

Firsthand Technology Value Fund, Inc.  
Form 8-K  
October 22, 2018

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549

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**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of the  
Securities Exchange Act of 1934**

**Date of Report: October 19, 2018**  
(Date of earliest event reported)

**Firsthand Technology Value Fund, Inc.**  
(Exact name of registrant as specified in its charter)

|  |  |  |
|--|--|--|
| <b>Maryland</b><br>(State or other jurisdiction<br>of incorporation) | <b>814-00830</b><br>(Commission File Number) | <b>27-3008946</b><br>(IRS Employer<br>Identification Number) |
|--|--|--|

**150 Almaden Blvd., Suite 1250**

**San Jose, CA**  
(Address of principal executive offices)

**95113**  
(Zip Code)

**(800) 976-8776**  
(Registrant's telephone number, including area code)

**Not Applicable**  
(Former Name or Former Address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended  transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

**Item 8.01. Other Events**

On October 19, 2018, Firsthand Technology Value Fund, Inc. issued a press release announcing its top portfolio holdings at the end of September 2018. The top holdings include Pivotal Systems, Revasum, IntraOp Medical, Roku and QMAT. The press release also announced that, as of September 30, 2018, the Fund's top holdings constituted 92.4% of the Fund's preliminary net assets and 79.4% of the Fund's preliminary gross assets.

**Item 9.01. Financial Statements and Exhibits**

**(a) Financial statements:**

None

**(b) Pro forma financial information:**

None

**(c) Shell company transactions:**

None

**(d) Exhibits**

99.1 Press Release dated October 19, 2018.

**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: October 22, 2018

**FIRSTHAND  
TECHNOLOGY  
VALUE FUND,  
INC.**

By: /s/ Kevin Landis  
Kevin Landis  
*President*

**Exhibit Index**

**Exhibit No. Description**

99.1 Press release dated October 19, 2018