HARVEY J BRETT

Form 4

February 04, 2013

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

response...

3235-0287 January 31,

0.5

Check this box if no longer

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

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Form 5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * HARVEY J BRETT

(First)

2. Issuer Name and Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

CONSOL Energy Inc [CNX] 3. Date of Earliest Transaction

(Check all applicable)

1000 CONSOL ENERGY DRIVE

(Street)

(Month/Day/Year)

01/31/2013

_X__ Director 10% Owner X_ Officer (give title Other (specify

Chairman and CEO

below)

(Middle)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

CANONSBURG, PA 15317

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (Instr.		4. Securities Acquired (A) onor Disposed of (D) (Instr. 3, 4 and 5) (A) or			Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common			Code	V	Amount	(D)	Price	(Instr. 3 and 4)		
shares, \$0.01 par value per share	01/31/2013		A		101,332 (1)	A	\$ 0	440,737	D	
Common shares, \$0.01 par value per share	01/31/2013		F		41,972	D	\$ 31.34	398,765 <u>(3)</u>	D	
Common shares,	01/07/2013		G	V	27,904	D	\$0	0	I	By 2010 GRAT

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\$0.01 par value per share								
Common shares, \$0.01 par value per share	01/07/2013	G	V 27,904	A	\$ 0	56,753	I	By Revocable Trust (4)
Common shares, \$0.01 par value per share						9,481	I	By Trust for Daughter (5)
Common shares, \$0.01 par value per share						9,481	I	By Trust for Daughter
Common shares, \$0.01 par value per share						9,481	I	By Trust for Son (5)
Common shares, \$0.01 par value per share						2,000	I	By Spouse's Revocable Trust (6)
Common shares, \$0.01 par value per share						21,608	I	By 2011 GRAT
Common shares, \$0.01 par value per share						45,096	I	By 2012-1 GRAT
Common shares, \$0.01 par value per share						70,000	I	By 2012-2 GRAT
Common shares, \$0.01 par						60,000	I	By 2012-3 GRAT

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value per share

Common shares, \$0.01 par value per

share

By 401 (k) 46,728 (7) I Plan

(9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 45.05	01/31/2013		A	30,409	01/31/2013	06/15/2020	Common shares, \$0.01 par value per share	30,409

Relationships

Reporting Owners

Reporting Owner Name / Address			-	
	Director	10% Owner	Officer	Other
HARVEY J BRETT				

1000 CONSOL ENERGY DRIVE

X Chairman and CEO CANONSBURG, PA 15317

Signatures

/s/ J. Brett Harvey by Stephanie L. Gill, his 02/04/2013 attorney-in-fact

> **Signature of Reporting Person Date

Reporting Owners 3 Edgar Filing: HARVEY J BRETT - Form 4

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the vesting and settlement of performance share units previously granted to the reporting person under the Long Term Incentive Program for the 2010-2012 performance period.
- (2) Represents shares withheld to satisfy the reporting person's tax liability resulting from the vesting and settlement of the performance share units previously reported herein.
- (3) Of the 398,765 shares owned directly, 29,892 are restricted stock units and deferred shares (including dividend equivalent rights).
- (4) Shares held in Mr. Harvey's Amended and Restated Revocable Trust, dated December 17, 2007, in which Mr. and Mrs. Harvey serve as trustees.
- (5) The reporting person disclaims benefical ownership of these securities and this report shall not be deemed an admission that the reporting person is the beneficial owner of the securities for the purposes of Section 16 or for any other purpose.
- (6) Shares held in Mrs. Harvey's Amended and Restated Revocable Trust, dated December 17, 2007, in which Mr. and Mrs. Harvey serve as trustees.
- (7) This number includes 294 shares aquired by the reporting person's 401(k) account since January 2, 2013.
 - On June 15, 2010, the reporting person was granted an option to purchase up to 243,273 shares of common stock. The option generally
- vests in four annual installments based on the Issuer's achievement of certain performance criteria for each of the fiscal years ending December 31, 2010, 2011, 2012 and 2013. Half of the performance criteria for 2012 were met, resulting in the vesting of the option as to 30,409 shares. The total number of options vested since the grant date are 152,045.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.