### Edgar Filing: TCW STRATEGIC INCOME FUND INC - Form 4

#### TCW STRATEGIC INCOME FUND INC

Form 4

September 30, 2015

# FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or

SECURITIES

Eiled account to Section 10(x) of the Securities Freehouse Act of 1024

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Form 5

(Print or Type Responses)

1. Name and Address of Reporting Person \*\*
Rivelle Tad

2. Issuer Name **and** Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

TCW STRATEGIC INCOME FUND INC [TSI]

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year) 01/29/2014 \_\_\_\_ Director \_\_\_\_ 10% Owner \_\_X\_ Officer (give title \_\_\_\_ Other (specify below)

Portfolio Manager

TCW STRATEGIC INCOME FUND, INC., 865 S. FIGUEROA STREET, STE. 1800

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

LOS ANGELES, CA 90017

\_X\_ Form filed by One Reporting Person \_\_ Form filed by More than One Reporting Person

(City)	(State)	(Zip) Tal	ble I - Non	-Derivativ	e Secı	ırities Acq	uired, Disposed o	of, or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit cor Dispos (Instr. 3, 4	ed of (		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/29/2015		P	4,400	A	\$ 5.1	237,198	D	
Common Stock	09/29/2015		P	12,833	A	\$ 5.11	250,031	D	
Common Stock	09/29/2015		P	5,000	A	\$ 5.12	255,031	D	
Common Stock	05/29/2015		P	1,100	A	\$ 5.1299	256,131	D	
	09/29/2015		P	5,900	A	\$ 5.13	262,031	D	

Common
Stock

Common Stock	01/29/2014	P	0	A	\$ 0 (1)	98,939.7079	I	Charles Schwab & Co Acct for Chung-Ling K Tuan (spouse)
Common Stock	09/23/2015	P	0	A	\$ 0 (1)	51,184	I	Charles Schwab & Co Acct for Chung-Ling K Tuan (spouse)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)		4. Transacti Code	5. orNumber of	6. Date Exerc Expiration Day/	ate	7. Title Amou Under	nt of	8. Price of Derivative Security	9. Nu Deriv Secur
(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	e	rear)	Securi	, ,	(Instr. 5)	Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner Officer	Other			

Rivelle Tad TCW STRATEGIC INCOME FUND, INC. 865 S. FIGUEROA STREET, STE. 1800 LOS ANGELES, CA 90017

Portfolio Manager

Reporting Owners 2

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## **Signatures**

Patrick Dennis for Tad Rivelle by POA dtd 7/22/13

09/30/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) No transaction occurred in Common Stock owned indirectly by Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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