#### Edgar Filing: LIQUIDMETAL TECHNOLOGIES INC - Form 5

#### LIQUIDMETAL TECHNOLOGIES INC

Reminder: Report on a separate line for each class of

securities beneficially owned directly or indirectly.

Form 5

February 14, 2007

**OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Ham Young Jin Symbol LIQUIDMETAL TECHNOLOGIES (Check all applicable) INC [LQMT] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director X\_\_ 10% Owner X\_\_ Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2006 Chief Financial Officer LIQUIDMETAL TECHNOLOGIES, 25800 **COMMERCENTRE DR STE 100** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) LAKE FOREST, CAÂ 92630 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 1. Title of 2. Transaction Date 2A. Deemed 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Acquired (A) or Securities Form: Direct Indirect (D) or (Instr. 3) Disposed of (D) Beneficially Beneficial Code (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end Indirect (I) Ownership of Issuer's (Instr. 4) (Instr. 4) (A) Fiscal Year or (Instr. 3 and 4) Amount (D) Price

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Persons who respond to the collection of information

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SEC 2270

(9-02)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number Derivative Securities Acquired (or Disposed (D) (Instr. 3, 4, and 5)	A) d of	6. Date Exerc Expiration Day/ (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and 4	ecurities
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Option to purchase common	\$ 1.44	04/01/2006	Â	A4	25,000	Â	(1)	04/01/2016	Common Stock	25,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting o meet runner, runners	Director	10% Owner	Officer	Other		
Ham Young Jin LIQUIDMETAL TECHNOLOGIES 25800 COMMERCENTRE DR STE 100 LAKE FOREST, CA 92630	Â	ÂX	Chief Financial Officer	Â		

# **Signatures**

stock

/s/ Young J.
Ham

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Options were granted pursuant to the 2002 Equity Incentive Plan and vest in five equal annual installments of 20% beginning one year from the date of the original grant.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2