Hot Mamas Foods, Inc.

Form 3

October 03, 2013

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Number: Expires:

January 31,

2005

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Estimated average burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Kenealy William J

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

09/24/2013

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

134 AVOCADO STREET

(Street)

Hot Mamas Foods, Inc. [HOTF]

(Check all applicable)

4. Relationship of Reporting

Person(s) to Issuer

\_X\_\_ Officer

6. Individual or Joint/Group

Filed(Month/Day/Year)

5. If Amendment, Date Original

10% Owner Director

Other

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

(give title below) (specify below) Form filed by More than One Chief Financial Officer Reporting Person

SPRINGFIELD, MAÂ 01104

(City)

1. Title of Security

(Instr. 4)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership

Form:

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Exercisable Date

Title

Amount or Number of Shares

Derivative Security: Security Direct (D) or Indirect (I) (Instr. 5)

Stock Option (right to buy)  $\hat{A} \stackrel{(1)}{=} \hat{A} \stackrel{(2)}{=} \frac{Common}{Stock} 431,221 \$ 1$  D  $\hat{A}$ 

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Kenealy William J

134 AVOCADO STREET Chief Financial Officer SPRINGFIELD, MAÂ 01104

# **Signatures**

/s/ William Kenealy 10/03/2013

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This option was issued to the Reporting Person pursuant to his Employment Agreement with the Issuer dated July 30, 2013 (the "Effective Date"). One half of the option vests in five equal annual installments beginning on the first anniversary of the Effective Date, and the other half of the option vests in five equal annual installments beginning on the first anniversary of the Effective Date, provided that the Issuer has achieved certain performance criteria.

(2) None

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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