Nichols Dana L Form 3 September 04, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement OCR HOLDINGS INC [OCRH] Nichols Dana L (Month/Day/Year) 08/24/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 3551 SEVENTH (Check all applicable) STREET, Â SUITE 204 (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting EVP, Chief Credit Officer Person MOLINE, ÂILÂ 61265 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â D Common Stock 3,118 Common Stock I by IRA 15,000 Common Stock 3,508 I by Managed Account Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 4. 5. 6. Nature of (Instr. 4) Expiration Date Securities Underlying Conversion Ownership Indirect Beneficial

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			Derivative Security (Instr. 4)		or Exercise Price of	Form of Derivative	Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Non-Qualified Stock Option (right to buy)	02/01/2013(1)	02/01/2022	Common Stock	2,500	\$ 9.3008	D	Â
Non-Qualified Stock Option (right to buy)	02/01/2014(1)	02/01/2023	Common Stock	1,940	\$ 15.65	D	Â
Non-Qualified Stock Option (right to buy)	02/03/2015(1)	02/03/2024	Common Stock	1,500	\$ 17.1	D	Â
Non-Qualified Stock Option (right to buy)	01/01/2016(1)	01/01/2025	Common Stock	2,400	\$ 17.86	D	Â
Non-Qualified Stock Option (right to buy)	02/01/2017(2)	02/01/2026	Common Stock	1,161	\$ 22.64	D	Â
Non-Qualified Stock Option (right to buy)	03/09/2018(2)	03/09/2027	Common Stock	811	\$ 42.75	D	Â
Performance Shares	(3)	(3)	Common Stock	539	\$ 44.15	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>Fg</b>	Director	10% Owner	Officer	Othe		
Nichols Dana L 3551 SEVENTH STREET SUITE 204 MOLINE, IL 61265	Â	Â	EVP, Chief Credit Officer	Â		

## **Signatures**

By: Shellee Showalter For: Dana Nichols 09/04/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options are exercisable in annual increments of 20% each, with the first 20% vesting on the first anniversary of the option grant.
- (2) These options are exercisable in annual increments of 25% each, with the first 25% vesting on the first anniversary of the option grant.
- Restricted stock unit grant. Each restricted stock unit represents a contingent right to receive one share of QCR Holdings, Inc. common stock or the cash equivalent of one share of common stock. The restricted stock units vest in four annual equal installments beginning on March 1, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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a currently valid OMB number.	