#### Edgar Filing: TCW STRATEGIC INCOME FUND INC - Form 4

#### TCW STRATEGIC INCOME FUND INC

Form 4 July 10, 2014

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Estimated average

burden hours per

response...

**OMB APPROVAL** 

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

0.5

Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Tarica Andrew

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

TCW STRATEGIC INCOME FUND INC [TSI]

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year) 07/09/2014

\_X\_\_ Director 10% Owner Officer (give title Other (specify

TCW STRATEGIC INCOME FUND, INC., 865 S. FIGUEROA ST, STE 1800

(Street)

(State)

(Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

LOS ANGELES, CA 90017

(City)

(City)	(State) (2	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership
		(Month Day/ Tear)	Code V	Amount	(A) or	Price	Following Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)
Common Stock	07/09/2014		P	5,000 (1)	A	\$ 5.54	5,000	D	
Common Stock	07/09/2014		P	5,000 (2)	A	\$ 5.54	10,000	D	
Common Stock	07/09/2014		P	4,000	A	\$ 5.54	14,000	I	2005 ESC Trust
Common Stock	07/09/2014		P	2,000	A	\$ 5.54	16,000	I	Jaclyn Tarica Trust

#### Edgar Filing: TCW STRATEGIC INCOME FUND INC - Form 4

Common Stock	07/09/2014	P	2,000	A	\$ 5.54	18,000	I	Jeremy Tarica Trust
Common Stock	07/09/2014	P	2,000	A	\$ 5.54	20,000	I	Zachary Tarica Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. tionNumber of ) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

## **Reporting Owners**

Reporting Owner Name / Address	Keiauonsnips				
	Director	10% Owner	Office		

Director 10% Owner Officer Other

Tarica Andrew
TCW STRATEGIC INCOME FUND, INC.
865 S. FIGUEROA ST, STE 1800
LOS ANGELES, CA 90017

**Signatures** 

Patrick Dennis for Andrew Tarica by POA dtd 5/20/13 07/10/2014

\*\*Signature of Reporting Person Date

Reporting Owners 2

### Edgar Filing: TCW STRATEGIC INCOME FUND INC - Form 4

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Joint Tennant with Spouse
- (2) IRA

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.