

TCW STRATEGIC INCOME FUND INC  
 Form 4  
 November 04, 2015

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Rivelle Tad

2. Issuer Name and Ticker or Trading Symbol  
 TCW STRATEGIC INCOME FUND INC [TSI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
 01/29/2014

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 Portfolio Manager

TCW STRATEGIC INCOME FUND, INC., 865 S. FIGUEROA STREET, STE. 1800

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

LOS ANGELES, CA 90017

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
Common Stock	01/29/2014		P	0 A \$ 0 <sup>(1)</sup>	98,939.7079	I	Charles Schwab & Co Acct for Chung-Ling K Tuan (spouse)
Common Stock	10/26/2015		P	0 A \$ 0 <sup>(1)</sup>	107,849	I	Charles Schwab & Co Acct for Chung-Ling

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K Tuan  
(spouse)

Common Stock	09/28/2015	P	0	A	\$ 0 <sup>(2)</sup>	376,895	D
Common Stock	11/03/2015	P	2,200	A	\$ 5.256	465,709	D
Common Stock	11/03/2015	P	1,340	A	\$ 5.26	467,049	D
Common Stock	11/03/2015	P	100	A	\$ 5.265	467,149	D
Common stock	11/03/2015	P	400	A	\$ 5.27	467,549	D
Common Stock	11/03/2015	P	800	A	\$ 5.279	468,349	D
Common Stock	11/03/2015	P	1,500	A	\$ 5.28	469,849	D
Common Stock	11/03/2015	P	800	A	\$ 5.286	470,649	D
Common Stock	11/03/2015	P	5,760	A	\$ 5.2896	476,409	D
Common stock	11/03/2015	P	2,100	A	\$ 5.29	478,509	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Rivelle Tad TCW STRATEGIC INCOME FUND, INC. 865 S. FIGUEROA STREET, STE. 1800 LOS ANGELES, CA 90017			Portfolio Manager	

## Signatures

Patrick Dennis for Tad Rivelle by POA dtd 7/22/13	11/04/2015
<u>    </u> **Signature of Reporting Person	Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) No transaction occurred in Common Stock owned indirectly by Reporting Person
  - (2) No transaction occurred in Common Stock owned directly by Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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