COOLEY CHARLES P

Form 4 May 14, 2018

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005 Estimated average

Form 4 or Form 5 obligations

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

burden hours per response... 0.5

may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| • • | • | | | | | | | | |
|---------------------------------------|--|---------------|--|--|---------------------|--|--|---|---|
| | | | 2. Issuer Name and Ticker or Trading Symbol KEYCORP /NEW/ [KEY] | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) | (First) (| Middle) | 3. Date of Earliest Transaction | | | (CI | псек ан арриса | oic) | |
| C/O KEYCORP, 127 PUBLIC SQUARE | | | (Month/Day/Year) 05/10/2018 | | | | _X_ Director 10% Owner Officer (give title below) Other (specify below) | | |
| | (Street) | | 4. If Ame | ndment, Da | te Original | | 6. Individual or | r Joint/Group Fi | ling(Check |
| | | | Filed(Mor | nth/Day/Year |) | | Applicable Line) _X_ Form filed b | y One Reporting | |
| CLEVELAN | ND, OH 44114 | | | | | | Person | y More than One | Reporting |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative S | Securities A | equired, Disposed | l of, or Benefic | ially Owned |
| 1. Title of Security (Instr. 3) | 2. Transaction Dat (Month/Day/Year) | Execution any | med n Date, if Day/Year) | 3. Transactic Code (Instr. 8) | Disposed (Instr. 3, | (A) or of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Shares | | | | | | | 25,000 | D | |
| Common Shares | | | | | | | 5 | I | By partnership of spouse (1) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactio Code (Instr. 8) | Transaction Derivative Code Securities | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|--|--|---------------------|--|------------------|---|------|
| | | | Code V | (Instr. 3, 4, and 5) (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Deferred Shares | <u>(2)</u> | 05/10/2018 | A | 5,917 | (3) | (3) | Common Shares | 5,917 | \$ 0 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|---------|-------|--|--|
| 1 8 | Director | 10% Owner | Officer | Other | | |
| COOLEY CHARLES P C/O KEYCORP 127 PUBLIC SQUARE CLEVELAND, OH 44114 | X | | | | | |

Signatures

Carrie A. Benedict POA for Charles P.
Cooley

05/14/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are held by a partnership of which the reporting person's spouse is a partner.
- (2) Each Deferred Share is the economic equivalent of one Common Share.
- (3) Under the terms of KeyCorp's Directors' Deferred Share Sub-Plan of the KeyCorp 2013 Equity Compensation Plan (the "Deferred Share Plan"), the Participant will receive payment of the Deferred Shares one-half as Common Shares and one-half in cash on May 10, 2021.
- (4) The Deferred Shares were awarded under the Deferred Share Plan.
- (5) Includes approximately 1,396 dividend-equivalent Deferred Shares accrued between June 2017 and March 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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