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PAPPAS CHRISTOPHER JAMES

Form 4

February 08, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

3235-0287 Number: January 31, Expires: 2005

0.5

OMB APPROVAL

Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Estimated average burden hours per response...

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and A	AMES Symbol	2. Issuer Name and Ticker or Trading Symbol LUBYS INC [LUB]			5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)		•	LUB] Transaction	(0	Check all applic	able)	
13111 NOF FREEWAY	`	(Month/Day/Year) 01/23/2018			(give title)		
	4. If Ar	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
HOUSTON	N, TX 77040	Filed(M	Filed(Month/Day/Year)			Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip) Ta	ble I - Noi	1-Derivative Securities A	acquired, Dispose	ed of, or Benefi	icially Owned	
1.Title of	2. Transaction Dat		3.	4. Securities	5. Amount of	6.	7. Nature of	

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security	2. Transaction Date (Month/Day/Year)	Transaction Date 2A. Deemed Ionth/Day/Year) Execution Date, if			ties l (A) c	r	5. Amount of Securities	6. Ownership	7. Nature of Indirect		
(Instr. 3)	•	any	Code	Disposed of (D)			Beneficially	Form: Direct	Beneficial		
		(Month/Day/Year)	(Instr. 8)	(Instr. 3,	4 and	5)	Owned Following Reported	(D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				
Common Stock	01/23/2018		M	7,500 (1)	A	\$0	4,520,773	D			
Common Stock							1,067,197	I	By Pappas Restaurants		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Inc.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	\$ 0	01/23/2018		M	7,500	(2)	(2)	Common Stock	7,500	\$

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
PAPPAS CHRISTOPHER JAMES 13111 NORTHWEST FREEWAY SUITE 600 HOUSTON, TX 77040	X	X	President and CEO				

Signatures

/s/ Christopher J.
Pappas

**Signature of Reporting

Date

Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired with the vesting of restricted stock units.
- (2) The restricted stock units were granted on January 23, 2015 with a three year restriction and vested on January 23, 2018. Each restricted stock unit represents a conditional right to receive one share of the Company's Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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