

ERDMAN WARREN K  
Form 4  
November 05, 2009

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ERDMAN WARREN K

2. Issuer Name and Ticker or Trading Symbol  
KANSAS CITY SOUTHERN [KSU]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
KANSAS CITY SOUTHERN, PO BOX 219335  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
11/04/2009

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Executive Vice President

KANSAS CITY, MO 64121-9335  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	11/04/2009		M		25,666 A \$ 5.75	96,690 <sup>(1)</sup>	D
Common Stock	11/04/2009		M		480 A \$ 14.34	97,170 <sup>(1)</sup>	D
Common Stock	11/04/2009		M		513 A \$ 13.42	97,683 <sup>(1)</sup>	D
Common Stock	11/04/2009		M		15,670 A \$ 12.55	113,353 <sup>(1)</sup>	D
Common Stock	11/04/2009		M		6,000 A \$ 14.6	119,353 <sup>(1)</sup>	D

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Common Stock	11/04/2009		M	699	A	\$ 14.53	120,052 <sup>(1)</sup>	D	
Common Stock	11/04/2009		S	49,028	D	\$ 26.58 <sub>(2)</sub>	71,024 <sup>(1)</sup>	D	
Common Stock							945.721	I	Held by ESOP <sup>(3)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Option (Right to Buy) <sup>(4)</sup>	\$ 5.75	11/04/2009		M <sup>(6)</sup>	25,666	07/13/2001 07/12/2010	Common Stock 25,666
LSAR <sup>(4)</sup>	\$ 5.75	11/04/2009		J <sup>(6)</sup>	25,666	<sup>(4)</sup> <sup>(4)</sup>	Common Stock 25,666
Option (Right to Buy) <sup>(4)</sup>	\$ 14.34	11/04/2009		M <sup>(6)</sup>	480	<sup>(5)</sup> 02/26/2011	Common Stock 480
LSAR <sup>(4)</sup>	\$ 14.34	11/04/2009		J <sup>(6)</sup>	480	<sup>(4)</sup> <sup>(4)</sup>	Common Stock 480
Option (Right to Buy) <sup>(4)</sup>	\$ 13.42	11/04/2009		M <sup>(6)</sup>	513	02/06/2002 02/05/2012	Common Stock 513
LSAR <sup>(4)</sup>	\$ 13.42	11/04/2009		J <sup>(6)</sup>	513	<sup>(4)</sup> <sup>(4)</sup>	Common Stock 513
Option (Right to Buy) <sup>(4)</sup>	\$ 12.55	11/04/2009		M <sup>(6)</sup>	670	01/16/2003 01/15/2013	Common Stock 670



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- Limited Rights and Limited Stock Appreciation Rights ("LSARs") are granted in tandem with stock options. Limited Rights and LSARs
- (4) become exercisable only following a change-in-control of the Company in lieu of related options and are exercisable only for cash. Limited Rights and LSARs terminate when the related options are exercised or terminated.
  - (5) 240 options became exercisable on 02/27/01 and 240 options became exercisable on 06/23/01.
  - (6) Options exercised in rule 16b-3 exempt transaction. LSARs canceled with respect to such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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