Edgar Filing: TCW STRATEGIC INCOME FUND INC - Form 4/A

TCW STRATEGIC INCOME FUND INC

Form 4/A

February 04, 2014

FORM	FORM 4 INITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL			
Washington, D.C.							NGE CO	OMMISSION	OMB Number:	3235-0287		
Check the if no lon		ICEC IN	DINIDIO	TOT A	LOWN	EDCIHD OF	Expires:	January 31, 2005				
subject t Section Form 4 c	51A1EN 16.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Estimated average burden hours per			
Form 5	Section 1	6(a) of th	e Securit	ies E	Exchange	Act of 1934,	0.5					
obligation may con <i>See</i> Instraction 1(b).	itinue.			tility Hol	_	_	ć.	1935 or Section)	l			
(Print or Type	Responses)											
1. Name and Address of Reporting Person * 2. Issuer Symbol TCW S7			er Name and			0	5. Relationship of Reporting Person(s) to Issuer					
				TRATEC INC [TSI)ME		(Check	all applicable)		
(Last)	(First) (I	Middle)		f Earliest T	ransaction			DirectorX_ Officer (give		Owner or (specify		
	ATEGIC INCOM C., 865 S. FIGUE STE. 1800		(Month/I 01/03/2	Day/Year) 2014				below)	below) Tolio Manager	r (specify		
LOS ANGI	(Street) ELES, CA 90017			endment, Day/Yea nth/Day/Yea 2014	_	1		6. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by M	ne Reporting Pe	rson		
(City)	(State)	(Zip)	T - 1-	l. T. Ni I	David	C		Person	D 6° . ° . 1	l- 01		
1.Title of	, ,			le I - Non-I 3.			_	ired, Disposed of, 5. Amount of	or Beneficial 6.	7. Nature of		
Security (Instr. 3)	any		Date, if	Transaction Code (Instr. 8)	4. Securities Acquired (A pror Disposed of (D) (Instr. 3, 4 and 5) (A) or			Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial Ownership (Instr. 4)		
Common Stock	01/03/2014			Code V	18,700	(D)	Price \$ 5.2968	35,300	I	2006 Flack Trust u/a 4/6/06, Mitch Flack and Leticia Flack TTEES		

P

0

07/17/2012

A \$0 (1) 32,925

D

Edgar Filing: TCW STRATEGIC INCOME FUND INC - Form 4/A

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Portfolio Manager

Flack Mitch

TCW STRATEGIC INCOME FUND, INC. 865 S. FIGUEROA STREET, STE. 1800

LOS ANGELES, CA 90017

Signatures

Patrick Dennis for Mitch Flack by POA dtd 1/3/14

02/04/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) No Transaction occurred in Common Stock owned Directly by Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2