Edgar Filing: TCW STRATEGIC INCOME FUND INC - Form 4

TCW STRATEGIC INCOME FUND INC

Form 4

October 15, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Tarica Andrew

2. Issuer Name and Ticker or Trading Symbol

Issuer

TCW STRATEGIC INCOME FUND INC [TSI]

(Check all applicable)

5. Relationship of Reporting Person(s) to

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(Last) (First) (Middle) 3. Date of Earliest Transaction

> (Month/Day/Year) 07/09/2014

X_ Director 10% Owner Officer (give title Other (specify below)

TCW STRATEGIC INCOME FUND, INC., 865 S. FIGUEROA ST, STE 1800

(Street)

(State)

10/14/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting Person

I

LOS ANGELES, CA 90017

(City)

Common

1.Title of 3. 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

4. Securities Acquired 5. Amount of Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

Securities Beneficially Owned Following Reported Transaction(s)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Form: Direct Indirect (D) or Indirect (I) (Instr. 4)

Beneficial Ownership (Instr. 4)

Jaclyn

6. Ownership 7. Nature of

(A) or (Instr. 3 and 4) Code V (D) Price Amount Common \$0 07/09/2014 P 0 5,000 (2) D (1) Stock Zachary Common P Ι Tarica 10/14/2014 2,000 4,000 Stock Trust Jeremy Common 10/14/2014 P 2,000 4,000 I Tarica Stock Trust

2,000

Α

\$

4,000

P

1

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Stock					5.48			Tarica Trust
Common Stock	07/09/2014	P	0	A	\$ 0 (1)	4,000	I	2005 ESC Trust
Common Stock	07/09/2014	P	0	A	\$ 0 (1)	5,000 (3)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. etionNumber of S) Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	8	ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
coporting of the Francisco	Director	10% Owner	Officer	Other		
Tarica Andrew TCW STRATEGIC INCOME FUND, INC. 865 S. FIGUEROA ST, STE 1800 LOS ANGELES, CA 90017	X					
Cianaturos						

Signatures

Patrick Dennis for Andrew Tarica by POA dtd 5/20/13

**Signature of Reporting Person Date

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) No transaction occurred in Common Stock owned directly by Reporting Person.
- (2) Joint Tenant with Spouse
- (**3**) IRA

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.