3/A May 17, 2011

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

(Last)

 TCW CAPITAL INVESTMENT CORP

(First)

(Middle)

865 S. FIGUEROA STREET. SUITE 1800

(Street)

Statement

(Month/Day/Year) 12/22/1999

TCW STRATEGIC INCOME FUND INC [TSI]

4. Relationship of Reporting Person(s) to Issuer

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

(Check all applicable)

Director 10% Owner \_X\_\_ Other Officer (give title below) (specify below)

Sec. 30(h) of ICA of 1940

6. Individual or Joint/Group

Filed(Month/Day/Year)

12/22/1999

5. If Amendment, Date Original

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One

Reporting Person

LOS ANGELES. CAÂ 90017

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities

Beneficially Owned (Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Common Stock

1. Title of Security

(Instr. 4)

 $142,200 \frac{(1)}{2}$ 

Â D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1473 (7-02)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

**Expiration Date** (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Ownership Conversion or Exercise Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Price of Derivative

Derivative Security:

### Edgar Filing: TCW STRATEGIC INCOME FUND INC - Form 3/A

Date Expiration Title Amount or Security Direct (D)

Exercisable Date Number of Shares (I)

(Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

TCW CAPITAL INVESTMENT CORP

865 S. FIGUEROA STREET
SUITE 1800
LOS ANGELES, CAÂ 90017

Relationships

Director 10% Owner Officer Other

A Â Â Sec. 30(h) of ICA of 1940

# **Signatures**

Philip K. Holl, Assistant Secretary 05/17/2011

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person is an affiliate of TCW Investment Management Company, a registered Investment Adviser under the Investment Act (1) of 1940, as amended. As such, the reporting person is required to file this Form 3 pursuant to Section 30(h) of the Investment Company Act of 1940. The reporting person acquired shares from TCW Asia Limited as part of a corporate restructure.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2