

Edgar Filing: US CONCRETE INC - Form 5

US CONCRETE INC
Form 5
February 11, 2002

=====
Potential persons who are to respond to the collection of information contained
in this form are not required to respond unless the form displays a currently
valid OMB number.

/-----/
/ OMB APPROVAL /
/-----/
/ OMB Number: 3235-0362 /
/ Expires: October 31, 2001 /
/ Estimated average burden /
/ hours per response..... 1.0 /
/-----/

+-----+
| FORM 5 |
+-----+

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check box if
no longer subject to Section 16.
Form 4 or Form 5 obligations may
continue. See Instruction 1(b).
ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
Filed pursuant to Section 16(a) of the Securities
Exchange Act of 1934, Section 17(a) of the
Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

Form 3 Holdings Reported
 Form 4 Transactions Reported

1. Name and Address of Reporting Person*

Albanese William T.

(Last) (First) (Middle)

2925 Briarpark, Suite 500

(Street)

Houston TX 77042

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol U.S. Concrete, Inc. (RMIX)

3. I.R.S. Identification Number of Reporting Person, if an entity
(Voluntary)

4. Statement for Month/Year January 2002

5. If Amendment, Date of Original (Month/Year)

Edgar Filing: US CONCRETE INC - Form 5

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

[X] Director [] Officer [] 10% Owner [] Other
(give title below) (specify below)

Vice President and Controller

7. Individual or Joint/Group Reporting (check applicable line)

X
___ Form Filed by One Reporting Person

___ Form Filed by More than One Reporting Person

Table I--Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table with 5 columns: 1. Title of Security (Instr. 3), 2. Transaction Date (Month/Day/Year), 3. Transaction Code (Instr. 8), 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Amount (D) Price, 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3)

* If the form is filed by more than one reporting person, see instruction 4(b) (v).

Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: US CONCRETE INC - Form 5

| | | | |
|--|--|--------------------------------------|--------------------------------|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) |
|--|--|--------------------------------------|--------------------------------|

| | | | |
|---------------------------------|------|---------|---|
| Stock options (right to buy) | 7.00 | 3/15/01 | A |
|---------------------------------|------|---------|---|

| | | | | |
|--|---|--|---|----|
| 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned at End of Year (Instr. 4) | 10 |
|--|---|--|---|----|

| Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Number of Derivative Securities Beneficially Owned at End of Year (Instr. 4) |
|------------------|-----------------|--------------|----------------------------|--|
| (1) | 3/15/2011 | Common Stock | 25,000 | 50,000 |

Edgar Filing: US CONCRETE INC - Form 5

(3) The options vest in four equal annual installments beginning 3/15/2002.

Explanation of Responses:

| | |
|---------------------------------|-----------|
| /s/ William T. Albanese | 2/11/2002 |
| ----- | ----- |
| **Signature of Reporting Person | Date |

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.