

Edgar Filing: US CONCRETE INC - Form 5

US CONCRETE INC
Form 5
February 11, 2002

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| FORM 5 |
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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

☐ Check box if
no longer subject to Section 16.
Form 4 or Form 5 obligations may continue. See Instruction 1(b).

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

☐ Form 3 Holdings Reported

☐ Form 4 Transactions Reported

1. Name and Address of Reporting Person*

Sommer	Charles	W.
(Last)	(First)	(Middle)
2925 Briarpark, Suite 500		
(Street)		
Houston	TX	77042
(City)	(State)	(Zip)

2. Issuer Name and Ticker or Trading Symbol U.S. Concrete, Inc. (RMIX)

3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

4. Statement for Month/Year January 2002

5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

☐ Director ☒ Officer ☐ 10% Owner ☐ Other
(give title below) (specify below)

Vice President and Controller

7. Individual or Joint/Group Reporting (check applicable line)

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting Person

Table I--Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	3. Trans- action Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3)
			Amount	(A) or (D) Price	
Common Stock	(1)		138 (1)	A (1)	
Common Stock	8/13/01	G	2,800	D	
Common Stock	(2)		121 (2)	A (2)	49,634

* If the form is filed by more than one reporting person, see instruction 4 (b) (v) .

(1) Shares acquired under the U.S. Concrete, Inc. Employee Stock Purchase Plan in June 2001.

(2) Shares acquired under the U.S. Concrete, Inc. Employee Stock Purchase Plan in December 2001.

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Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

[illegible]

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Stock

Explanation of Responses:

/s/ Charles W. Sommer

2/11/2002

**Signature of Reporting Person

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(3) The options vest in four equal annual installments beginning 3/15/2002

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.